













CS2B: Panel Discussion:

Institutional Capacity

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Feed the Future Innovation Lab for Collaborative Research on Grain Legumes





Before we get to hear from our panel members, let me introduce to you onscreen some guests and the organizations they work for...





















Panelists:

- Robin Buruchara, International Center for Tropical Agriculture
- Don Clark, USAID/Bukina Faso
- Gabriela Tobar Piñón, North Dakota State University
- Kelvin Kamfwa, University of Zambia



Rationale

Why this session?

- Capacity Development--one of the cornerstones of Development
 - Strong emphasis by USAID in its development strategy
 - In the GFSS Results Framework it is represented as a cross-cutting result
 - Innovation Labs (and CRSPs) have integrated capacity development as a core and cross-cutting activity



Why Institutional Capacity Development?

Theory of Change

- Agricultural innovation is critical for increasing agricultural productivity as well as for sustainability of agricultural systems
- Innovation, however, cannot rely solely on spinoffs from foreign research (spillovers).
- It requires endogenous capacities to generate, systematize, and adapt knowledge as well as to adopt and up-scale new practices



What is Capacity Development?

Definitions:

- "Capacity can generally be viewed as the ability of individuals, organizations or society as a whole to set and implement development objectives as well as to identify and meet development challenges in a sustainable manner" (Land, 2000)
- "Capacity is the process whereby people, organizations and society as a whole unleash, strengthen, create, adapt and maintain capacity over time (OECD 2006, 2008)."



Levels/Dimensions of Capacity Development

- **Individual-** Change in competencies (core knowledge, skills), attitudes, motivation/energies, and behaviors needed to work effectively
- Organizational- Change in:
 - organizational functions and processes (financial/human resources management, priority setting, strategic planning, resource mobilization);
 - infrastructure (laboratories, libraries, information and communication technologies);
 - external legitimacy (whether the organization is perceived to provide valuable products and services); and
 - internal confidence (whether there is high demand for the organization's products and services)



Levels/Dimensions of Capacity Development (cont'd)

- Network- Changes in linkages and networks among national, regional, and global organizations, farmer groups, non-governmental organizations (NGOs), the private sector, policymakers.
- **State/Societal/Enabling Environment** Changes in the ability to influence policy, innovation, and technology (e.g., extension systems, technology commercialization systems)



How does Legume Innovation Lab contribute to Capacity Development?

Through three strategic investments:

- Degree training
- Short-term training
- Research infrastructure/ facilities



Aimed at developing:

- Individual capacity
- Organizational capacity
- Networking



Enabling environment



Capacity Development by Legume Innovation Lab: Salient Features

- Integration of individual and organizational capacity development through degree and non-degree short-term training into research projects
- Direct supervision of degree training at a collaborating university by PI(s)
- Trainees conduct thesis research on topical areas identified in project workplans
- Travel grants for professional development, networking, building linkages with stakeholders
- Infrastructure development grants for host country institutions to purchase equipment, upgrade facilities, etc.



Capacity Development by Legume Innovation Lab: **Approach**

- Integrating training and research infrastructure development

 an explicit requirement in all project workplans (~20-30% of project budget)
- Additional funds for "institutional capacity building" on a competitive basis (~\$1.5 million investment over the past 10 years) that meet following criteria:
 - Cost-effectiveness
 - Innovation
 - Demand-driven (i.e., in accord with priorities and identified needs of HC institutions)
 - Leverage funds from other sources
 - Gender balance



Capacity Development by Legume Innovation Lab: **Achievements**

Numbers:

- Thousands of non-degree trainees;
- Hundreds of degree trainees
- Hundreds of people given travel grants
- Dozens of laboratories and research programs equipped with new and modern infrastructures and facilities

Critical questions are:

- How these investments have unleashed, strengthened, created, and maintained capacity over time to innovate?
- What are the outcomes?
- What are the CHANGES in X, Y, Z needed to INNOVATE that are triggered by these capacity development efforts?



 Changes in attitudes, motivation, and energy to be the change agent





 Changes in competencies (knowledge, technical skills) that are passed on to others (multiplier effects)







 Changes in external legitimacy (individual and organizations perceived as providing valuable products and services)





 Changes in internal confidence (high demand for technical services)





Changes in the ability to influence innovation and technology







Capacity Development by Legume Innovation Lab: Challenges

(Caveat: These are not specific to LIL)

- How to keep the focus on organization and society level capacity development (i.e., need assessment of HC institutions, trainee selection, organization development)? -Simply training individuals ≠ capacity development
- How to provide **innovative** educational experiences in degree training (internships, exposure to private sector linkages)?
- How do we add value to Host Country Graduate Programs?
 - Research opportunities for host country students in U.S. university laboratories
 - Internships in U.S. agribusinesses
 - Participation in U.S. university outreach programs (Land-Grant Model)
 - U.S. university faculty instruction of courses at HC universities



Capacity Development by Legume Innovation Lab: **Challenges**

- Recognizing the tradeoff between the changing nature of research projects (short-term, competitive grants) vs. long-term needs of capacity development:
 - How do we prepare a new generation of "global leaders" for private/public sector professions and meeting the challenges of agricultural development in a rapidly changing environment (changing food demand structures, increasing resource constraints, enhancing food and nutrition security, climate change, gender issues)



Thanks...

...I welcome thoughts from the panel members and audience on how to address these challenges



Panel Members

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- What are the skill sets that future grain legume scientists need to acquire to be professionally successful and to effectively serve the grain legume sectors in their home countries?
- What recommendations would you give to USAID regarding how to more effectively strengthen their institutional capacity development programs?

