



Department of
Community Sustainability

Graduate Handbook

2017-2018

NOTE:

This handbook provides the most recent policies and procedures (as of time of publication) that guide your graduate program expectations, requirements, rights, and responsibilities. They derive from a combination of Michigan State University, the MSU Graduate School, the College of Agriculture and Natural Resources, and the Department of Community Sustainability policies and practices.

You should familiarize yourself with the handbook, and use it as a resource when you have questions related to specific policies, timelines, and procedures.

CSUS Graduate Handbook

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• Introduction and Overview •

Vision

Our vision is to lead and aid in the development and revitalization of sustainable communities, thus enhancing the capacity of current and future generations to reach their potential.

Mission

Our mission is to assist the development of sustainable communities by conducting excellent scholarly research, teaching, and outreach in 1) education and civic engagement, 2) community, food, and agriculture, 3) natural resources, land use, and the environment, and 4) recreation and tourism systems.

Our Work

As scholar-practitioners and members of a multidisciplinary department within the College of Agriculture and Natural Resources, we address critical issues at the interfaces of agriculture, natural resources, recreation, tourism, and communities. Our public scholarship of research, teaching, and outreach helps people in their roles as residents, landowners, businesses, and governmental and non-profit institutions to make informed decisions with a goal of not compromising future generations. Our work ranges from local to global.

We seek to help people understand the dynamic interactions in their communities, ecosystems, and the world, as well as to identify opportunities and develop strategies for sustainable revitalization. This work is rooted in our view of a just, engaged, and dynamic society. Toward that end, we are guided by an ethic of responsible and responsive scholarship that engages people in an integrative process to maximize effectiveness.

Our Values

The faculty, staff, and students of the Department of Community Sustainability value:

- development of the professional, technical, and specialized knowledge, skills and attitudes necessary to help diverse individuals and communities improve their quality of life and the environment;
- promotion of social responsibility and development of a broad understanding and awareness of agricultural, natural resource, and recreational issues, challenges, and opportunities;
- nurturing approaches that support positive and healthy relationships among diverse individuals, communities, and agricultural and natural resource systems;
- respect for our environment; and
- a commitment to professionalism built around liberal education, practical experience, and applied learning opportunities that encourage both local and global engagement.

Scholarly Foundation

- The faculty carries out research, teaching, and outreach focused on social, environmental, community and agricultural/food systems to strive for an ecologically and socially sustainable world. The faculty is committed to sustainable development that is integrative, inclusive, and systemic in nature; involves local-to-global restructuring; and promotes public health and wellness.
- The faculty studies management, planning, leadership, and policy analysis that lead to transformational change to address complex and emerging issues in community, agriculture, recreation and tourism, and natural resource areas.
- The faculty employs a holistic, interdisciplinary approach to understand and address complex and interrelated issues. The faculty's multidisciplinary expertise creates a unique synergy that drives the department's mission and places the department at the fore of this broad and critical area of scholarship.
- The faculty work as scholar-practitioners. The scholar-practitioner model is built on critical discourse connecting theory and practice in endeavors of joint discovery and learning.
- The faculty supports a continuum of scholarship by conducting applied research, engaging in outreach and Extension initiatives, and developing curricula to balance the interests and demands of its students and stakeholders.

Department Structure and Organization

The Department is one of 10 departments, two schools, and a biological station within the College of Agriculture and Natural Resources. As a unit in this College, the faculty and staff are linked directly with AgBioResearch and Michigan State University Extension, two formal structures created specifically to address the University's land grant mission (extending research to Michigan and more global constituents via extension and outreach programs – see mission statements below). Graduate students are integrated within this land grant system across the three functions of the Department, College, and University – teaching, research, and outreach/extension – as we practice and educate students to become scholar practitioners.

The mission of **MSU Extension**:

Michigan State University Extension (MSUE) helps people improve their lives by bringing the vast knowledge resources of MSU directly to individuals, communities, and businesses.

For more than 100 years, MSU Extension has helped grow Michigan's economy by equipping Michigan residents with the information that they need to do their jobs better, raise healthy and safe families, build their communities, and empower our children to dream of a successful future.

The mission of **MSU AgBioResearch** is:

. . . to engage in innovative, leading-edge research that combines scientific expertise with practical experience to generate economic prosperity, sustain natural resources, and enhance the quality of life in Michigan, the nation, and the world.

Tag Line: MSU AgBioResearch: Leading innovation in food, natural resources, and energy

The focus and mission of the **MSU College of Agriculture and Natural Resources** are

Food, Energy and Environment: The College provides students an academically challenging environment where they put their knowledge into practice on campus, in Michigan and around the globe. . .

. . . to enhance the quality of life for the people of Michigan and the world by advancing knowledge for the management of communities and agricultural, natural resource and food systems to meet diverse human needs in a sustainable manner.

To accomplish this mission we must pursue:

- **Learning** that imbues current and future stakeholders with intellectual curiosity and offers relevant knowledge and skills,
- **Discovery** that advances knowledge and enhances productivity and sustainability, and
- **Engagement** with society that achieves social, economic, and environmental equity.

The organizational structure of the Department of Community Sustainability, and as situated within the College and University, is illustrated on the next page. The chart is structured to illustrate the people and units that support our graduate students.



Faculty Advisory Committee

Graduate Program Coordinator: *Gail Vander Stoep*

Graduate Affairs & Curriculum Committee

Undergraduate Program Coordinator: *John Kerr*

Undergraduate Affairs & Curriculum Committee

Graduate Student's Individual
Advisory Committee

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Department of CSUS
Faculty & Staff

Department of CSUS
Associate Chairperson
John Kerr

Department of CSUS
Interim Chairperson
Michael Hamm

S
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A
F
F

Graduate Secretary
Marsha Edington

Grant Administrators
Kris Hasenfratz Ten-Eyck & Karen Lavery

Receptionist
Cheryl Lowe

Fiscal Officer
Kim Richards

Office Supervisor & Secretary to the Chairperson
Sue Chatterley

College of Agriculture & Natural Resources

CANR Academic & Student Affairs
Associate Dean & Director
Kelly Millenbah

Center for Global Connections
Director
Gretchen Neisler

MSU Extension
Director
Jeff Dwyer

AgBioResearch
Director
Douglas Buhler

College of Agriculture & Natural Resources
Dean
Ronald L. Hendrick

MSU Graduate School
Interim Dean, Associate Provost
Judith Stoddart

Michigan State University

**MSU Provost &
Executive Vice President for Academic Affairs**
June Youatt

MSU President
Lou Anna K. Simon

MSU Financial Aid
for more info, see:
<https://finaid.msu.edu>

Opportunities for Student Participation in Department Life

An additional value and policy of the Department of Community Sustainability is to provide regular opportunities for graduate students to participate in the scholarly, professional development, research, outreach, and social functions and activities of the Department. Some of these opportunities are provided through formal structures; others are available more informally. Graduate students are expected to participate fully in the "life of the academy," both on campus and off campus. Such involvement is considered critical as a component of graduate education and professional development as are courses, required research, and project work.

Additionally, graduate students are expected to be active contributors to the continuing development of the Department and its work. Student perspectives, values, and ideas are considered valuable input into the Department's evolution. While always a fundamental value, this involvement is particularly critical now because the Department of Community Sustainability is still a relatively young department, the result of blending and re-conceptualizing of former departments. This effort was undertaken to better meet the complex challenges, both local and global, facing the world's citizens relative to communities, the natural environment, and the human relationship with and management of our resources (natural, agricultural, historic, cultural, economic, and others).

Formal channels for providing input include:

- Graduate Student Organization (GSO)
- Graduate Student Representative to the Department's Graduate Affairs and Curriculum Committee (GACC), ex officio
- One portion of each student's essay in the annual student progress assessment packet
- Exit interview (at the time of graduation)
- Formal grievance procedures

Graduate student representatives also are included in time-bounded task forces, faculty recruitment committees, and other task-specific ad hoc committees, as needed. Additionally, other opportunities for graduate student involvement exist at the University level (see the Graduate School website, <https://grad.msu.edu> , or talk with the current CSUS GSO General Coordinator).

Informal channels for providing input, or simply conversing about the work of the Department or asking questions, include open-door access to the following individuals (for 2016-17):

- | | |
|----------------------------------------------------------------------|-----------------------|
| • Graduate Program Coordinator | Dr. Gail Vander Stoep |
| • Chairperson of the Graduate Affairs and Curriculum Committee | Dr. Steven Gray |
| • Student's individual major professor/advisor | (varies) |
| • Graduate Secretary | Marsha Edington |
| • Associate Chairperson of the CSUS Department | Dr. John Kerr |
| • Chairperson of the CSUS Department (interim) | Dr. Michael Hamm |

Students are encouraged to schedule appointments ahead of time (via either email or phone) to be sure that the appropriate person is available and has adequate time blocked out to talk with you.

Additionally, all students are welcome to participate in CSUS academic governance through participation (non-voting) in staff meetings and faculty meetings. (See CSUS Bylaws, section 9.5, for details.)

Diversity

The Department of Community Sustainability is committed to creating a diverse faculty and student body, to include recruitment of ethnically diverse domestic students/faculty/staff as well as recruitment and acceptance of international students from countries throughout the world. The Department works closely with the Office of Minority Student Affairs (OMSA) and the Office of International Students and Scholars (OISS). We believe strongly in the value of cross-cultural sharing and multi-disciplinary approaches to education, research, and outreach. An additional aspect of diversity central to the CSUS Department is the wide variety of academic and professional backgrounds of both our students and faculty. We strongly encourage all graduate students to take advantage of the diverse student and faculty bodies (within the Department and across the MSU campus) by interacting regularly with them, both formally and informally. Every person has knowledge, experiences, and perspectives to share with each other.

• CSUS Admissions •

(for Prospective Students)

Admissions Deadlines, Guidelines, and Materials

All Department of Community Sustainability guidelines for admissions can be found on the department website, under the section for PROSPECTIVE STUDENTS: http://www.canr.msu.edu/csus/graduate/prospective_students/. From this page, you can link to separate sets of instructions for “domestic” and “international” applicants.

The Department of Community Sustainability graduate programs are based on cohorts, beginning in Fall semesters. The Department uses a rolling admissions procedure (applications are reviewed as they are completed). Applicants are encouraged to apply early to increase their opportunities for acceptance and funding.

Cohorts begin during each Fall semester. Applications are accepted on a rolling basis from September 1 of the calendar year preceding a subsequent fall semester start and continue through March 1 of the calendar year of the intended fall admission. ALL application materials must be received by the March 1 deadline for your application to be reviewed and considered for admission in the following Fall semester.

- Fall applicants who submit all application items by November 15 of the previous fall have an improved chance of consideration for university-wide fellowships and research assistantships (if your identified advisor chooses to provide funding or submit a fellowship nomination on your behalf).
- Fall applicants who submit all application items by March 1 still can be considered for research assistantships or hourly funding, as available and offered by individual faculty members who have agreed to serve as your advisor.

Application Review Process, Admissions Decisions, and Advisor Identification

FOR ALL DOMESTIC AND INTERNATIONAL APPLICANTS:

No applicant is admitted to the Department of Community Sustainability unless a faculty member has committed to advise the student (with or without a funding offer). Therefore, conversations between the applicant and potential faculty advisors prior to submitting an application are strongly recommended; however, these conversations or pre-application verbal agreements do not guarantee admission or funding. Before a faculty member *officially* commits to serving as a person’s advisor, the CSUS Graduate Admissions Committee first evaluates all of the required application items as a first-level review. Applications accepted by this committee then are made available for review by all faculty. This is the stage at which faculty commit to advising a student. After the process is complete, an admissions and funding decision is emailed to the applicant by the Department and forwarded by to the MSU Office of Admissions. The MSU Office of Admissions sends the final admissions decision to the applicant, based on its review.

Applicants sometimes ask us to make a preliminary evaluation of their qualifications for admission. We are sorry, but this is not possible. We must receive all of the required application items by the application deadline before any evaluation by the CSUS Graduate Admissions Committee can take place. Please review the information on our website for prospective students before completing and submitting your application.

English Language Requirements & Provisional Acceptance

All international applicants to the Department of Community Sustainability must meet minimum TOEFL (or other accepted English Language assessment) scores as required by Michigan State University. See MSU guidelines at: <https://grad.msu.edu/sites/default/files/content/apply/ENGLISH%20LANGUAGE%20PROFICIENCY.pdf>

Also see detailed instructions for Item 7 of the International Student CSUS Application guidelines: http://www.canr.msu.edu/csus/uploads/457/70249/INTERNATIONAL_APPLICATION_Dec_2016.pdf

Some specific conditions make applicants eligible for automatic waivers of English Language Proficiency scores. Also, there is limited potential for non-automatic waivers (for students meeting certain combinations of language criteria), pending an application started by the applicant’s potential advisor and approval by the Department, College, and Graduate School. See the guidelines in the CSUS application instructions.

NOTE: The Department of Community Sustainability DOES NOT ACCEPT STUDENTS PROVISIONALLY.

• CSUS Graduate Programs •

Introduction

The graduate programs in the Department of Community Sustainability offer students unique programs of advanced study, allow flexibility within an interdisciplinary approach, and result in strong and marketable advanced degrees. Students are expected to obtain positions or create professional careers across all three sectors: public, private non-profit, and private for-profit. Some doctoral students will seek faculty positions and join the academy, both in the United States and abroad. Others will seek research positions with governmental organizations, non-governmental organizations (NGOs), or within the private sector. Others may provide leadership in a variety of domestic or international non-profit organizations dedicated to community development and/or resource management. Others may go into private business or may choose to work as consultants. Many international students return to their home countries to serve in government, academia, NGOs and the private sector.

Rationale

Rapidly changing conditions and contexts (political, economic, cultural, social) impacting our natural environment, agricultural systems, and communities leave citizens concerned and confused about a wide range of contentious issues that cannot be addressed from within the disciplinary boundaries recognized by the academy. Our graduate program addresses such issues in four ways.

- First, the program is founded on a set of integrated research, teaching, outreach, and service activities that embody the ethos and skills of community engagement.
- Second, the program brings together an interdisciplinary faculty clustered around issues rather than disciplines.
- Third, the program attracts students having diverse educational backgrounds and experiences who recognize the need to acquire the understanding and skills that emphasize scholarship, practice, and participatory approaches in applied research, teaching, and outreach.
- Fourth, the program recognizes the value of diversity among students, faculty, and practitioners and seeks to draw from diverse and unique perspectives to enhance professional development and relationships.

Objectives

The objectives of the Department – across applications in teaching, research, and outreach – are to:

- create a scholarly community characterized by lifelong learning and transformational education;
- provide students with opportunities to engage in integrative, applied research that is grounded in contemporary scholarship as well as engagement with communities; and
- support awareness of the values, operating assumptions, and their influence on each student's scholarship and professional decision making.

To achieve these objectives, the graduate program in Community Sustainability provides students the opportunity to create individualized programs that draw from several complementary areas of scholarship, or “themes.” These broad themes include community, food and agriculture; natural resources and the environment; recreation and tourism systems; education and community engagement; and international development. Courses are designed to help students become solidly grounded in integrative applied research approaches based on multiple paradigms, disciplines, and methods. This multiple-paradigm, holistic, and systemic research approach underpins a wide range of management, development, outreach, and policy applications. The multidisciplinary approach provides a scholarly foundation from which graduates may address the complex and uncertain challenges posed by changes in communities, natural resource and environmental systems, and as a result of innovations in the agricultural and food sectors.

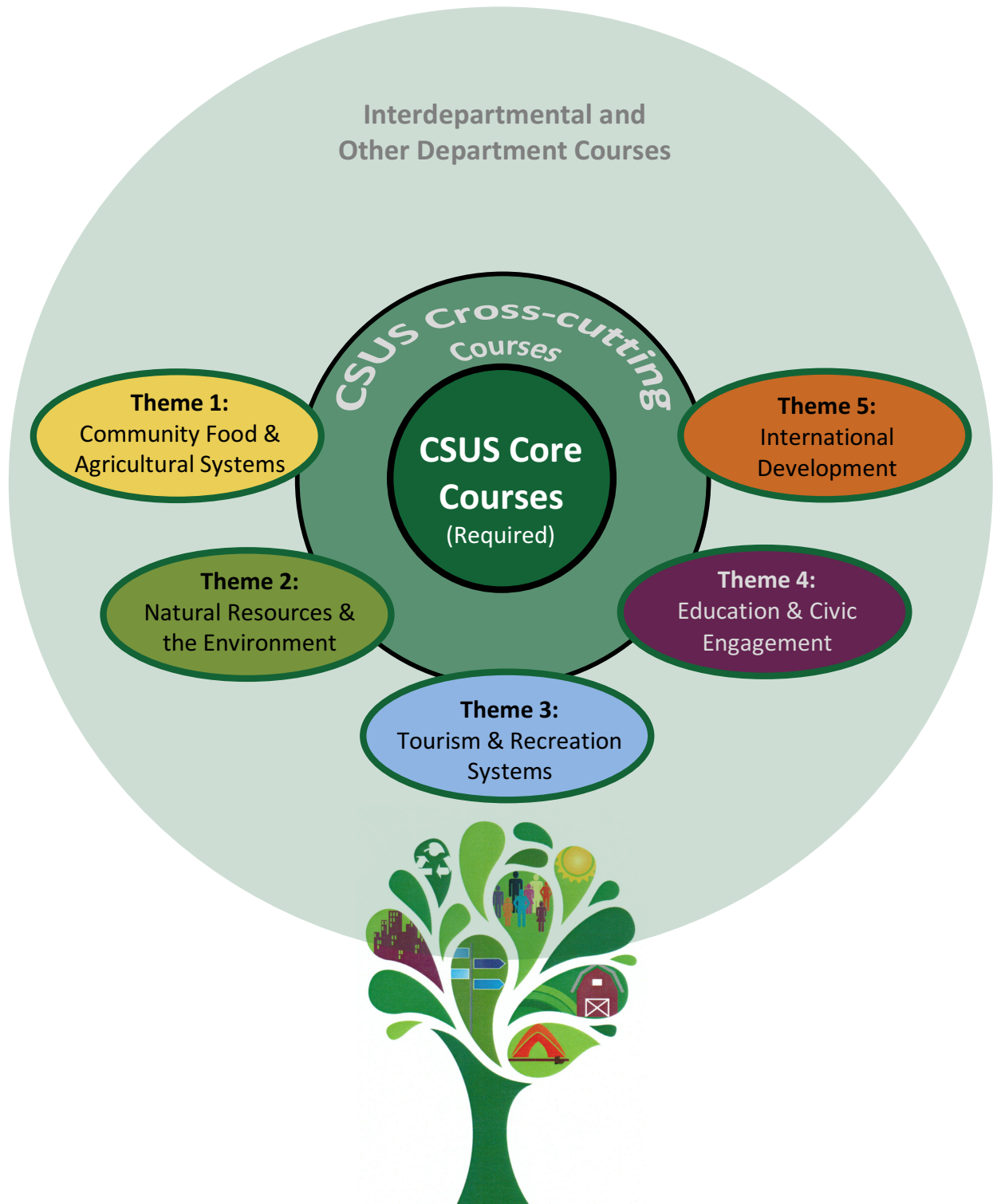
Graduate students have the option of defining their program of study within one of two degree programs: “**Community Sustainability**” or “**Sustainable Tourism and Protected Area Management**.” Several informal themes are represented in the teaching, research, and outreach activities and scholarship of CSUS faculty within both programs:

- Community Food and Agricultural Systems
- Recreation and Tourism Systems
- International Development
- Natural Resources and the Environment
- Education and Civic Engagement

Conceptual Framework for Curriculum

Although five themes are identified, a systems approach for linking and integrating content and decision making across all five is strongly encouraged. To reinforce this systems approach, the curriculum is designed deliberately to include integrative and cross-cutting courses.

The conceptual framework for the curriculum model is illustrated below:



CSUS Core Courses: Core courses are the department-wide required courses (CSUS 800 [all], CSUS 802 [MS]; CSUS 899 [MS-A], CSUS 895 (currently not offered, so an alternate course must be selected by the student and advisor/guidance committee) and CSUS 898 [MS-B], and CSUS 999 [Ph.D.]). The Graduate Programs in CSUS and STPAM each include a set of required core courses (that vary by degree sought). The two core courses for all master's students (CSUS 800 and 802) are offered during the fall semester. Doctoral students also are required to take CSUS 800. Doctoral students who do not have strong course background and experience in research methods are strongly encouraged to take CSUS 802 as a foundation for their advanced research methods courses. *(Note that CSUS 802 does not count as part of the "9 credits of **advanced** research methods" requirement for doctoral students but may, with committee approval, be included in a focus area or taken simply as an extra course.)*

See individual degree program requirements for additional information.

Themes: CSUS courses tend to fall generally within and across five themes that provide the foundations for integrated community sustainability work. The number and identity of these themes/courses will evolve over time, with the goal that most graduate courses are intended to be interdisciplinary and crosscutting.

CSUS Cross-cutting Courses: These courses are departmental courses that intentionally integrate content across two or more of the themes and also reflect the foundational concepts and principles of CSUS.

Interdepartmental and Other Department Courses: These courses are those available in other departments, especially units with which CSUS has or is developing partnerships. These courses are identified and developed in many ways. Sometimes they are developed specifically to meet needs of an interdisciplinary specialization or certification. Other times, they are simply courses that are relevant to students in more than one department. Sometimes they are cross-listed (overtly identifying the relevance to more than one discipline); other times they are not cross-listed, yet still link effectively with CSUS degree work.

Specializations and Certification Programs: In addition to the graduate degree programs offered by individual departments within the university, Michigan State University also offers many programs that combine the research facilities and faculty of different departments and colleges. Thus, students have the option of incorporating in or adding a specialization to their academic work. In many cases, a specialization or certification is simply incorporated as all or part of one (or more) student-identified focus areas in the official Program of Study. Some students choose to *add* a specialization or certification on top of their official Program of Study. In other cases, some program requirement courses fulfill part of a specialization (and vice versa); yet additional courses beyond the official *Program of Study* are needed to complete a specialization or certification. See pp. 91-92 for options, and p. 68 for the supplementary program planning form (page 2 of your Program Plan form).

It is suggested that any courses *not* needed as part of the official Program of Study (minimum of 30 credits for MS and 60 credits for Ph.D. degrees) not be included on the official Program of Study. Rather, they can be tracked on the Specialization part of Page 2 of the Program Plan form (see p. 68). The reason is that, should students change course choices for specializations/certifications or later decide NOT to complete one, the student does not have to complete a *Change of Program Plan* form or complete a new *Program Plan/Planning Form*.

Primary Research & Outreach Themes

Graduate students have the option of personalizing their own programs of study through creation of student-designed “focus areas.” These focus areas (see program requirements for the relevant degree and the associated Program Planning form) may incorporate elements of the five themes and that represent the teaching, research, and outreach interests of the Community Sustainability Department, but they do not have to fall *within* a single theme. Rather, students are encouraged to **self-define and self-name their degree program focus areas**, based on their own academic and professional goals and needs that also reflect the integration of themes within the department. Concepts of sustainability and community engagement are woven throughout all thematic areas of study.

COMMUNITY FOOD & AGRICULTURAL SYSTEMS

This thematic area addresses issues related to the sustainability and democratization of food and farming. Courses and research opportunities prepare students to support civically-focused efforts that revitalize our food systems and define a more public role for agriculture through education, cooperation, and citizen engagement. This area seeks to integrate social and community concerns into production agriculture and to link agriculture to the issues of health, recreation, natural resources management, community development, ethnics, and public policy.

EDUCATION & CIVIC ENGAGEMENT

This thematic area concentrates on developing scholar-practitioners interested in engaging and enhancing educational practices within schools and in the community. This area addresses agriculture and natural resource issues in educational practice, theory, research, and policy. Outreach opportunities are available to partner with a network of schools, community residents, local government agencies, nonprofit organizations, and Michigan State University Extension to design and implement appropriate educational programs.

NATURAL RESOURCES & THE ENVIRONMENT

This thematic area links the social and physical sciences with planning, management, and policy issues associated with the use of natural resources and the environment, particularly land and water. Examples include multiple resource needs and uses: stakeholder perceptions and decision-making; and social, economic, and environmental impacts of alternative policy or management options. Research is used to inform decisions made by public, private, and non-profit groups and to develop formal and non-formal environmental education efforts. Coursework, research, and outreach address local, regional, national, and international issues.

TOURISM & RECREATION SYSTEMS

Graduate work in this thematic area focuses on understanding recreation and tourism as integral parts of communities, economies, and natural environments. Coursework, research, and outreach in this area address the motivations, preferences, and participation patterns of tourists and recreationists; the role of business, government, and non-government organizations that comprise and service tourism systems; the role of tourism in community development (domestically and internationally); and the interaction between tourism and recreation activities and the natural, agricultural, historic, and cultural resources of a community or region.

INTERNATIONAL DEVELOPMENT

Many faculty and students in Community Sustainability conduct research related to international development, often applying the ideas associated with the other thematic areas to developing country contexts. Additional departmental work in international development addresses agricultural technology adoption, nutrition-agriculture linkages, and collective action to manage commonly held natural resources. Participatory research and mixed methods research approaches are used extensively.

• Master of Science Programs •

The Master of Science (MS) programs in the Department of Community Sustainability provide students with opportunities to engage in integrated and applied research and acquire professional skills. Applicants may choose one of two degree programs: “Community Sustainability” or “Sustainable Tourism and Protected Area Management.” Within the chosen degree program, students may apply for one of two MS degree types:

- MS-Plan A** (research-focused degree for which a thesis is required), or
- MS-Plan B** (a professional degree, for which a professional project is required).

Applicants must indicate their preferences, as related to personal professional goals, in their application materials. Each of the two degree programs has its own official code, so specify this in the official MSU application:

- 5389:** Community Sustainability (for all MS degree types, A and B)
- 5376:** Sustainable Tourism and Protected Area Management (for all MS degree types, A and B)

Applicants should indicate their preferred degree type (MS-A or MS-B) in the Academic Statement and cover letter to the CSUS Department and answer the related supplemental question in the MSU online application. To increase the potential for academic success of students, no applicants are accepted as provisional students, and no applicant is accepted without an identified and committed faculty advisor.

General Requirements for the Master of Science Degree

In addition to meeting the requirements of the University and of the College of Agriculture and Natural Resources (CANR), students must meet the requirements specified below.

Selection of Plan A or Plan B: Student must select either Plan A (research-based, with thesis) or Plan B (professional practice/management, with professional project). Plan A emphasizes integrated and applied research. Plan B focuses on acquisition of well-defined professional skills. This choice will guide admissions application review and which set of requirements the student will use in developing their Program of Study.

Minimum Credit Requirements: Students in either the Plan A and Plan B MS programs are required to complete a minimum of 30 semester credits.

Residency Requirement: By university policy, master’s students at MSU must take a minimum of 6 course credits in residence on campus. However, because the majority of CSUS and STPAM courses are offered only on campus, master’s students in the Department of CSUS must be on campus to take campus-based courses included on their program plan.

General Registration Requirements:

By university policy, all students using University services (faculty consultation included) for graduate work must be registered each semester, except as explicitly waived,. Minimum registration is one course of 1 credit.

Time for Degree Completion: Master’s programs must be completed in no more than five [5] years from semester of admission or the semester in which the first course used toward degree requirements was taken, whichever comes first. Because students are diverse, and are working within even more diverse personal and academic contexts, there is some flexibility in timing. See the table on the next page for “suggested” and “no later than” deadlines.

GPA Expectations: A minimum 3.00 cumulative grade-point average is required for graduation by both University and Department policies. Grades are reviewed at the end of each semester by the Graduate Program Coordinator. If a student’s overall GPA falls below 3.00, the student is placed on probation. They will be notified by mail and/or email, and should schedule an appointment immediately with their major professor to discuss their academic progress. Under such conditions, the student will receive counseling, remediation recommendations, or other actions deemed appropriate to assist the student in achieving success. In consultation with their advisor, the student should develop a written plan to address deficiencies; the plan will be placed in student’s permanent file. Students placed on GPA-based probation will have a maximum of two semesters to raise their GPA to at least 3.00. If this minimum is not achieved, the student is counseled by their major professor and may be required to withdraw from the MS program.

Filing Program Plan: Students should complete the relevant CSUS or STPAM *MS Program Planning and Program of Study* form: as a planning aid for the student and his/her committee (for identifying and labeling focus area and selecting courses), as a formal record of Program of Study agreement, and to assist with final degree certification. After a committee meeting during which the Program of Study is finalized, a *MS Program Planning and Program of Study* form must be completed, approved/signed, and filed **no later than the end of the student's second semester** of their MS degree work (recommendation is by end of first semester).

Students must use the CSUS form, see Appendix A of this handbook and use the fillable form on the CSUS website, Forms section: https://www.canr.msu.edu/csus/graduate/current_students/forms. The signed original form will be filed in the student's permanent academic file. We strongly suggest that each student keep a copy of this and all "degree progress" forms. If changes of any kind are made later, complete and submit the *Change of Program* form.

MASTER'S DEGREE PROGRAM PROGRESS DEADLINES		
Action	Grad School Suggested Deadline	"No Later Than" Deadline
Form guidance committee, have first committee meeting	End of student's first semester	End of student's second semester
Submit Program Plan with CSUS Graduate Program	End of student's first semester	End of student's second semester
Submit announcement for thesis or project proposal defense	2 weeks before proposal defense	2 weeks before proposal defense
Hold public proposal defense, followed by closed committee proposal defense	After program plan is submitted; end of student's second semester	After program is plan submitted; early in student's third semester
Submit announcement for thesis or project final defense	2 weeks before final defense	2 weeks before final defense
Hold public final defense, followed by closed committee proposal defense	End of student's second year	End of five years from the starting semester of degree program

DIGITAL FORM COMPLETION AND DIGITAL SIGNATURES

Whenever possible, please use the fillable form (make a copy of the file on your computer (adding your LASTNAME, then FIRSTNAME at the *beginning* of the file title), complete the fillable fields, and use digital signatures whenever possible.

Order of signing should be student, then advisor, then each of the committee members (in series – do NOT send the same version simultaneously to several people), then the Graduate Program Coordinator of CSUS. After all these signatures are secured, the Program Plan will be forwarded to the College of Agriculture and Natural Resources.

Be sure to type in the "date" when the signature is made, even if the date is embedded in the digital signature. The digital dates are often too small to read.

Note that after any single person uses a handwritten signature, no one else can use a digital signature.

Curriculum Requirements for the Master of Science Degree: Community Sustainability

The student's program of study must be developed in cooperation with and approved by the student's Guidance Committee and must include the requirements specified below.

NOTE: Collateral courses to overcome deficiencies may be required in addition to the requirements for the CSUS master's degree. Collateral course work **will not** count toward the minimum requirements for the master's degree.

Course Requirements for all CSUS Plan A and Plan B students:

Both of the following courses are required and should be taken during the student's first fall semester: **(6 credits)**

- CSUS 800, Foundations of Community Sustainability **(3 credits)**
- CSUS 802, Survey of Research Methods **(3 credits)**

Minimum of 15 credits of course work in a focus area (mirroring one of the five CSUS theme areas, or created in consultation with committee and within the scope/philosophy of the CSUS Department): **(15 credits)**

At least 6 credits of this focus area must be selected from Community Sustainability courses having an alpha code of CSUS.

Additional Plan A Requirements:	Additional Plan B Requirements:
Quantitative or qualitative methods, (min. of 3 credits)	Techniques or skill-building course (min. of 3 credits) relevant to student's academic & career goals
CSUS 899, thesis/research credits (min. of 6 credits) <i>(maximum of 18 credits)</i>	Both of the following courses: (6 credits) <ul style="list-style-type: none"> • CSUS 895, Case Studies in CSUS <i>(or alternate)</i> (3 credits) • CSUS 898,¹ Master's Professional Project (3 credits) <i>(maximum of 9 credits)</i>
Formal public presentation and approval of thesis proposal (written and oral)	Written plan for & formal defense of Professional Project with rationale linked to professional goals <i>(public proposal defense is strongly encouraged, but optional)</i>
Completion, public presentation, and formal defense of master's thesis (certifying exam); submission of final written thesis	Completion, public presentation, and formal defense of a project/report based on master's professional project/experience (certifying exam); submission of final written project

NOTES:

400-level Courses: By MSU policy, more than half of the credits of the total (30) required for a master's degree must be taken at the 800 and 900 levels, except as specifically exempted by the college dean (College of Agriculture and Natural Resources, CANR). Courses at the 400 level may be applied to the master's degree program; however, when both 400- and 800-level courses are available that cover the same content, the 800-level course should be selected.

Transfer Credits: A maximum of 9 semester credits of graduate course work (excluding research and thesis credits) may be transferred into a 30-credit master's degree program from other accredited institutions or international institutions of similar quality, if they are appropriate to a student's program, the student receives grades of 3.0 or higher, and provided courses were completed within the time limits approved for earning the degree (five years from date of first course used for the MSU degree program). Courses used to meet requirements of another degree are not acceptable. The CSUS Graduate Program Coordinator, Department Chairperson, the CANR Dean, and the Registrar must approve use of transfer courses. After transfer credits are approved, they must be filed as part of your program plan.

Focus Area Option: Some students pursue specializations or certifications as all or part of the focus area. Specializations or certifications also may be taken above and beyond degree requirements, and need not appear on *Program Plans*.)

¹ **CSUS 898 credits** can be deferred (DF grade) up to six [6] months from the last day of the semester in which the student was enrolled. At that time, CSUS 898 credits are automatically converted to U (Unfinished) grades. A formal request to extend the time by an additional six months is possible, but should be requested prior to "U" conversion. It is HIGHLY RECOMMENDED to enroll in CSUS 898 during your final semester, when a significant portion of your professional project and associated report have been completed.

Curriculum Requirements for the Master of Science Degree: Sustainable Tourism and Protected Area Management

The student's program of study must be developed in cooperation with and approved by the student's Guidance Committee and must include the requirements specified below.

NOTE: Collateral courses to overcome deficiencies may be required in addition to the requirements for the STPAM master's degree. Collateral course work **will not** count toward the minimum requirements for the master's degree.

Course Requirements for all STPAM Plan A and Plan B students:

All of the following courses are required and the first two should be taken during the student's first fall semester **(9 credits)**

- CSUS 800, Foundations of Community Sustainability **(3 credits)**
- CSUS 802, Survey of Research Methods **(3 credits)**
- CSUS 814, Sustainable Tourism & Protected Area Management: Theories & Applications **(3 credits)**

Minimum of 12 additional credits of course work in a focus area relevant to tourism or protected area management, created in consultation with committee and within the scope/philosophy of the CSUS Department). At least 6 credits of this focus area must be selected from Community Sustainability courses having an alpha code of CSUS. **(12 credits)**

Additional Plan A Requirements:	Additional Plan B Requirements:
Quantitative or qualitative methods, (min. of 3 credits)	Techniques or skill-building course (min. of 3 credits) relevant to student's academic & career goals
CSUS 899, thesis/research credits (min. of 6 credits) <i>(maximum of 18 credits)</i>	Both of the following courses: (6 credits) <ul style="list-style-type: none"> • CSUS 895, Case Studies in CSUS <i>(or alternate)</i> (3 credits) • CSUS 898,² Master's Professional Project (3 credits) <i>(maximum of 9 credits)</i>
Formal public presentation and approval of thesis proposal (written and oral)	Written plan for & formal defense of Professional Project with rationale linked to professional goals <i>(public proposal defense is strongly encouraged, but optional)</i>
Completion, public presentation, and formal defense of master's thesis (certifying exam); submission of final written thesis	Completion, public presentation, and formal defense of a project/report based on the master's professional project/experience (certifying exam); submission of final written project

NOTES:

400-level Courses: By MSU policy, more than half of the credits of the total (30) required for a master's degree must be taken at the 800 and 900 levels, except as specifically exempted by the college dean (College of Agriculture and Natural Resources, CANR). Courses at the 400 level may be applied to the master's degree program; however, when both 400- and 800-level courses are available that cover the same content, the 800-level course should be selected.

Transfer Credits: A maximum of 9 semester credits of graduate course work (excluding research and thesis credits) may be transferred into a 30-credit master's degree program from other accredited institutions or international institutions of similar quality, if they are appropriate to a student's program, the student receives grades of 3.0 or higher, and provided courses were completed within the time limits approved for earning the degree (five years from date of first course used for the MSU degree program). Courses used to meet requirements of another degree are not acceptable. The CSUS Graduate Program Coordinator, Department Chairperson, the CANR Dean, and the Registrar must approve use of transfer courses. After transfer credits are approved, they must be filed as part of your program plan.

Focus Area Option: Some students pursue specializations or certifications as all or part of the focus area. Specializations or certifications also may be taken above and beyond degree requirements, and need not appear on *Program Plans*.)

² CSUS 898 credits can be deferred (DF grade) up to six [6] months from the last day of the semester in which the student was enrolled. At that time, CSUS 898 credits are automatically converted to U (Unfinished) grades. A formal request to extend the time by an additional six months is possible, but should be requested prior to "U" conversion. It is HIGHLY RECOMMENDED to enroll in CSUS 898 during your final semester, when a significant portion of your professional project and associated report have been completed.

Master of Science Guidance Committees

Terminology

Initial Advisor: Advisor first assigned to applicants based on a match in interests and faculty member's capacity to accept new students. This person usually becomes the student's major professor (confirmed at the time of filing of official *Program of Study*), but the student has the right to identify a different major professor/advisor if they find someone more appropriate. A change can be made only if the newly identified faculty member agrees and has the capacity to accept another student. The initial advisor also must agree.

Major Professor: Faculty member who serves as a student's primary advisor and mentor throughout the MS academic career at MSU.

(Academic) Advisor: Used interchangeably with "Major Professor" or "Guidance Committee Chairperson"

Guidance Committee Chairperson: Used interchangeably with "Major Professor" and Academic Advisor

Thesis Advisor: Usually your major professor, but under some circumstances it may be more appropriate for another committee member to serve as the thesis advisor (to be specified on the Program Plan).

Guidance Committee: Group of regular faculty members, including your advisor, who work with you to develop your academic and curriculum plan, mentor you, and who comprise your Thesis or Project Final Examination committee (thesis or project defense). Requirements: Minimum of two members for MS-B; minimum of three members for MS-A.

Regular Faculty: All persons appointed under the rules of tenure and holding the rank of professor, associate professor, assistant professor, or instructor, and persons appointed as librarians. In addition, the principal administrative officer of each major educational and research unit of the university shall be a member of the 'regular faculty.' Non-regular faculty may apply to serve on a committee, as eligible. See details below.

Guidance Committee Composition: Master of Science degree Guidance Committees must consist of MSU regular faculty members and (optionally) others having Graduate School faculty status.

A minimum of two (2) CSUS regular faculty members is required for all Master's committees.

Plan A Master's Committees must have at least three (3) members, of which at least two (2) must be from the CSUS Department.

Plan B Master's Committees must have at least two (2) members from the CSUS faculty (optional additional committee members may come from inside or outside of CSUS).

Regular faculty members are defined as all persons appointed under the rules of tenure and holding the rank of professor, associate professor, assistant professor, instructor, or persons appointed as librarians. A list of approved non-regular committee members is available in GradInfo and can be checked for you by the Graduate Program Coordinator or the Graduate Secretary. Requests for others *not* on the approved list must be nominated, reviewed, and accepted in accordance with University and CANR guidelines (see this handbook's Appendix F and <https://grad.msu.edu/non-regular-faculty-committees>). MS committees may have a maximum of 1 non-regular faculty member.

Conflict of Interest: Note that no external person will be approved as a voting committee member if they are in any way associated with funding of the student's project or research work.

We encourage you to talk personally with several potential faculty members whom you've determined as possible "good matches" for your committee, discuss their interest and willingness to serve, then talk with your advisor to discuss and finalize choices. The composition of your Guidance Committee is checked for compliance and approval by the Graduate Program Coordinator, then submitted for approval by the CSUS Department Chairperson and the CANR Associate Dean, as indicated through their signatures on the relevant *MS Planning and Program of Study* form.

Students always reserve the right to change any committee member, including the advisor. This is accomplished by talking with and getting approval from both departing and incoming committee members, writing and signing a letter to the Department Chairperson and copied to the Graduate Program Coordinator (submitted via the Graduate Secretary) explaining the change request. This letter will be attached to the *Change in Program Plan* form, which is signed by the student, the advisor, the departing committee member, and the incoming committee member. On rare occasions, the Department may make changes – with concurrence of the student – such as in cases when a Committee member leaves the University for any reason. Typically, the student is informed and the same procedure followed as for student-initiated changes. The Department does not simply "assign" committee members without involvement of the student.

After Guidance Committee members have been finalized, the student should schedule a committee meeting to discuss professional and academic goals, finalize and have signed for approval the Program of Study (using the relevant CSUS *MS Planning and Program of Study* form, available on the CSUS Graduate Forms web page), and begin preliminary discussions about the thesis or professional project.

Once designated, the Guidance Committee has the responsibility to meet periodically to oversee the student's progress as long as the student continues in good standing. Any desired or required changes in the membership of the Guidance Committee may be made by the graduate student with concurrence of the unit chairperson/ director or designated representative, or by the unit with the concurrence of the graduate student, in accordance with University, college, and unit policy. The Guidance Committee, with concurrence of the graduate student, may form a thesis/dissertation committee to supersede or supplement the Guidance Committee. Committee or thesis/dissertation chairpersons on leave shall provide for the necessary guidance of their advisees during their absence.

To make any committee or program changes, regardless of reason, use the *Change in Program Plan* form located at https://www.canr.msu.edu/csus/graduate/current_students/forms. Refer to the G.S.R.R. 2.4 (Graduate Students Rights and Responsibilities) for more information on MSU policies related to guidance committees: <https://grad.msu.edu/gsrr>

Examination for Master of Science (for all MS degree programs in CSUS and STPAM)

- The Plan A examination will be the student's final oral thesis defense, and will focus on the student's research.
- The Plan B examination will be the final oral project defense, and will focus on the student's Professional Project.

The student's Guidance Committee will administer the Examination. For both the MS-A and MS-B degree, the oral examination includes a public presentation by the student of his/her thesis or project. The student's Guidance Committee will decide on the specific structure to be used in examining the student, to include the balance between the public presentation, public discussion period, and closed examination portions of the session.

Project (MS-B) or Thesis (MS-A) Proposal and Proposal Defense Overview

Students must prepare a written proposal in consultation with the Guidance Committee chairperson and present the proposal to the Guidance Committee. The **public** oral forum proposal is required for MS-A students and optional, though strongly encouraged, for MS-B students. The proposal should describe, at a minimum, the purpose or objectives of the proposed research or project, a review of the relevant literature, and the proposed method(s) and/or procedures.

Students must submit the written proposal to the Guidance Committee members **at least two weeks/14 days prior** to the oral proposal presentation and defense.

All public forums should be scheduled and announced to faculty and graduate students in advance, with promotional materials submitted to the Graduate Secretary for electronic distribution and CSUS website posting (and bulletin board posting, if desired) **at least two weeks/14 days before** the scheduled defense. At a minimum, the announcement should include: student's name; degree program and type; proposal title; committee member names (with chairperson identified); date, time, and location of the proposal defense; and abstract. Contact the Graduate Secretary to help schedule a presentation room.

Submit the flier in two versions: as a Word document and a PDF document (two versions are used differently). See content/format example on the next page.

Title of the Thesis or Project
Degree Type (Master's Thesis, Master's Project) Proposal Defense

by
Student FirstName LastName
Day of Week, Month ##, 20##
Time: #:00 a.m. (or p.m.)
Room: ###, Natural Resources Building

Abstract

Write a short abstract, approximately one or two paragraphs, that succinctly presents the proposal's purpose, need/rationale, theoretical framework used, context, methods and/or procedures, and any other information that is relevant to help others understand your work.

Committee Members:

Dr. Aaaa Bbbbbb, Chairperson
Dr. Cccccc Dddddd
Dr. Eeeeeee Fffffff

If you choose also to create a flier for hallway posting, feel free to add some design elements (such as a relevant photo or other graphic representing your proposal topic or context). However, the information in the box above should be submitted to the Graduate Secretary for email distribution and for posting in the Events section on the CSUS website.

After the public proposal, and in a closed session, members of the Guidance Committee will discuss the suitability of the proposal and indicate their approval on the relevant *Thesis* or *MS-B Project Proposal Approval* form (see Appendix A) or the CSUS Graduate Forms website. This form must be signed by the student, the Guidance Committee members, the Graduate Program Coordinator, and the Department Chairperson before being forwarded to the CANR Assistant Dean.

Students conducting research as part of their work must ensure compliance with university requirements and guidelines pertaining to use of human subjects and vertebrate animals in research, and to safe use of hazardous materials. Forms necessary to secure approval from the Institutional Review Boards (IRB) may be obtained from the Human Research Protection Program (<https://hrpp.msu.edu>). Note that training is required in the relevant human and/or animal research areas prior to submitting the IRB application and implementing data collection procedures. See IRB and RCR guidelines and annual documentation form on page 81 in Appendix A. A thesis is required for the MS-A degree. According to University regulations, each MS-A student must register for a minimum of 6 credits of CSUS 899 Thesis Research prior to awarding of the degree.

Students are required to write a thesis containing a contribution to knowledge, conforming to professional standards of evidence and argument, and using clear and correct language.

Final Thesis Defense and Thesis Submission (for MS-A students)

Plan Ahead for Thesis Submission (for graduating in semester of your defense)

- 6 WEEKS PRIOR TO GRAD SCHOOL FINAL DEADLINE and 2 WEEKS PRIOR TO FINAL THESIS DEFENSE: Submit final defense announcement to Graduate Office; submit draft copy of your thesis to committee members (for their reading prior to your defense)
- 4 WEEKS PRIOR TO GRAD SCHOOL FINAL DEADLINE and 2 WEEKS PRIOR TO FIRST THESIS SUBMISSION TO GRAD SCHOOL: Hold final defense with your committee; allow about 2 weeks to make committee-recommended revisions/edits
- 2 WEEKS PRIOR TO GRAD SCHOOL FINAL DEADLINE: Submit committee-approved first draft to Grad School for their review, comments, editorial and formatting requirements
- GRAD SCHOOL FINAL DEADLINE: Final date for FINAL draft of thesis to ProQuest to graduate in the same semester. (See Graduate School deadlines on the next page.)

Description and Announcement of Thesis Defense:

The thesis defense serves as the final certifying exam for the MS-A degree. It is a public seminar that is open to attendance by faculty, staff, students, and other invited guests. Seminar announcements (in Word plus PDF formats) should be made **at least two weeks/14 days** prior to the seminar. Guidelines for content, format, and timing of announcements should follow those for the proposal defense, page 16, but is labeled “Thesis Final Defense” rather than “Thesis Proposal Defense.” The Graduate Secretary shall forward this notice to faculty and graduate students. Notification shall be via email, with flier attached, and submitted to the IT team for website posting in *Events* on the CSUS website homepage; printed fliers will be posted on the Graduate Studies bulletin board and other locations.

Students must provide Guidance Committee members with a copy of the thesis (marked “DRAFT”) **at least two weeks/14 days** prior to the defense seminar.

Structure of Master’s Thesis Defense:

The thesis should be presented using appropriate visual and other aids. Time should be allotted for questions from and discussion with the Guidance Committee and other audience members. Following the public seminar and discussion, guests will be excused and the student and Guidance Committee will continue in a closed session for additional discussion. Guidance Committee members may require corrections, revisions, clarifications, and edits before final approval of the thesis.

Formatting Your Master’s Thesis:

Prepare your Master’s Thesis following the specific instructions in the Graduate School Formatting Guide (find appropriate links via: <https://grad.msu.edu/etd/formatting-guide> OR for a printable version, see <https://grad.msu.edu/sites/default/files/content/etd/Formatting%20Guide%20February%202016.pdf>). This Formatting Guide sets forth the thesis and dissertation requirements established by Michigan State University for required electronic submission. The thesis should be prepared in accordance with the instructions of this guide. PDF copies must be provided to the Department (via the Graduate Secretary) after final revisions have been made and approved by the Graduate School. Ask Guidance Committee members about their preference of type for receiving a personal copy (digital PDF, hard-bound print copy, soft-bound print copy, or unbound print copy).

Record of Completion and Submission of Thesis:

After Guidance Committee members have assessed the acceptability of the thesis and defense, and agreed on approval of the thesis, the committee members should sign the *Report on Master’s Plan A Thesis Final Examination* (http://www.csus.msu.edu/graduate/current_students/forms, which should be pre-filled (except results and signatures) by the student prior to the defense. It will then be submitted to the Graduate Secretary, who will forward the form for additional signatures and will confirm successful completion with the Graduate School.

If the student passes the oral defense, but the written thesis still needs more than minor editing, the advisor should check “conditional pass,” summarize edits needed (on the form or on an attached page), submit to the Graduate Secretary for safe-keeping until the student completes revisions. Then, after the student makes all revisions required by the committee prior to submission to the Graduate School, the advisor will sign and date the “final approval” line on the Report of Master’s Plan A Thesis Final Examination form.

MSU accepts **only** electronic theses and dissertations submitted electronically via ProQuest. Instructions are available at <https://grad.msu.edu/etd/> Submit via ProQuest at <http://www.etdadmin.com/cgi-bin/school?siteid=295> A fee is charged by ProQuest-UMI if the thesis is to be copyrighted. The Graduate School staff reviews only the PDFs that are uploaded through ProQuest.

At the same time as the student submits the draft thesis to the Graduate School, they should submit a completed, signed copy of the Theses/Dissertation and Institutional Review Board Approval form (found on CSUS forms website). Submit a copy of this form to the CSUS Graduate Office via the Graduate Secretary.

Submit a PDF copy of the FINAL thesis to the CSUS Graduate Office via the Graduate Secretary.

All CSUS (and former department) projects, theses, and dissertations will be available via the Graduate Secretary. Older, bound hard copies can be checked out, and the newer PDF copies can be obtained directly from the Graduate Secretary.

MS-A Examination/Defense Result Form

At the end of the thesis defense, the Guidance Committee will identify one of three outcomes, to be marked on the MS-A Examination/Defense form:

PASS: Student has passed the oral defense and the written document is approved “as is” and can be submitted according to guidelines below (to Graduate School and ProQuest for thesis)

NO PASS: Student does not pass the oral defense; committee may request significant additional work be done before student attempts to defend again, or may choose to counsel student out of the program.

CONDITIONAL PASS: Student has passed the oral defense (thus, would not have to enroll for one credit in a subsequent semester if it takes beyond the end of the current semester to complete written revisions, or deadlines are missed), but revisions and/or editing are required in the written document. A summary of requested modifications can be written on the form, or a more comprehensive explanation can be provided in an attached document. Student makes revisions, as requested by the committee, and when the committee members are satisfied with the modifications, they will provide final approval. The committee chairperson will sign and date the “final approval” line (indicating conditions have been met) on the MS-A Examination/Defense form.

Be aware that a submission via ProQuest does not mean that the document has been ACCEPTED. The review process is interactive and can take from a few hours to multiple weeks, depending upon the extent of the necessary revisions and how diligent the author is when making the necessary revisions. Submit your first final draft **at least two weeks before that semester’s “final submission deadline.”**

ELECTRONIC SUBMISSION OF THESES*

Target dates for **FINAL APPROVAL** of an electronic Thesis or Dissertation to the Graduate School for graduating the semester of that submission are below.

Electronic Submission’s Final Approval Target Dates:

Summer 2017:	August 22, 2017	Spring 2019:	May 8, 2019
Fall 2017:	December 20, 2017	Summer 2019:	August 21, 2019
Spring 2018:	May 9, 2018	Fall 2019:	December 18, 2019
Summer 2018:	August 21, 2018	Spring 2020:	May 6, 2020
Fall 2018:	December 19, 2018	Summer 2020:	August 19, 2020

NOTE: If you intend to graduate in the current semester, your document must be accepted and delivered to the publisher, ProQuest, by 5:00 p.m. on the deadline date. This means that your document has been submitted via the ProQuest website, reviewed by a Graduate School ETD Administrator for formatting, has been deemed to be formatted correctly, and all necessary paperwork has been turned in to the Graduate School. **The deadline date is not simply the last date to submit your document for the first time to the Graduate School via the ProQuest website. Make sure you are aware of the deadline dates.**

**NOT required for MS-B projects*

Note that the Graduate School now permits submission of supplementary materials to ProQuest. Such materials will not be reviewed for formatting requirements, but must be acceptable by ProQuest and comply with ProQuest’s criteria and storage limits. All supplementary materials need the written approval of the thesis/dissertation committee chairperson. Their initials must appear on the MSU form *Theses/Dissertation & Institutional Review Board Approval* (available on the CSUS graduate forms website: https://www.canr.msu.edu/csus/graduate/current_students/forms)

Also note that the MSU Library *may* accept supplementary materials approved by the thesis/dissertation committee chairperson based on their collection criteria. These are not reviewed by the Graduate School. Questions about submission of these materials to the library should be directed to the Assistant Director for Digital Information Services, currently Shawn Nicholson (nicho147@mail.lib.msu.edu).

Final Professional Project Description, Final Defense and Associated Report Submission (for MS-B students)

Professional Project Overview:

A professional capstone project and associated report is required for the MS-B degree. Each MS-B student must register for 3 credits of CSUS 898 Master's Professional Project prior to awarding of the degree.

Reminder: Avoid Enrolling for CSUS 898 Too Early

The student may defer receiving a grade for the 898 credits for no more than six months beyond the end of the semester for which they are enrolled. After that, the grade automatically converts to a grade of U (Unfinished). One 6-month extension may be requested PRIOR TO auto-conversion to "U." After this, a student must re-enroll (and pay again) for the CSUS 898 credits. A student may enroll for a maximum of 9 credits of CSUS 898.

Because the Plan B emphasizes professional development, the student designs and completes a significant project that helps to further his/her professional goals. Because this project is for an advanced degree at an academic institution, the project must be *informed by the current literature* as this will: 1) ensure that the work is current, and 2) help students learn how to conduct their professional work as *scholar* practitioners. The project should be an important and relevant training experience and should contribute to developing specific professional skills and knowledge. Although the project may have practical research applications, the applicability of the results is likely to be local and targeted (e.g., to an organization, a location, or a particular situation) rather than general or generalizable (e.g., contribute to the literature or theory-building).

Ideas for Plan B Professional Projects:

A Plan B project can take many forms, and should be based on the goals of the student. Ideally the Plan B project should be significant in scope and should build on the student's scholarship and experience. Examples of Plan B projects follow.

- The student does an internship with a community organization and takes the lead on authoring a large grant proposal on behalf of (but in collaboration with) the organization.
- The student conducts an applied research project for a community organization and writes it up and presents it to the community, incorporating implications and recommendations based on project results.
- The student works in classrooms with children and develops or adapts a curriculum for a specific audience.
- The student writes an extensive literature review and does an applied practicum on a new area/topic that they plan to explore and/or apply in their career.
- The student does a piece of applied research and writes the results into a professional bulletin or other outlet that is useful to a practitioner community, or develops a museum program/plan, or creates another useful project.
- The student prepares instructional media resources appropriate for stakeholders or professional community.

Note: The above are simply examples. Other students have completed projects such as: developing practical tools and/or handbooks for a target client group, doing planning/design work for interpretive projects, planning and implementing a resource management project, conducting background literature on and proposing curricula and associated evaluation procedures or tools for a school or community program.

Additional Guidelines for Plan B Project Proposal and Proposal Defense:

The student shall prepare, orally present, and defend a Plan B project proposal to their Guidance Committee prior to beginning any work on the project itself. The project should be laid out via a written proposal before it is begun. Generally, the proposal should consist of: 1) a statement of professional and learning goals; 2) a statement indicating how the Plan B project is designed to address professional and learning goals; 3) a narrative about how the project is informed by current literature or state-of-the-art practice in terms of subject matter, techniques, or practice; and 4) an outline detailing proposed procedures, products, and potential significance and application of the project results.

The written proposal must be provided to the Guidance Committee **at least two weeks/14 days in advance** of the scheduled proposal defense date. Although not required, students are strongly encouraged to present the proposal in a **public** forum in addition to the oral defense for the committee. Approval of the proposal by the committee is required before field data collection, project-associated "internship," or production work begins.

Note: If the project will involve any research using human subjects or laboratory animals, an IRB application should be submitted to the appropriate university review board after Guidance Committee review of the project proposal. The application *must* be approved or deemed “exempt” by the review board before any data collection begins.

Description and Announcement of Final Project Defense:

The final project defense meeting serves as the student’s final certifying examination for the MS-B degree. It is a public seminar that is open to attendance by faculty, staff, students, and other invited guests. The student shall notify the Graduate Secretary **at least two week/14 days in advance** of the final project defense (follow the format for proposal defense notification, page 14, but clearly state “final defense” rather than “proposal defense”). The Graduate Secretary shall forward this notice to faculty and graduate students. Notification shall be via email, with flier attached, and submitted to the IT team for website posting in *Events* on the CSUS website homepage; printed fliers will be posted on the Graduate Studies bulletin board and other locations.

Students must provide Guidance Committee members with a copy of the written project (marked “DRAFT”) **at least two weeks/14 days** prior to the defense seminar.

Structure of Final Project Defense:

The student shall orally present and defend his/her final project in a public forum, using appropriate visual and other aids. This final presentation and defense shall comprise a public session that includes a 15- to 30-minute presentation and a 15- to 30-minute public question-and-answer period, followed by a closed session for additional discussion with the Guidance Committee. Guidance Committee members may require corrections, revisions, clarifications, and edits before final approval of the project.

Formatting Your Master’s Project:

As explained in the project description above, the actual final project can take many forms. The Guidance Committee will determine if a separate final report is necessary in addition to the product (sometimes some type of report or other written document already is included in the project format, so another one is not necessary). If necessary, however, the Guidance Committee will determine the format and content. Committees often include a requirement for a “student’s lessons learned” reflective piece to supplement the actual project and (if required) report.

Record of Completion and Submission of Project:

The student will provide copies of the final project (and project report), approved by the Guidance Committee, to the student’s major advisor, to the CSUS Department via the Graduate Secretary, and to other Guidance Committee members. The department requires that a PDF document of the final report, plus a copy of the product, be sent to the Graduate Secretary for inclusion in the departmental library. (Plan B degrees are not monitored by, nor are Plan B projects submitted to, the MSU Graduate School.) Check with Guidance Committee members about their preference of type for receiving a personal copy (digital PDF, hard-bound print copy, soft-bound print copy, or unbound print copy).

After Guidance Committee members have assessed the acceptability of the project, associated report, and defense, and agreed on approval of the project, the committee members should sign the *Report of Master’s Plan B Project Final Examination* form that has been pre-prepared by the student prior to the defense. Forms are found on the CSUS Graduate Forms web page: (https://www.canr.msu.edu/csus/graduate/current_students/forms). The completed and signed form will be submitted to the Graduate Secretary, to be forwarded for additional signatures.

If the student passes the oral defense, but the written project paper still needs more than minor editing, the advisor should check “conditional pass,” summarize edits needed (on the form or on an attached page), and submit to the Graduate Secretary for safe-keeping until the student completes revisions. Then, after the student makes all revisions required by the committee, the advisor will sign and date the “final approval” line on the Report of Master’s Plan B Project Final Examination form.

Submit a PDF copy of the FINAL project paper to the CSUS Graduate Office.

All CSUS (and former department) projects, theses, and dissertations will be available via the Graduate Secretary. Older bound hard copies can be checked out, and the newer PDF copies can be obtained directly from the Graduate Secretary.

MS-B Examination/Defense Result Form

At the end of the project defense, the Guidance Committee will identify one of three outcomes, to be marked on the MS-B Examination/Defense form:

PASS: Student has passed the oral defense and the written document is approved “as is” and can be submitted according to guidelines and committee approval.

NO PASS: Student does not pass the oral defense; committee may request significant additional work be done before student attempts to defend again, or may choose to counsel student out of the program.

CONDITIONAL PASS: Student has passed the oral defense (thus, would not have to enroll for one credit in a subsequent semester if it takes beyond the end of the current semester to complete written revisions, or deadlines are missed), but revisions and/or editing are required in the written document. A summary of requested modifications can be written on the form, or a more comprehensive explanation can be provided in an attached document. Student makes revisions, as requested by the committee, and when the committee members are satisfied with the modifications, they will provide final approval. The committee chairperson will sign and date the “final approval” line (indicating conditions have been met) on the MS-B Examination/Defense form.

Waiver of Summer Enrollment for Final Defense

Note: By university policy, both **Plan A** and **Plan B** students must be registered during the semester in which the final examination or evaluation (defense) is administered. This requirement may be waived if the examination is administered during the summer session immediately following a spring semester during which the student was registered and/or prior to a fall semester in which the student will be registered. This waiver is automatically approved as long as the student fits the criteria. No request is needed.

MSU Teacher Certification Internship Year

Students participating in MSU’s Teacher Certification Internship Year are asked to enter the CSUS graduate program after their internship year is completed. Students taking this option should review the advising note written specifically for Agriculture, Food and Natural Resource Educators (see Appendix B for details). This note is meant to clarify the policy on the use of TE courses in the CSUS graduate program.

Master of Science (MS-A and MS-B) Degree Calendar & Progress Checklist

Student Name: _____

Advisor Name: _____

First semester/year
of degree program: _____

Prior to Attending the First Semester of Classes

- ☐ **Attend** the required CSUS Department graduate student orientation (usually scheduled on the Monday prior to the first day of fall semester classes). Students are encouraged to participate in orientation activities offered by the Graduate School (and, for international students, by the Office of International Students and Scholars).
- ☐ **Contact** your initial academic advisor when you arrive in East Lansing to discuss degree requirements, to plan your courses (especially those for the first semester), and to discuss other student-related concerns.
- ☐ **Formalize** assistantship expectations and paperwork (if you have an assistantship), including the following forms:
Payroll/W-2, Federal W-4, Direct Deposit: at e-Payroll, <https://login.msu.edu/?App=E01>
I-9 instructions and forms: <http://www.hr.msu.edu/i9/>
GA Appointment Forms: available through CSUS Graduate Secretary

If you have been awarded an assistantship or are otherwise employed on campus, contact your supervisor immediately to complete employment paperwork, discuss your assignment and schedule, and address any issues related to your employment. Note the official dates of work for each semester as identified below:

Fall semester appointments:	August 16 - December 31
Spring semester appointments:	January 1 - May 15
Summer appointments:	May 16 - August 15

- ☐ **Register** for classes.
 - Master's students must be registered for a minimum of 9 credits per semester (6 if hired as a graduate assistant) to be considered "full time."
 - Master's students must register for both CSUS 800 and CSUS 802 during their first fall semester.

Each Semester (as relevant)

- ☐ **Complete** or update assistantship or other wage forms, as relevant to your individual work pattern. See CSUS Graduate Secretary and accountants.
- ☐ Be sure you have completed an "assistantship assessment" each semester prior to reappointment for the following semester (to be done just after mid-semester each term). Form: on CSUS graduate forms web page.

First Semester

- ☐ **Take** CSUS 800 and CSUS 802, the two required core CSUS courses (offered fall semester).
- ☐ **Begin** RCR training (foundational materials presented in core courses; see RCR materials for other sources for training, review the RCR Record of Training that must be completed and submitted by December 31. Initial year RCR requirements are incorporated into CSUS 800. Begin preparing your annual review materials for the calendar year, which will be submitted no later than January 31 of the following year.
- ☐ **Begin talking with** potential faculty committee members so that you can identify your committee members and plan your program during the second semester, when you hold your first committee meeting. The *MS Planning and Program of Study* forms are available at https://www.canr.msu.edu/csus/graduate/current_students/forms
- ☐ **SUGGESTED: Finalize Selection** of Guidance Committee members in consultation with your advisor.

If, as you move through your program, you change your interest area, or as you become more familiar with the faculty and their interest areas, you may – upon mutual agreement – change academic advisor to better meet

your academic and professional development goals. Use the *Change in Program Plan* form available on the CSUS website (https://www.canr.msu.edu/csus/graduate/current_students/forms) or page 85 of this handbook.

- ❑ **SUGGESTED: Complete** a draft of the relevant *MS Planning and Program of Study* form, on which you identify the courses selected to meet specific requirements for your degree. This form helps assure that requirements relevant to *your* degree are met. The final signed version of this form constitutes your official *Program of Study* plan, which ultimately helps with the final degree certification process during the semester in which you intend to graduate. Forms are accessible on the CSUS website (https://www.canr.msu.edu/csus/graduate/current_students/forms) and in Appendix A of this handbook. Choose the form appropriate for your degree program (CSUS, STPAM) and degree type (MS-A or MS-B).
- ❑ **SUGGESTED: Schedule and hold** an official meeting with your Guidance Committee (work with the Graduate Secretary to schedule a room). The purpose of this meeting is to discuss your academic and professional goals and the courses you intend to take, as presented on the relevant *MS Planning and Program of Study*. You also may discuss preliminary ideas for a Plan A Thesis or Plan B Professional Project.

MEETING PREPARATION HINT: It is the student's responsibility to find a date appropriate for all Guidance Committee members, to schedule a room, prepare an agenda (in consultation with Advisor), and prepare the room, as needed (suggestions include providing appropriate audio-visual aids, written agenda, preparing relevant written plans and forms [as appropriate to the meeting agenda]).

COMMITTEE SCHEDULING HINT: Many faculty members are on **Academic Year** Appointments (9-month) rather than **Annual Year** Appointments (all year), so be sure to schedule committee meetings and other committee activities (including reading of proposals and thesis/project drafts) when they are officially on duty. Exceptions can be made with the approval of all committee members; however, you should plan your work with respect for faculty appointments. Often they are involved in field research, study abroad programs, or otherwise away from the University during the time outside of their appointments. Most often this is during the summer; however, some faculty have non-traditional schedules. Be sure to find out official appointment types and schedules of your Guidance Committee members.

- ❑ **SUGGESTED: Finalize** your program plan; complete and submit the appropriate MS-A or MS-B *Planning and Program of Study* form – with appropriate student and committee member signatures – to the Graduate Program Coordinator. Forms are available on the CSUS website (https://www.canr.msu.edu/csus/graduate/current_students/forms). A copy of your completed, approved form will be placed in your permanent academic file. You should make and keep a copy for your personal records.

Second Semester

- ❑ **NO LATER THAN:** If not already completed at end of first semester, then complete as soon as possible during the second semester:
 - Finalize Selection** of Guidance Committee members (see details in First Semester above).
 - Schedule and hold** an official meeting with your Guidance Committee (see details in First Semester above).
 - Finalize** program plan; complete and submit the appropriate MS-A or MS-B *Planning and Program of Study* form.

Second or Third Semester

- ❑ **Prepare** a written draft of your Plan A Thesis or Plan B Professional Project proposal. Discuss it with your advisor and secure his/her approval prior to submitting it to your Guidance Committee. (The proposal development process may take several iterations.)
- ❑ **Revise** your proposal based on your advisor's feedback. Provide each Guidance Committee member with a copy of your proposal **at least two weeks/14 days prior** to the committee meeting/proposal defense.

WRITING HINT: Students should have peers (and, if needed, professional editors) review and provide editorial recommendations for the thesis/project proposal (and final thesis/project report) prior to submission to your Advisor and Guidance Committee. Take advantage of resources at the Writing Center.

- ☐ **Schedule, submit notification of, and hold** an official meeting of your Guidance Committee, to include a **public** proposal defense (“public forum” component required for MS-A, but the public component is optional but recommended for MS-B) to present, discuss, and approve your proposal, and review progress on your academic program. Prepare required forms, available at: https://www.canr.msu.edu/csus/graduate/current_students/forms See guidelines on page 16 for developing proposal defense notification materials, to be submitted to the Graduate Secretary **at least two weeks/14 days prior** to the defense.
- ☐ **Secure** approval from the Institutional Review Board (IRB), as appropriate to your research/project. Instructions and application templates are available online at: <http://hrpp.msu.edu>
- ☐ **Submit** your approved, signed *Thesis* or *Project Proposal Approval* form to the Graduate Office (available at https://www.canr.msu.edu/csus/graduate/current_students/forms) (You must indicate on your form the date when your proposal and IRB application were submitted to the IRB. No work on the thesis or project can begin until research is deemed exempt, or approval is received.)

Final Semester

Preparing for Thesis Defense/Professional Project Examination

- ☐ **Complete** and **submit** the on-line Application for Graduation **by the end of the first week of the semester** of planned graduation (first week of spring semester for summer graduation): <https://reg.msu.edu/StuForms/GradApp/GradApp.aspx> You must apply for graduation even if you do not plan to attend the ceremony so that final paperwork can be sent to and certified by the departmental Graduate Program Coordinator. If you apply for graduation and do not finish in that semester, simply reapply at the beginning of the next semester.
- ☐ **Check** deadline dates for your planned final semester, as they pertain to completing the MS degree, at: <https://grad.msu.edu/etd/etd-deadline-dates> Dates also are shown in the “box” on page 18 of this handbook.
- ☐ **Enroll** for a minimum of one (1) credit in the semester in which you plan to defend your thesis/project. You may automatically waive of the required one credit of enrollment during semester of your final defense/examination IF it is administered during the summer session immediately following a spring semester during which you were registered and/or prior to a fall semester in which you will be registered.
- ☐ **Check** StuInfo to be sure all DFs (deferred grades) have been converted to grades, unless 899 or “within timeline” 898 or credits) and that your GPA is at least 3.00. You cannot graduate unless these two conditions have been met. (This is particularly critical for 898 credits; see policy in box on page 19.)
- ☐ **Review** the Graduate School’s electronic submission guidelines (for MS-A theses) at <https://grad.msu.edu/etd> Submit your initial “final draft” to the Graduate School at least two weeks before the semester deadline. See recommended timeline on page 18.
- ☐ **Prepare** for commencement; see guidelines and checklist provided by the University: <https://commencement.msu.edu>

Completing Your Thesis/Professional Project

- ☐ **Work** with your advisor/committee chairperson to write and edit a draft of your Thesis/Professional Project.
- ☐ **Submit** the draft of your Thesis or Professional Project to your advisor and Guidance Committee members **at least two weeks/14 days prior** to your scheduled Final Defense date.
- ☐ **Schedule** a final defense/examination date/time with your Guidance Committee for presenting and defending your work. This seminar is a public session to which CSUS students, faculty, and others are invited.
- ☐ **Develop and submit** notification materials for your Thesis/Professional Project defense to the Graduate Secretary **at least two weeks/14 days prior** to the defense seminar (clearly marked as *final* defense). The Graduate Secretary will help you schedule a room, send email notifications, post the flier in the building, and submit for posting on the CSUS website homepage.
- ☐ **Present and defend** your Thesis or Professional Project at the public seminar.

- ☐ **Edit** your draft, as needed and requested by your committee. MS-Plan A students should refer to <https://grad.msu.edu/etd/formatting-guide> for format guidelines.
Plan B projects are not monitored by the Graduate School. Plan B students should work with Committee members to finalize their project/report.
- ☐ **Prepare the form** (prior to your final defense) and **obtain signatures** from the members of your Guidance Committee on the *Report of Master's Plan A Thesis Final Examination* or *Report of Master's Plan B Project Final Examination*, then submit to the Graduate Office for forwarding for additional approval signatures.
- ☐ **If conditional pass:** make revisions based on committee recommendations, then have committee chairperson sign off on final approval. Proceed with approval process.
- ☐ If Plan A, **Prepare** the final copy of your thesis according to the Graduate School Guidelines for electronic submission (see <https://grad.msu.edu/etd/formatting-guide>).
- ☐ If Plan A, **prepare** an abstract of your thesis and abstract title page, to be filed with "Dissertation/Thesis Abstracts."
- ☐ If Plan A, **electronically submit** your thesis to the Graduate School. The initial draft should be submitted **at least two weeks/14 days prior** to the final deadline. See <https://grad.msu.edu/etd/electronic-submission-to-proquest>
Plan B students completing a Professional Project DO NOT submit a copy of their project to the Graduate School. Rather, submit an electronic copy (PDF) of the project & report to the CSUS Department via the Graduate Office.
- ☐ If Plan A, **complete and submit** the Thesis/IRB Approval Form (found on the CSUS Forms page, available at https://www.canr.msu.edu/csus/graduate/current_students/forms).
- ☐ **Provide** a PDF file of your FINAL thesis or project to the CSUS Graduate Office and copies to your Advisor and Guidance Committee. (Ask committee members for format preference: hard- or soft-bound, unbound, or PDF.)

After Completing Your Degree

- ☐ **Complete** a short online MSU Graduate School Exit Survey (required for all students graduating with a Plan A or Plan B master's degree, or with a Doctoral degree). Only students who have applied for graduation and have defended have access to the survey. The survey asks questions about educational experiences in MSU graduate programs as well as about immediate professional plans. The Graduate School uses data from this survey when reviewing graduate programs, and to guide decisions about services and initiatives for graduate students.

The identity of all respondents will be kept confidential; only aggregate (group) information will be made available to faculty and administrators. The students will receive an e-mail message from the Dean of the Graduate School with a link to the survey, which takes about 5-10 minutes to complete. However, students do not need to wait for that e-mail message to complete the survey after applying for graduation. Instructions and links for completing the survey are available via <https://grad.msu.edu/etd/required-paperwork-and-surveys> .
The contact for issues associated with the exit survey is: exitsurvey@grd.msu.edu .

 - Master's Students should access the following website: <https://www.egr.msu.edu/masters/survey/>
 - Enter your MSU NetID (Login Name) and Password
 - Complete all the items on the survey. When finished, click SUBMIT.

If you cannot open this survey, contact the office by email at exitsurvey@grd.msu.edu ; include your name, PID, degree level (Ph.D., MA/MS), semester of graduation. You will be notified when to complete the survey.
- ☐ **Complete** the CSUS Exit Survey and Exit Interview (with Graduate Program Coordinator).
- ☐ **Read and comply** with MSU's Exit Check List to ensure that you have met all requirements and submitted all appropriate forms, questionnaires, and other paperwork.
- ☐ **Pay** final fees.

Please keep the CSUS Department updated on your post-graduation jobs and where you are living!!!

• Doctor of Philosophy Programs •

The Doctor of Philosophy (Ph.D.) programs in the Department of Community Sustainability are designed to enable students to generate new knowledge and learn to apply their scholarship to practice in complementary fields responsive to rapidly changing social, natural, and agricultural conditions. Applicants may choose one of two degree programs: “Community Sustainability” or “Sustainable Tourism and Protected Area Management.”

NOTE: All PhD applicants must complete a MS degree prior to beginning the PhD program. Credits used for the MS degree may not be counted toward the PhD degree.

Applicants should indicate their preference, as related to personal professional goals, in their application materials. Each of the two degree programs has its own official code, so specify this in the official MSU application:

5390: Community Sustainability

5377: Sustainable Tourism and Protected Area Management

To increase the potential for academic success of students, no applicants are accepted as provisional students, and no applicant is accepted without an identified and committed faculty advisor.

General Requirements for the Doctor of Philosophy Degree

In addition to meeting the requirements of the University and the College of Agriculture and Natural Resources (CANR), Ph.D. students in the Department of CSUS must meet the requirements described below.

Minimum Credit Requirements: Doctoral students in CSUS and STPAM programs are required to complete a minimum of 60 semester credits, 24 of which are allocated to dissertation research.

Residency Requirement: Doctoral students at MSU (including in CSUS and STPAM programs) must be in residence on campus for a minimum of two consecutive semesters during which the student completes credits at the level of full-time status of graduate work each semester (see page 48 for full-time status requirements). However, because the majority of CSUS and STPAM courses (as well as those in other departments) are offered only on campus, doctoral students in the Department of CSUS must be on campus to take campus-based courses included on their program plan.

General Registration Requirements:

By university policy, all students using University services (faculty consultation included) for graduate work must be registered each semester, unless explicitly waived. Minimum registration is one course of 1 credit.

Time for Degree Completion: Doctoral programs must be completed in no more than eight (8) years from the semester of admission or semester in which the first course used toward degree requirements was taken, whichever comes first. Because students are diverse, and are working within even more diverse personal and academic contexts, there is some flexibility in timing. See the table on page 28 for “suggested” and “no later than” deadlines.

GPA Expectations: A minimum 3.00 cumulative grade point average is required for graduation by both University and Department policies. Grades are reviewed at the end of each semester by the Graduate Program Coordinator. If a student’s overall GPA falls below 3.00, the student is placed on probation. They will be notified by mail and/or email, and should schedule an appointment immediately with their major professor to discuss their academic progress. Under such conditions, the student will receive counseling, remediation recommendations, or other actions deemed appropriate to assist the student in achieving success. In consultation with their advisor, the student should develop a written plan to address deficiencies to be placed in student’s permanent file. Students placed on GPA-based probation will have a maximum of two semesters to raise their GPA to at least 3.00. If this minimum is not achieved, the student is counseled by their major professor and may be required to withdraw from the Ph.D. program.

Program Planning and Use of GradPlan (<https://gradplan.msu.edu>): A student’s program of study is developed in cooperation with the advisor and other members of the Guidance Committee. The Guidance Committee should be formed within the first two semesters of the doctoral program, and the degree plan filed within one semester of when the committee is formed (no later than the third semester of the program – preferably early in the third semester).

Each doctoral student is required to maintain her/his own academic records in GradPlan, which replaces the formerly used Report of the Guidance Committee. GradPlan is a web-interactive program for Ph.D. students to create and store their Ph.D. Degree Plans and track degree progress. However, use of this tool does not remove the responsibilities of students, faculty, and committees to work closely with each other in developing the degree Program Plan. To aid in the process of identifying and labeling focus areas and selecting courses, and to assist with final degree certification, *Ph.D. Program Planning* forms are available on the CSUS website. The student's committee should meet to discuss the planned program, and the student should complete and submit the advisor-signed relevant CSUS or STPAM *Ph.D. Program Planning* Form prior to the program plan information being entered into GradPlan for review and digital approval by the Graduate Program Coordinator and all committee members.

Note: No GradPlan program plans will be moved forward through the official GradPlan approval process until the internal CSUS Program Planning Form is submitted, reviewed, and approved by the Graduate Program Coordinator.

Filing of Program of Study: CSUS and STPAM Program Planning forms are available in the Appendices of this handbook and on the CSUS website (Forms section, available at: https://www.canr.msu.edu/csus/graduate/current_students/forms). After the relevant department *Ph.D. Program Planning Form* has been completed, the degree plan has been agreed upon among committee members, and the relevant signatures obtained (student, advisor, Graduate Program Coordinator), the student should fill in all the information in GradPlan, then submit for official approvals. This official Program of Study must be completed, approved, and filed via GradPlan no later than one semester after forming the Guidance Committee, and the recommendation is to file no later than the beginning of the student's third semester. Reminder: Doctoral students are required to form their Guidance Committee no later than the end of their second semester of degree work.

DIGITAL FORM COMPLETION AND DIGITAL SIGNATURES (CSUS Form)

Whenever possible, please use the fillable form (make a copy of the file on your computer (adding your LASTNAME, then FIRSTNAME at the *beginning* of the file title), complete the fillable fields, and use digital signatures whenever possible.

Order of signing should be student, then advisor, then each of the committee members (in series – do NOT send the same version simultaneously to several people), then the Graduate Program Coordinator of CSUS. After all these signatures are secured, the Program Plan will be forwarded to the College of Agriculture and Natural Resources.

Be sure to type in the "date" when the signature is made, even if the date is embedded in the digital signature. The digital dates are often too small to read.

Note that after any single person uses a handwritten signature, no one else can use a digital signature.

The signed *Ph.D. Program Planning Form* will be filed in the student's permanent academic file in the CSUS Department. The official Program Plan will be filed via GradPlan. Only doctoral students have access to GradPlan. The student's committee members will be able to view, review, and approve the degree plan information via the Forms Tracking Utility (FTU), upon receipt of an automated email message.

Note: In GradPlan you should NOT use the course requirement category field, as there is no clear link between the available standardized categories and the CSUS program requirement categories.

Before a Ph.D. Degree Plan becomes official, the Graduate Program Coordinator (who has the role of "Form Checker") will review the plans for essential elements and compare them with the department *Ph.D. Program Planning Form* to assure that the respective degree plan requirements are included.

The program of study can be amended later by first submitting a CSUS *Change of Program Plan* (see Appendix A or CSUS website https://www.canr.msu.edu/csus/graduate/current_students/forms) to the CSUS Office; then, after receiving committee approvals, the changes can be submitted via GradPlan.

If there is a course change of more than one course, or more than an exchange of courses (replace one for another in the same requirement category), a revised Program Planning Form must accompany the Program Change Form. (Only the committee chairperson must sign the revised Program Planning Form, but the student should inform other committee members that they will be receiving a change message for their approval via FTU. It is professional courtesy to keep all committee members informed.)

NOTE: If additional lines for courses are needed, or a student is pursuing one or more specializations or certifications, he/she should append the *Specialization* form, which is the second page of the Program Planning Form.

NOTE: GradPlan is the only way final degree certification/degree audits will be conducted. The Graduate School will certify the acceptance of each dissertation final format using GradPlan and GradAudit. The Office of the Registrar will access GradPlan to complete degree certification after a student completes an application for graduation and the Graduate Program Coordinator certifies completion of all requirements through GradPlan, GradAudit, and Degree Audit.

Doctoral students do their degree tracking and updating via GradPlan: <https://gradplan.msu.edu>

PhD DEGREE PROGRAM PLANNING AND PROGRESS DEADLINES		
Action	Grad School Suggested Deadline	"No Later Than" Deadline
Form guidance committee	Beginning of student's second semester	Beginning of student's third semester
Hold first committee meeting, and submit hard copy Program Plan for review by Graduate Program Coord.	During student's second semester	Beginning of student's third semester
Enter committee member names, approved program plan courses in GradPlan, and submit for official approval	Soon after hard-copy program plan is approved by Graduate Program Coordinator	Soon after hard-copy program plan is approved by Graduate Program Coordinator (by end of third semester)
Submission of Comprehensive Program Statement (CPS); see details on page 33.	After coursework is substantially complete, as agreed by committee (usually at least 80% of coursework); to be approved by committee no later than 30 days before Day 1 of comprehensive exam)	After coursework is substantially complete, as agreed by committee (usually at least 80% of coursework); to be approved by committee no later than 30 days before Day 1 of comprehensive exam)
Successfully pass comprehensive exams (based on CPS); maximum of two attempts allowed	After CPS is approved	Five years after first semester of degree program
Submit announcement for dissertation proposal defense	After passing comprehensive exam; 2 weeks before proposal defense	After passing comprehensive exam; 2 weeks before proposal defense
Hold public dissertation proposal defense, followed by closed committee proposal defense	At least two weeks after announcement is submitted	At least two weeks after announcement is submitted
Submit announcement for dissertation final defense	Depends on research progress; at least 6 weeks before Graduate School final submission deadline for intended graduation semester and 2 weeks before final defense	At least 6 weeks before Graduate School final submission deadline for intended graduation semester and 2 weeks before final defense
Hold public final defense, followed by closed committee final defense	<i>Generally by end of 4th or 5th year</i>	At least 4 weeks before Graduate School final submission deadline for intended graduation semester. Maximum of 8 years from beginning of the program.

Curriculum Requirements for the Doctor of Philosophy Degree: Community Sustainability

The student's program of study, developed in cooperation with and approved by the student's Guidance Committee, must include the requirements specified below.

Required core course (should be taken during the student's first fall semester) **(3 credits)**
CSUS 800, Foundations of Community Sustainability

Note: Ph.D. students who completed ACR 800 as part of their MS degree may waive CSUS 800 in the doctoral program, but not the credits. In its place, they should add another relevant course to support one of the focus areas or enhance research methods (indicate course on Program Planning form beneath CSUS 800, and hand-cross out CSUS 800).

Research Methods/Methodology/Statistics **(9 credits)**

Students must complete nine (9) credits of course work in **advanced** research methods, to be selected in consultation with the student's Guidance Committee, including at least three (3) credits each of quantitative and qualitative research methods/methodology. The research methods/methodology/statistics course requirements cannot be waived.

*Note: Ph.D. students having minimal research background should consider taking CSUS 802 as a foundation for advanced research methods courses. However, CSUS 802 does not count as 3 of the required 9 credits of **advanced** research methods.*

Focus Areas (2) **(24 credits)**

Focus areas are self-defined and designed to meet students' professional goals and scholarly interests. They should be developed in consultation with the student's Guidance Committee and should be consistent with and complementary to the mission and philosophy of the Department of CSUS. Focus areas may be developed around one or more of the five CSUS themes below, or be completely self-defined (and titled).

1. Community Food and Agricultural Systems
2. Education and Civic Engagement
3. Natural Resources and the Environment
4. Recreation and Tourism Systems
5. International Development

Students must complete a minimum of 24 credits of coursework across two self-defined focus areas, including at least 9 credits in each focus area and at least 9 credits of CSUS courses across two focus areas. Of these, at least one course in each focus area must be from CSUS courses (CSUS alpha codes). The other 3 CSUS credits may be associated with either of the focus areas, or split across the two focus areas.

Some students pursue specializations or certifications as all or part of one or both of their focus areas. Specialization or certification courses also may be taken above and beyond degree requirements, and need not appear on *Program Plans*, although they can be listed on page 2 of the Program Planning form to have a record of them within the Department.

Dissertation Research **(24 credits)**

CSUS 999, Doctoral Dissertation Research

Students must complete a minimum of 24 credits and **no more than 36 credits** of CSUS 999 .

NOTE: Collateral courses to overcome deficiencies may be required in addition to the requirements for the CSUS doctoral degree. Collateral course work **will not** count toward the minimum requirements for the doctoral degree.

Curriculum Requirements for the Doctor of Philosophy Degree: Sustainable Tourism and Protected Area Management

The student's program of study, developed in cooperation with and approved by the student's Guidance Committee, must include the requirements specified below.

Required core courses

(6 credits)

CSUS 800, Foundations of Community Sustainability (taken during student's first fall semester)

Note: Ph.D. students who completed ACR 800 as part of their MS degree may waive CSUS 800 in the doctoral program, but not the credits. In its place, they should add another relevant course to support one of the focus areas or enhance research methods (indicate course on Program Planning form beneath CSUS 800, and hand-cross out CSUS 800).

CSUS 814, Sustainable Tourism & Protected Area Management: Theories & Applications

Note: Ph.D. students who completed ACR/CSUS 814 as part of their MS degree may waive CSUS 814, but not the credits. In its place, they should add another relevant course to one of the focus areas or another research course.

Research Methods/Methodology/Statistics

(9 credits)

Students must complete nine (9) credits of course work in advanced research methods, to be selected in consultation with the student's Guidance Committee, including at least three (3) credits each of quantitative and qualitative research methods/methodology/statistics. The research methods/methodology/statistics course requirements cannot be waived.

*Note: Ph.D. students having minimal research background should consider taking CSUS 802 as a foundation for advanced research methods courses. However, CSUS 802 does not count as 3 of the required 9 credits of **advanced** research methods.*

Focus Areas (2)

(21 credits)

Focus areas are self-defined and designed to meet students' professional goals and scholarly interests. They should be developed in consultation with the student's Guidance Committee and should be consistent with and complementary to the mission and philosophy of the Department of CSUS. Additionally, focus areas should be developed around areas of sustainable tourism, protected areas, recreation, or complementary areas (self-defined and self-titled).

Students must complete a minimum of 21 credits of coursework across two self-defined focus areas, including at least 9 credits in each focus area and at least 9 credits of CSUS courses across two focus areas. Of these, at least one course in each focus area must be from CSUS courses (CSUS alpha codes). The other 3 CSUS credits may be associated with either of the focus areas, or split across the two focus areas. (If CSUS 814 was taken as part of a former degree program, an additional three credits should be added to a focus area or research methods, as best serves the student). CSUS 814 meets the requirement of 3 of the 9 required CSUS credits for focus areas.

Dissertation Research

(24 credits)

CSUS 999, Doctoral Dissertation Research

Students must complete a minimum of 24 credits and **no more than 36 credits** of CSUS 999.

NOTE: Collateral courses to overcome deficiencies may be required in addition to the requirements for the STPAM doctoral degree. Collateral course work **will not** count toward the minimum requirements for the doctoral degree.

Course Credit Guidelines (university policies)

Research Credits: Requests for overrides to exceed the maximum of 36 credits of CSUS 999 must be directed to the Office of the Registrar via the CSUS Graduate Secretary. If the total number of credits of CSUS 999 goes above 45, the Registrar's Office will confer with the Graduate School before considering the request for an exception.

Allowable Courses: Doctoral students are expected to select 800- and 900-level courses, but may take 400-level courses when no comparable courses exist at the 800 or 900 levels. However, it is suggested that no more than nine (9) credits of 400-level courses be taken as part of the Ph.D. curriculum requirements; 300-level courses are not allowed in a Ph.D. program.

Transfer Credits: A maximum of 9 graduate course credits may be transferred from other accredited institutions or international institutions of similar quality if they are appropriate to a student's program of study. Transfer credits must have a grade of 3.0 or higher (or equivalent), must have been completed within the time limits for earning the MSU Ph.D. degree (no more than eight years), and must not have been used to meet requirements of a previously conferred degree. Written requests for counting transfer credits must be submitted first to the Graduate Program Coordinator for review. Requests should specify course information (title, number of credits, description and syllabus, university where taken, and a rationale for how the course(s) meets needs and requirements of the student's program plan). Credits from a university using a grading system different from MSU's 4.0 scale will be assessed on a case-by-case basis, and an explanation of the grading system/scale should be included in the written request. The Department Chairperson and CANR Associate Dean also must approve the use of transfer credits for the Ph.D. program.

If a student transfers into the CSUS department and has 999 credits from another MSU degree program that have not been applied toward another granted doctoral program, these credits may be converted to CSUS 999 credits prior to graduation. (Note that the semester when these credits were taken will start the 8-year maximum time clock for completion of a doctoral program. Comparable research credits from other universities may not be transferred.)

Doctor of Philosophy Guidance Committees

Terminology

Initial Advisor: Advisor first assigned to applicants based on a match in interests and faculty member's capacity to accept new students. This person usually becomes the student's major professor (confirmed at the time of filing of official *Program of Study*), but the student has the right to identify a different major professor/advisor if they find someone more appropriate. A change can be made only if the newly identified faculty member agrees and has the capacity to accept another student. The initial advisor also must agree.

Major Professor: Faculty member who serves as a student's primary advisor and mentor throughout the PhD academic career at MSU.

(Academic) Advisor: Used interchangeably with "Major Professor" or "Guidance Committee Chairperson"

Guidance Committee Chairperson: Used interchangeably with "Major Professor" and "Academic Advisor."

Dissertation Advisor: Usually your major professor, but under some circumstances it may be more appropriate for another committee member to serve as the dissertation advisor (to be specified on the Program Planning Form). One example is students using a Hospitality Business faculty member as the dissertation advisor, even though the degree is in the CSUS Department.

Guidance Committee: Group of four regular faculty members, including your advisor, who work with you to develop your academic and curriculum plan, mentor you, and who comprise your Doctoral Final Examination committee (dissertation defense). Requirements: Minimum of 4 faculty members.

Regular Faculty: All persons appointed under the rules of tenure and holding the rank of professor, associate professor, assistant professor, or instructor, and persons appointed as librarians. In addition, the principal administrative officer of each major educational and research unit of the university shall be a member of the 'regular faculty.' Non-regular faculty may apply to serve on a committee, as eligible. See details below.

Guidance Committee Composition and Formation Deadline: Doctoral degree Guidance Committees will consist of a minimum of four (4) MSU regular faculty members. Two of these members, including the Guidance Committee chairperson, must be CSUS regular faculty members. The third member must be a regular faculty member from another academic unit. The fourth member must also be a regular faculty member, but may be from either within or outside the CSUS Department. At least three Committee members must have an earned Ph.D., preferably in a field related to those of the student's interests/focus areas. The Guidance Committee also will serve as the student's examination committee. Requested exemptions for non-regular committee members must be in accordance with University and College of Agriculture and Natural Resources guidelines (see <https://grad.msu.edu/non-regular-faculty-committees> and this handbook's Appendix F). PhD committees may have a maximum of 2 non-regular faculty members.).

Conflict of Interest: Note that no external person will be approved as a voting committee member if they are in any way associated with funding of the student's project or research work.

The committee must be formed **within the first two semesters** of the student's degree program (see GSRR 2.4.2.1). The student should make an effort to identify, talk with, and take classes from other faculty members to help get to know and identify potential members of the Guidance Committee. The student's major professor may offer suggestions, but ultimately it is the student's responsibility to identify other committee members (based on match of interests and expertise with student's goals, and who can assist with various components of the graduate experience), talk with them, and ask if the other faculty members are willing to serve on the committee.

After Guidance Committee members have been finalized, the student should schedule a committee meeting to discuss professional and academic goals, finalize the official *Program of Study* (to be submitted within one semester after Guidance Committee formation, see GSRR 2.4.2.1), and begin preliminary discussions about the dissertation research.

We encourage you to talk personally with several potential faculty members whom you've determined as possible "good matches" for your committee, discuss their interest and willingness to serve, then talk with your advisor to discuss and finalize choices. The composition of your Guidance Committee is checked for compliance by the Graduate Secretary and Graduate Program Coordinator, then approved by the GPC, the CSUS Department Chairperson, and the CANR Dean, as indicated through their electronic signatures on the formal Program of Study (which is completed through GradPlan, available online at <https://gradplan.msu.edu>). However, prior to finalizing the online Program of Study and submitting it for approvals, the student must complete the relevant CSUS or STPAM *Ph.D. Program Planning* Form, to be used for discussion and approval by committee members during the first committee meeting.

Students always reserve the right to change any committee member, including the advisor. This is accomplished by talking with and getting approval from both departing and incoming committee members, writing and signing a letter to the Department Chairperson and copied to the Graduate Program Coordinator explaining the change request. This letter will be attached to the *Change in Program Plan* form, which is signed by the student, the advisor, the departing committee member, and the incoming committee member. On rare occasions, the Department may make changes – with concurrence of the student – such as in cases when a Committee member leaves the University for any reason. Typically, the student is informed and the same procedure followed as for student-initiated changes. The Department does not simply "assign" a committee member.

After Guidance Committee members have been finalized, the student should schedule a committee meeting to discuss professional and academic goals, finalize the Program of Study (using the relevant CSUS *PhD Program Planning* form, to be signed by student and advisor), and begin preliminary discussions about the thesis or professional project. After the program Plan is approved verbally by the committee, the student will enter all the same information into GradPlan, which then will be approved officially via the GradPlan digital approval process.

Once designated, the Guidance Committee has the responsibility to meet periodically to oversee the graduate student's progress as long as the graduate student continues in good standing. Any desired or required changes in membership of the Guidance Committee may be made by the graduate student with the concurrence of the CSUS Chairperson, or by the unit with the concurrence of the graduate student, in accordance with University, College, and Department policy.

The Guidance Committee, with the concurrence of the graduate student, may form a dissertation committee to supersede or supplement the Guidance Committee. Committee or dissertation chairpersons on leave shall provide for the necessary guidance of their advisees during their absence.

To make any committee or program changes, use the CSUS *Change in Program Plan* form. Refer to the Graduate Students Rights & Responsibilities 2.4.2 for more information on MSU Guidance Committees policies via <https://grad.msu.edu/gsrr>

Additional Ph.D. Requirements (for both degree programs, CSUS and STPAM)

Comprehensive Program Statement

The Comprehensive Program Statement (CPS) is a scholarly document that is used to prepare students and their Guidance Committees for the comprehensive examination. The Comprehensive Program Statement presents an integrated description of a student's educational and professional background, motivation for pursuing the Ph.D. degree, curriculum program plan, research interests, and scholarly areas of focus. The process of developing the Comprehensive Program Statement helps students and their committees develop a common understanding of the scholarly basis of students' focal areas. The student and committee should use the "Comprehensive Program Statement (CPS) Planning & Approval" form (see CSUS graduate forms web page) for planning (see timeline below) the process and approving the CPS.

PhD COMPREHENSIVE PROGRAM STATEMENT & EXAM TIMELINE

Comprehensive exam must be completed no later than the end of Year 5 of the degree program.

60 days before Day 1 of comp exam: Submit 1st draft of Comprehensive Program Statement (CPS) to student's committee

45 days before Day 1 of comp exam: Committee submits any comments, recommendations for additional reading or writing of CPS to student

30 days before Day 1 of comp exam: Committee approves CPS and advisor sends approved CPS to all CSUS faculty

Before Day 1 of comp exam: Schedule oral comp exam within 14 days of final/Day 5 of written comp exam

Written comp exam: 5-day written exam

At least 24 hrs before oral comp exam: Committee members provide comments, questions to student based on their assessment of written exam

Oral comp exam: Opportunity for clarifications, additional questions

If student does not pass both oral and written comprehensive exam components in full, they may re-take the exam, but must wait between 45 and 120 days after conclusion of first attempt (to allow time for additional preparation).

Contents:

The Comprehensive Program Statement must include the following components:

1. Personal Statement: a personal statement describing the student's prior academic and professional background and rationale for pursuing a Ph.D. degree in CSUS
2. Goals: a discussion of the student's academic and professional goals, with emphasis on the connection to the vision, mission, and philosophical foundations of the Department of CSUS
3. Research Interests: a description and discussion of the student's research interests, with emphasis on the rationale for the two chosen focus areas and how they support the student's academic and professional goals
4. Description of Focus Area 1: a description and discussion of the student's intellectual interests in the focus area, including the theories, empirical models, and research methods related to the focus area; references to publications should be cited and listed in a readings list. Some committees request a written synthesis of the literature associated with each focus area (as well as foundations of CSUS and research methods).
5. Description of Focus Area 2: a description and discussion of the student's intellectual interests in a second focus area, including the components listed above for Focus Area 1
6. Program Plan: an outline of the student's curricular program plan, including required core courses, research methods, focus areas, and dissertation course credits; may include information about additional certifications and specializations, as relevant to the individual student
7. Curriculum vita or resumé
8. Proposed plan for the comprehensive exam: a brief outline of the organization, nature, and scope of the comprehensive exam

Procedures and Timeline:

The Comprehensive Program Statement may be prepared and submitted at any time during a student's academic program. However, the program statement must be approved by the student's Guidance Committee no fewer than 30 calendar days prior to the date of the first day of the written comprehensive examination. Guidance Committee members confirm their approval of the Comprehensive Program Statement by 1) signing the completed Comprehensive Program Statement Approval Form, or 2) confirmation of approval in writing or by electronic mail from the committee member to the guidance committee chairperson.

It is expected that the student's Academic Advisor will provide guidance to the student for development of and revisions/additions to the Comprehensive Program Statement (CPS) prior to a full draft being distributed to the student's full Guidance Committee. This draft should be sent to the student's Committee at least 60 days prior to the start of the written comprehensive exam so that any committee member recommendations for additional readings or modifications to the CPS can be sent to the student no fewer than 45 days prior to the scheduled first day of the written comprehensive exam. This gives the student time to make revisions prior to final approval. Final approval of the CPS must be made no later than 30 days prior to the first day of the written comprehensive examination.

Upon final approval of the CPS by members of the Guidance Committee, the committee chairperson will send by electronic mail the Comprehensive Program Statement to all CSUS faculty, as a courtesy and to strengthen collegiality and sharing of student work, no fewer than 30 days before the first day of the written comprehensive examination, and immediately after the student's Guidance Committee gives final approval of the CPS. If a faculty member would like to share ideas or comments (advisory), they should send them to the student's advisor no more than 14 days after receiving the CPS. If the student's committee chairperson and guidance committee determine that comments from the faculty warrant additional readings in the comprehensive program statement and that the student needs more time to prepare, they can decide jointly with the student to postpone the comprehensive exam to a suitable date (within 5 years of the student's first semester in the doctoral program).

Upon final approval by the Guidance Committee, the Comprehensive Program Statement will be submitted to the Graduate Secretary and retained in the student's file in the department office.

Reminder: the student's Guidance Committee may recommend a longer time for any stage, as deemed necessary for student preparation, but student should have a minimum of 30 days for final preparation for the comprehensive exam (between approval/distribution of the CPS and the first day of the written comprehensive exam).

Comprehensive Examination

Comprehensive examinations are tailored to match the unique scholarly interests of each student as described in the Comprehensive Program Statement. Guidance Committee members, which includes the guidance committee chairperson/major professor, will use the theories, methods, and readings cited in the Comprehensive Program Statement to design questions for the comprehensive examination. The comprehensive examination will be conducted in both written and oral formats. All guidance committee members will be involved in the exams.

Purpose:

The comprehensive examination is designed to test a student's ability to synthesize, apply, and coherently discuss the multidisciplinary nature of their studies. Students must provide evidence of independent scholarly analysis of the literature in the selected focus areas as well as knowledge of research methods and literature associated with the issues, principles, concepts, and methods presented in the department's core curriculum.

Successful completion of a specialization or certification may require separate and additional examination requirements.

Timing:

The comprehensive examination may be taken at any time after prescribed course work is substantially complete as defined by the guidance committee (historically, this has been at least 80%), excluding CSUS 999 credits. However, the comprehensive examination will be administered no fewer than 30 calendar days from the date of approval of the Comprehensive Program Statement by the Guidance Committee. The written examination must be completed within five (5) years of the first course used to meet the Ph.D. degree course requirements. The date that the exam is passed is the date that the student passes the final component of the exam. The comprehensive examination, both written and oral parts, must be completed successfully (passed) before the dissertation proposal defense can be scheduled.

Note: By university policy, doctoral students must be registered during the semester in which the comprehensive examination (both parts) is administered. This requirement may be waived if the examination is administered during the summer session immediately following a spring semester during which the student was registered and/or prior to a fall semester in which the student will be registered. This waiver is automatically approved as long as the student fits the criteria. No request is needed.

Procedure:

The written component of the comprehensive examination must be completed within a period of five (5) consecutive days. Reference materials may be used, but all responses must be written without assistance from others. The exam responses may not be corrected or edited by anyone other than the student. The Guidance Committee and student will agree on the structure and other procedures for completing the written examination prior to exam administration.

Content:

Based on the Comprehensive Program Statement, the Guidance Committee will define the scope of the written examination, to include the following:

- Principles and foundations of systems-based, multidisciplinary studies in community sustainability
- Research methods
- Theory and practice in each of the student's two (2) focus areas

Oral Comprehensive Examination:

The oral examination must be scheduled before the written examination is administered, and is intended to offer an opportunity for the student to supplement, clarify, and/or elaborate upon the written examination responses. The oral examination must be held within 14 calendar days of completion of the written examination and is closed to the public.

Assessment of Comprehensive Examination:

The Guidance Committee members must report their preliminary assessment of the written portion of the exam to the Guidance Committee chairperson at least 24 hours before the oral exam.

Following the oral exam, the Committee members will offer their assessment of the student's performance on the comprehensive exam as a whole: "pass" or "not pass." There is no "pass with conditions."

If there is a unanimous "pass," the committee chairperson will complete the Record of Comprehensive Exam Form, obtain signatures from the Committee members showing PASS, and inform the student about the results of the written comprehensive exam. The committee chairperson will submit the Record of Comprehensive Exam Form (see Appendix A or https://www.canr.msu.edu/csus/graduate/current_students/forms), together with a brief supporting memo and hard copies of the exam questions and the student's answers, to the CSUS Graduate Office for required signatures.

Retaking the Comprehensive Examination

If there is no unanimous "pass" or the student does "not pass" part of the exam, the student is entitled to retake the exam one time. The student and the Guidance Committee Chairperson (in consultation with the Committee members) must jointly decide how to proceed to give the student a reasonable and fair opportunity to pass on the second attempt (e.g., by strengthening his/her understanding of key areas, adjusting the focal areas, etc.). The student must wait a minimum of 45 days and a maximum of 120 days after the previous examination before re-taking the examination. If this timeline is not followed, a student may be asked to withdraw from the program.

Assessing the Second Attempt at the Comprehensive Examination

The second attempt at the exam proceeds in the same way as the first – a written exam followed by an oral exam. After the oral portion of the exam, if there is a unanimous "pass" on the entirety of the second comprehensive exam, the student passes; the committee chairperson will then submit the executed Record of Comprehensive Exam Form (see Appendix A or https://www.canr.msu.edu/csus/graduate/current_students/forms), together with a brief supporting memorandum, to the department chairperson for his/her signature.

If there is not a unanimous pass, or disagreement exists among the committee members after assessment of the second comprehensive exam, exam results are considered to be "in dispute." In this case, the Guidance Committee Chairperson will send an "exam results dispute" memorandum to the Department Chairperson. This memorandum should summarize the entire comprehensive exam process, explain the results of the first and second comprehensive

exams, describe the dispute, and request external readers of the student's comprehensive exam. The CSUS Chairperson will select two external readers, who will remain confidential. The two readers submit their separate evaluations of the student's comprehensive exam, including a "pass" or "not pass" recommendation to the CSUS Chairperson. The CSUS Chairperson will share the results of the external readers with the student's Guidance Committee Chairperson and the Graduate Program Coordinator. The Department Chairperson, in collaboration with the Graduate Program Coordinator and the student's Guidance Committee Chairperson, will decide how to reconcile the dispute, i.e., determine whether or not the student passed the comprehensive exam on the second try.

If the doctoral student does not pass the comprehensive exam on the second attempt, s/he will be withdrawn from the program. A PhD student who does not pass the comprehensive exam may be eligible to earn a master's degree in the unit (Plan A or Plan B, though most choose Plan B). Such students may be able to work with a faculty member to complete the requirements for a Masters Plan A or B, including the preparation, writing, and defense of an appropriate thesis or project, registering for Plan A thesis or Plan B project credits, and submitting the requisite paperwork and documentation for the program change, program plan, MS-A or B proposal approval, and MS-A or B defense approval. The student will receive written notification of doctoral program withdrawal, and will be presented with the option and implications of completing a master's rather than a PhD degree (student must meet that degree's requirements).

Following MSU guidelines, all comprehensive exams (with answers and committee decision) will be kept in student's permanent file in the Graduate Office for at least three (3) years.

Dissertation Proposal

Students must prepare a written dissertation proposal in consultation with the Guidance Committee chairperson and present the proposal to the Guidance Committee in a public forum. The dissertation proposal may be scheduled, prepared, and presented only after successful completion of the comprehensive examination. The proposal should describe the purpose and objective(s) of the proposed research, a review of the relevant literature, and the proposed method(s) of data collection and analysis.

Students must submit the proposal to the Guidance Committee members **at least two weeks/14 days prior** to the public forum. The forum should be scheduled and announced to faculty and graduate students in advance, with promotional materials submitted to the Graduate Secretary for electronic distribution, CSUS website posting (and bulletin board posting, if desired) **at least two weeks/14 days before** the scheduled defense. At a minimum, the announcement should include: student's name; degree program and type; dissertation proposal title; committee member names (with chairperson identified); date, time, and location of the proposal defense; and abstract. Contact the Graduate Secretary to help schedule a presentation room.

Submit the flier in two versions: as a Word document and a PDF document (to be used differently).

See content/format example in the box below:

<p style="text-align: center;">Title of the Dissertation Dissertation Proposal Defense</p> <p style="text-align: center;">by Student FirstName LastName Day, Month ##, 20## Time: #:00 a.m. (or p.m.) Room: ###, Natural Resources Building</p> <p>Abstract Write a short abstract, approximately one or two paragraphs, here that succinctly presents the proposal's purpose, need/rationale, theoretical framework used or tested, context, methods and/or procedures, and any other information that is relevant to help others understand your work.</p> <p>Committee Members: Dr. Aaaa Bbbbbb, Chairperson Dr. Cccccc Dddddd Dr. Eeeeeee Fffffff Dr. Gggggggg Hhh</p>

If you choose also to create a flier for hallway posting, feel free to add some design elements to the flier (e.g., a relevant photo or other graphic representing your proposal topic or context). However, the above information should be submitted to the Graduate Secretary for email distribution and posting in the *Events* section on the CSUS website.

After the public presentation and in a closed session, members of the Guidance Committee will discuss the suitability of the proposal and indicate their approval on the *Dissertation Proposal Approval* form (see Appendix A or https://www.canr.msu.edu/csus/graduate/current_students/forms). This form must be signed by the student, Guidance Committee members, Graduate Program Coordinator, and the Department Chairperson.

Students must ensure compliance with the university requirements and guidelines pertaining to use of human subjects and vertebrate animals in research, and to safe use of hazardous materials. The necessary forms to secure approval from the Institutional Review Boards (IRB) may be obtained from the Human Research Protection Program (<http://hrpp.msu.edu>). Note that training is required in the relevant human and/or animal research areas prior to submitting the IRB application and implementing data collection procedures. See IRB and RCR guidelines and annual documentation form on page 81 in Appendix A.

Dissertation

A doctoral dissertation is required for the Ph.D. degree. According to University regulation, each student must register for a minimum of 24 credits (and a maximum of 36 credits) in CSUS 999 Doctoral Dissertation Research prior to awarding of the degree.

Students are required to write and successfully defend a dissertation containing a contribution to knowledge, conforming to professional standards of evidence and argument, and using clear and correct English language. The Guidance Committee and student have wide latitude in determining the design and format of the dissertation, but the dissertation should be designed so as to facilitate publication of the results. One common alternative to the conventional dissertation format is a set of related papers written in a format and style that is acceptable for publication in peer-reviewed journals and is consistent with the scholarly foundations and research approved during the proposal defense. For the dissertation itself, the papers may be combined in one volume, with an introduction and conclusion that highlight the papers' common research focus and principal findings as related to the approved dissertation proposal. Papers that the student has co-authored while in the doctoral program may be included as long as the student is the first author and the articles have been certified by the major professor as being substantially the work of the student.

For a dissertation consisting of one or more chapters that are already published papers: a) These chapters must be introduced with the list of all authors, citation for the publication, and include a copy or notation of the written permission from the publisher (who generally holds the copyright) to reprint the article. b) If multiple articles make up the document, these must be "tied together" with a required general introduction and summary/ discussion. The dissertation/guidance committee chair will decide any further requirements.

Dissertation Defense

Plan Ahead for Dissertation Submission (for graduating in semester of your defense)

6 WEEKS PRIOR TO GRAD SCHOOL FINAL DEADLINE and 2 WEEKS PRIOR TO FINAL DISSERTATION DEFENSE:

Submit final defense announcement to Graduate Office; submit draft copy of your dissertation to committee members (for their reading prior to your defense)

4 WEEKS PRIOR TO GRAD SCHOOL FINAL DEADLINE and 2 WEEKS PRIOR TO FIRST DISSERTATION SUBMISSION

TO GRAD SCHOOL: Hold final defense with your committee; allow about 2 weeks to make committee-recommended revisions/edits

2 WEEKS PRIOR TO GRAD SCHOOL FINAL DEADLINE: Submit committee-approved first draft to Grad School

for their review, comments, editorial and formatting requirements

GRAD SCHOOL FINAL DEADLINE: Final date for FINAL draft of dissertation to ProQuest to graduate in the same semester. (See Graduate School deadlines on the next page.)

Purpose:

The dissertation defense is a public seminar that is open to attendance by faculty, staff, students, and other invited guests. Defense seminar announcements should be distributed **at least two weeks/14 days prior** to the seminar. (Follow the same format and procedures as for the proposal defense, but title it “Final Defense” rather than “Proposal Defense;” See p. 36.)

Doctoral students must provide Guidance Committee members with a copy of the dissertation (marked “DRAFT”) **at least two weeks/14 days prior** to the defense seminar. The defense may not occur any less than two weeks after the committee/examiners have received the draft.

Note: By university policy, doctoral students must be registered for at least one credit during the semester in which the final defense/oral exam is taken (including summer semester).

Structure of Dissertation Defense:

The dissertation should be presented using appropriate visual and other aids. Time should be allotted for questions and discussion from the Guidance Committee and other audience members. **The student's Guidance Committee will decide on the specific format to be used in examining the student, to include the balance between the public presentation and closed examination portions of the session.** Following the public presentation, guests will be excused and the student and Guidance Committee will continue in a closed session for additional discussion and Guidance Committee requests for clarifications and presentation of suggested modifications. Guidance Committee members may require corrections or revisions to the written document before final approval of the dissertation.

Formatting and Filing Your Doctoral Dissertation

Formatting Your Dissertation:

Prepare your Dissertation following the specific instructions in Michigan State University Formatting Guide (<https://grad.msu.edu/etd/formatting-guide>), where a printable version also is available. This Formatting Guide sets forth dissertation requirements established by Michigan State University for required electronic submission. The dissertation should be prepared in accordance with the instructions of this guide. A PDF copy of the final, approved dissertation must be provided to the Department (via the Graduate Secretary). Ask Guidance Committee members about their preference of type for receiving a personal copy (digital PDF, hard-bound print copy, soft-bound print copy, or unbound print copy).

MSU accepts **only** electronic theses and dissertations submitted electronically via ProQuest. Instructions are available at <https://grad.msu.edu/etd/electronic-submission-to-proquest>. Submit via ProQuest at <http://www.etdadmin.com/cgi-bin/school?siteId=295>. A fee is charged by ProQuest-UMI if the dissertation is to be copyrighted. The Graduate School staff reviews only the PDFs that are uploaded through ProQuest.

Be aware that a submission via ProQuest does not mean that the document has been ACCEPTED. The review process is interactive and can take from a few hours to multiple weeks, depending upon the extent of the necessary revisions and how diligent the author is when making the necessary revisions. Submit your first final draft **at least two weeks before that semester's "final submission deadline."**

ELECTRONIC SUBMISSION OF DISSERTATIONS

MSU accepts **only** electronic theses and dissertations submitted electronically via ProQuest. Instructions are available at <https://grad.msu.edu/etd/electronic-submission-to-proquest> Submit via ProQuest at <http://www.etdadmin.com/cgi-bin/school?siteId=295> A fee is charged by ProQuest-UMI for copyrighting.

Target dates for **FINAL APPROVAL** of an electronic Thesis or Dissertation to the Graduate School for graduating the semester of that submission are below. **Be aware that a submission via ProQuest does not mean that the document has been ACCEPTED.** The review process is interactive and iterative. Final approval can take from a few hours to a few weeks, depending on the extent of the necessary revisions and how diligent the author is when making the necessary revisions.

Electronic Submission's Approval Target Dates:

Summer 2017:	August 22, 2017	Spring 2019:	May 8, 2019
Fall 2017:	December 20, 2017	Summer 2019:	August 21, 2019
Spring 2018:	May 9, 2018	Fall 2019:	December 18, 2019
Summer 2018:	August 21, 2018	Spring 2020:	May 6, 2020
Fall 2018:	December 19, 2018	Summer 2020:	August 19, 2020

NOTE: If you intend to graduate in the current semester, your document must be accepted and delivered to the publisher, ProQuest, by 5:00 p.m. on the deadline date. This means that your document has been submitted via the ProQuest website, reviewed by a Graduate School ETD Administrator for formatting, has been deemed to be formatted correctly and all necessary paperwork has been turned in to the Graduate School. **The deadline date is not simply the last date to submit your document to the Graduate School via the ProQuest website. Make sure you are aware of the deadline dates.**

The Graduate School permits submission of supplementary materials to ProQuest. Such materials will not be reviewed for formatting requirements, but must be acceptable by ProQuest and comply with ProQuest's criteria and storage limits. All supplementary materials need the written approval of the thesis/dissertation committee chairperson. Their initials must appear on the MSU form *Theses/Dissertation & Institutional Review Board Approval* (available on the CSUS graduate forms website: https://www.canr.msu.edu/csus/graduate/current_students/forms)

Also note that the MSU Library *may* accept supplementary materials approved by the thesis/dissertation committee chairperson based on their collection criteria. These are not reviewed by the Graduate School. Questions about submission of these materials to the library should be directed to the Assistant Director for Digital Information Services, currently Shawn Nicholson (nicho147@mail.lib.msu.edu).

Record of Completion and Submission of Dissertation:

The Guidance Committee members will assess the acceptability of the dissertation and defense and indicate their approval on the *Record of Dissertation and Oral Examination Requirements for Doctoral Degree Candidate* form that has been pre-prepared by the student prior to the defense (see Appendix A or https://www.canr.msu.edu/csus/graduate/current_students/forms) for in-department filing. Results then will be submitted to GradPlan for routing for official signatures.

If the student passes the oral defense, but the written dissertation still needs more than minor editing, the advisor should check "accepted subject to revisions," summarize edits needed (on the form and/or on an attached page), and submit to the Graduate Secretary for safe-keeping until the student completes revisions. Then, after the student makes all revisions required by the committee prior to submission to the Graduate School, the advisor will sign and date the "revisions approved" line on the Record of Dissertation and Oral Examination form. After this is signed, the Graduate Program Coordinator will enter final degree approval in GradPlan.

Record of Dissertation and Oral Examination Form

At the end of the dissertation defense, the Guidance Committee will identify one of three outcomes, to be marked on the PhD Examination/Defense form:

ACCEPTED: Student has passed the oral defense and the written document is approved “as is” and can be submitted according to guidelines above (to the Graduate School via ProQuest).

REJECTED: Student does not pass the oral defense; committee may request significant additional work be done before student attempts to defend again, or may choose to counsel student out of the program.

ACCEPTED SUBJECT TO REVISIONS (assuming oral defense is passed): Student has passed the oral defense (thus, would not have to enroll for one credit in a subsequent semester if it takes beyond the end of the current semester to complete written revisions, or deadlines are missed), but revisions and/or editing are required in the written document. A summary of requested modifications can be provided in the box “Major Revisions Required” and, if desired, more detailed explanations provided in an attached document. Student makes revisions, as requested by the committee, and when the committee members are satisfied with the modifications, they will provide final approval. The committee chairperson will sign and date the “revisions approved” line (indicating required revisions have been met) on the PhD Examination/Defense form.

At the same time as the student submits the first dissertation draft to the Graduate School, they should submit a completed, signed copy of the Theses/Dissertation and Institutional Review Board Approval form (found on CSUS forms website). Submit a copy of this form to the CSUS Graduate Office via the Graduate Secretary.

Submit a PDF copy of the FINAL thesis to the CSUS Graduate Office via the Graduate Secretary.

All CSUS (and former department) projects, theses, and dissertations will be available via the Graduate Secretary. Older, bound hard copies can be checked out, and the newer PDF copies can be obtained directly from the Graduate Secretary.

Doctor of Philosophy Degree Calendar & Progress Checklist

Student Name: _____

Advisor Name: _____

First semester/year
of degree program: _____

Prior to Attending the First Semester of Classes

- ☐ **Attend** the required CSUS Department graduate student orientation (usually scheduled on the Monday prior to the first day of fall semester classes). Students are encouraged to participate in orientation activities offered by the Graduate School (and for international students, by the Office of International Students and Scholars).
- ☐ **Contact** your initial academic advisor when you arrive in East Lansing to discuss degree requirements, to plan your courses (especially those for the first semester), and to discuss other student-related concerns.
- ☐ **Formalize** assistantship expectations and paperwork (if you have an assistantship), including the following forms:

Payroll/W-2, Federal W-4, Direct Deposit: at e-Payroll, <https://login.msu.edu/?App=E01>

I-9 instructions and forms: <http://www.hr.msu.edu/hiring/I9Information.htm>

GA Appointment Forms: available through CSUS Graduate Secretary

If you have been awarded an assistantship or are otherwise employed on campus, contact your supervisor immediately to complete employment paperwork, discuss your assignment and schedule, and address any issues related to your employment. Note the official dates of work for each semester as identified below:

Fall semester appointments: _____ August 16 - December 31

Spring semester appointments: _____ January 1 - May 15

Summer appointments: _____ May 16 - August 15

- ☐ **Register** for classes.
 - Doctoral students must be registered for a minimum of 6 credits per semester to be considered “full time.” (After the student has completed his/her comprehensive exam(s), he/she may be considered “full time” with 1 credit as long as he/she has informed the Registrar’s Office of her/his status and intent.)
 - Doctoral students must register for CSUS 800 during their first Fall semester.

Each Semester (as relevant)

- ☐ **Complete** or update assistantship or other wage forms, as relevant to your individual work pattern. See CSUS Graduate Secretary and accountants.
- ☐ Be sure you have completed an “assistantship assessment” each semester prior to reappointment for the following semester (to be done just after mid-semester each term). Form: on CSUS graduate forms web page.

First Semester

- ☐ **Take** CSUS 800, the required core CSUS course (offered fall semester). *Students having limited research background and experience should consider enrolling in CSUS 802.*
- ☐ **Begin** RCR training (foundational materials presented in core courses; see RCR materials for other sources for training, review the RCR Record of Training that must be completed and submitted by December 31. Initial year RCR requirements are incorporated into CSUS 800. Begin preparing your annual review materials for the calendar year, which will be submitted no later than January 31 of the following year.
- ☐ **Begin talking with** potential faculty committee members so that you can create your committee and program plan during the second semester, when you hold your first committee meeting. The doctoral *Program Planning Forms* are available at: https://www.canr.msu.edu/csus/graduate/current_students/forms

Second Semester

- ❑ **Select** Guidance Committee members in consultation with your advisor.

If, as you move through your program, you change your interest area, or as you become more familiar with the faculty and their interest areas, you may – upon mutual agreement – change academic advisor to better meet your academic and professional development goals. Use the *Change in Program Plan* form available on the CSUS website (https://www.canr.msu.edu/csus/graduate/current_students/forms) or handbook, page 85.

- ❑ **Draft** a potential degree program plan, working with the relevant *Ph.D. Program Planning* Form, on which you identify which courses you want to select to meet specific requirements for your degree. Working with this form helps assure that requirements relevant to *your* degree are agreed upon, and helps with the final degree certification process during the semester in which you intend to graduate. Forms are accessible on the CSUS website (https://www.canr.msu.edu/csus/graduate/current_students/forms) and in Appendix A of this handbook. Choose the form appropriate for your degree program (CSUS, STPAM) and degree type (Ph.D.)

Second (preferred) or Third Semester

- ❑ **Schedule** and **hold** an official meeting with your Guidance Committee to discuss your academic and professional goals and the courses you intend to take, as presented on the relevant *Ph.D. Program Planning* Form (work with the Graduate Secretary to schedule a room). You may also discuss preliminary dissertation topics at this time.

MEETING PREPARATION HINT: It is the student's responsibility to find a date appropriate for all Guidance Committee members, to schedule a room, prepare an agenda (in consultation with Advisor), and prepare the room, as needed (suggestions include providing appropriate audio-visual aids, written agenda, preparing relevant written plans and forms [as appropriate to the meeting agenda]).

COMMITTEE SCHEDULING HINT: Many faculty members are on Academic Year Appointments (9-month) rather than Annual Year Appointments (all year), so be sure to schedule committee meetings and other committee activities (including reading of proposals and dissertation drafts) when they are officially on duty. Exceptions can be made with the approval of all committee members; however, you should plan your work with respect for faculty appointments. Often they are involved in field research, study abroad programs, or otherwise away from the University during the time outside of their appointments. Most often this is during the summer; however, some faculty have non-traditional schedules. Be sure to find out appointments and schedules of your Guidance Committee members.

- ❑ **Finalize** your program plan, using the relevant *Ph.D. Program Planning* form and getting the requested signatures **no later than the end of the 3rd semester** (submit to the Graduate Program Coordinator for review). Forms are available on the CSUS website (https://www.canr.msu.edu/csus/graduate/current_students/forms). After approved, enter all the information (committee members, course selections, proposed dissertation idea) in GradPlan. A copy of your completed, approved CSUS form will be placed in your permanent academic file and used during degree certification to compare with GradPlan and transcript at the end of your program. Keep a copy for your personal records and for use in completing GradPlan and potentially making future changes.

Third Semester and Forward

(schedule varies by individual from here forward, because students move through the program at different rates, depending on their personal circumstances)

As you approach 80% of your coursework

- ❑ **Meet** with your Academic Advisor to discuss procedures for and scope of your Comprehensive Program Statement (CPS) and the comprehensive examination. You should be working toward writing your Comprehensive Program Statement from the day you begin your program, by reading and annotating relevant literature, and thinking about the integration of diverse literature. The comprehensive exam must be completed by the end of Year 5 of the degree program. *(See pp. 33-36 for details.)* A request for an extension of this deadline is possible, but it does not extend the deadline for maximum length until graduation (8 years).

Comprehensive Exam: After 80% or more of coursework has been completed

- ☐ **Prepare and submit** your Comprehensive Program Statement to your Academic Advisor. (See pp. 33-34 for detailed description.) Be prepared to make revisions based upon feedback.
- ☐ **Submit** your draft Comprehensive Program Statement to your Guidance Committee for review no fewer than 60 days before your comprehensive exam is scheduled to begin. Be prepared to make revisions according to their feedback, which is due back to the student no fewer than 45 days before the beginning of the exam.
- ☐ **Secure** the approval of your Comprehensive Program Statement by your Guidance Committee no fewer than 30 days before the first day of the comprehensive examination. Your Advisor will subsequently send it to the department faculty for review and comment. After the Comprehensive Program Statement (CPS) has been approved by all Guidance Committee members, submit the CPS signature cover page (available on the CSUS Graduate Forms page, https://www.canr.msu.edu/csus/graduate/current_students/forms), with a copy of the CPS (to be submitted as PDF file), to the Graduate Secretary. Form should be used for timeline planning.
- ☐ **Schedule** your written and oral comprehensive examinations with your Guidance Committee. The oral examination (scheduled prior to taking the written exam) should be held **within 14 days of completion** of the written comprehensive examination. Committee members should provide a preliminary assessment of comments to the student at least 24 hours before the oral exam.
- ☐ **Begin** your written comprehensive examination no fewer than 30 days after final approval of your Comprehensive Program Statement by your committee. Submit a signed *Record of Comprehensive Examination* form (https://www.canr.msu.edu/csus/graduate/current_students/forms) to the Graduate Secretary. Results also will be entered into GradPlan (log in via link from <https://grad.msu.edu/etd/grad-plan>)

Dissertation Proposal

- ☐ **Prepare** a written draft of your dissertation proposal. Discuss it with your Advisor, revise/edit and secure his/her approval prior to submitting it to your Guidance Committee.
- ☐ **Make** necessary corrections in your proposal (based on the feedback from your Advisor, and Committee members, as they have elected to be involved)
- ☐ **Provide** each member of your Guidance Committee with a copy of your draft proposal **at least two weeks/14 days prior** to the proposal defense.
- ☐ **Schedule and submit notification of** a public presentation and defense of your proposal with your Guidance Committee. Graduate Secretary will help with room reservation. Prepare required forms for the proposal defense, available at: https://www.canr.msu.edu/csus/graduate/current_students/forms See guidelines on page 36 for developing proposal defense notification materials, to be submitted to the Graduate Secretary **at least 14 days prior** to the defense.
- ☐ **Present** your dissertation proposal publicly, to be followed by a closed meeting in which Guidance Committee members will review and assess the proposal.
- ☐ **Obtain** signatures of the members of your Guidance Committee on the *Dissertation Proposal Approval* form. (You must indicate on this form the date when your proposal and IRB application was submitted to the IRB. No work on the dissertation can begin until research is deemed exempt, or IRB approval is received.)
- ☐ **Secure** approval from the Institutional Review Boards (IRB), as appropriate to your research. Instructions and application templates are available on-line: <http://hrpp.msu.edu>

WRITING HINT: You should have peers (and, if needed, professional editors) review and provide editorial recommendations for your dissertation proposal (and final dissertation) prior to submission to your Advisor and Guidance Committee. Take advantage of resources at the Writing Center.

Final Semester

Preparing for Dissertation Defense

- ☐ **Check** deadline dates for your planned final semester, as they pertain to completing the Ph.D. degree, at: <https://grad.msu.edu/etd/etd-deadline-dates> Dates also are included in the “box” on the page 39.
- ☐ **Enroll** for a minimum of one (1) credit in the semester in which you plan to defend your dissertation.
- ☐ **Check** StuInfo to be sure all DFs (deferred grades) have been converted to grades and that your GPA is at least 3.00. You cannot graduate unless these two conditions have been met.
- ☐ **Complete** and **submit** the on-line Application for Graduation by the end of the first week of the semester of planned graduation (first week of spring semester for summer graduation): <https://reg.msu.edu/StuForms/GradApp/GradApp.aspx> You must apply for graduation even if you do not plan to attend the ceremony so that final paperwork can be sent to and certified by the CSUS Graduate Office.
- ☐ **Review** the Graduate School’s electronic submission guidelines (for dissertations) at <http://www.etdadmin.com/cgi-bin/school?siteId=295>
- ☐ **Prepare** for commencement; see guidelines and checklist provided by the University: <https://commencement.msu.edu>

Completing Your Dissertation

- ☐ **Work** with your advisor/committee chairperson to write and edit a draft of your dissertation.
- ☐ **Submit** a draft of your dissertation to your Advisor and Guidance Committee members **at least two weeks/14 days** prior to your scheduled Final Defense date
- ☐ **Edit** your draft, as needed and requested by your committee. See <https://grad.msu.edu/etd/formatting-guide> for format guidelines. Printable PDF version is available from this site also.
- ☐ **Schedule** your final dissertation defense date/time with your Guidance Committee for presenting and defending your work. Students and faculty in the CSUS Department are invited to this open seminar.
- ☐ **Develop and submit** notification materials for your dissertation defense to the Graduate Secretary **at least two weeks/14 days** prior to the defense seminar date (clearly marked as *final* defense). The Graduate Secretary will help you schedule a room, send email notifications, post the flier on the graduate bulletin board, and submit for posting on the CSUS website homepage.
- ☐ **Present** and **defend** your dissertation at the public seminar; successfully defend with your Committee.
- ☐ **Edit** your dissertation draft as required by your Guidance Committee.
- ☐ **Prepare the form** (prior to your final defense) and **obtain signatures** from the members of your Guidance Committee on the *Record of Dissertation and Oral Examination Requirements for Doctoral Degree Candidate* form (see https://www.canr.msu.edu/csus/graduate/current_students/forms and Appendix A). Approvals also will be entered in GradPlan after submission of the signed form to the CSUS Graduate Office.
- ☐ **Prepare** the final copy of your dissertation according to the Graduate School Formatting Guidelines (see <https://grad.msu.edu/etd/formatting-guide> for format guidelines).
- ☐ **Prepare** an abstract of your Ph.D. dissertation to be filed with “Dissertation Abstracts.”
- ☐ **Electronically submit** your dissertation to the Graduate School using instructions on their website: <https://grad.msu.edu/etd/electronic-submission-to-proquest> and submitting via ProQuest at <http://www.etdadmin.com/cgi-bin/school?siteId=295> See deadline dates on page 39.
- ☐ **Complete and Submit** the MSU form *Theses/Dissertation & Institutional Review Board Approval* (available on the CSUS graduate forms website: https://www.canr.msu.edu/csus/graduate/current_students/forms)
- ☐ **Provide** a PDF file of your dissertation to the CSUS Graduate Office and copies to your Advisor and Guidance Committee members. (Ask committee members for format preference: hard- or soft-bound, unbound, or PDF.)

After Completing Your Degree

- ☐ **Complete** a short online MSU exit survey (required for all students graduating with a Plan A or Plan B master's degree, or with a Doctoral degree). Only students who have applied for graduation and have defended have access to the survey. The survey asks questions about educational experiences in MSU graduate programs as well as about immediate professional plans. The Graduate School uses data from this survey when reviewing graduate programs, and to guide decisions about services and initiatives for graduate students.

The identity of all respondents will be kept confidential; only aggregate (group) information will be available to faculty and administrators. The students will receive an e-mail message from the Dean of the Graduate School with a link to the survey, which takes about 5-10 minutes to complete. However, students do not need to wait for that e-mail message to complete the survey after applying for graduation. Instructions and links for completing the survey are available via <https://grad.msu.edu/etd/required-paperwork-and-surveys> . The contact for issues associated with the exit survey is: exitsurvey@grd.msu.edu .

Instructions for students:

- Doctoral Students should access the doctoral survey via a link from the page above.
- Enter your MSU NetID (Login Name) and Password
- Complete all the items on the survey. When finished, click SUBMIT.

If you cannot open this survey, send an email to exitsurvey@grd.msu.edu , and include your name, student ID #, degree level (Ph.D., MA/MS) and semester of graduation. You will then be notified when you are able to complete the survey.

- ☐ **Complete** the CSUS Exit Survey and Exit Interview.
- ☐ **Read and comply** with MSU's Exit Check List to ensure that you have met all requirements and submitted all appropriate forms, questionnaires, and other paperwork.
- ☐ **Pay** final fees.

Please keep the CSUS Department updated on your post-graduation jobs and where you are living!!!

• Additional CSUS Information & Expectations •

Professional Development, Academic Progress, and Faculty Mentoring

Upon the student's arrival at MSU, the student and advisor should devise a plan for regular meetings. The frequency and format will vary by student, faculty, and across the academic career of the student. The plan should meet the needs of both parties. The Major Professor's role is to serve as mentor for graduate students as well as to serve as their academic advisor. Students also have a lot to share with each other, as well as with faculty. A student's graduate experience is much more than "taking courses," and students are encouraged to make their graduate experience as rich as possible. Students are strongly encouraged to attend seminars, engage in active scholarly debate outside the classroom, read broadly, get involved in research and outreach activities (paid or volunteer), participate in professional conferences, and (when ready) begin writing professional articles for submission to professional magazines and journals.

In their role as mentors, faculty should model and provide encouragement for working as scholar-practitioners; discuss the nature and practicalities of research ethics and academic integrity; discuss University policies and procedures; involve students in extracurricular professional activities; review Department expectations; and discuss professional ethics and responsibilities.

Note that most faculty in the Department of CSUS have "academic year" appointments (9 months) rather than calendar year appointments. Most have their non-work time during the summer, but a few have negotiated other time blocks during the year. Also, most faculty are engaged in out-of-state and international travel for conferences, research, and outreach work at various times throughout the year. Discuss your advisor's and committee members' schedules with them so that you know when they will be available to you. Scheduling for committee meetings should be done well in advance to maximize the potential for finding common dates of availability across your diverse committee members.

Grade Point Average Expectations and Nearing Critical Deadlines

As stated in the degree description sections of this handbook, graduate students must maintain a 3.0 cumulative grade-point average out of a possible 4.0 to remain in good standing. All student GPAs will be reviewed each semester. Students whose GPA falls below 3.00 will receive notification of such, indicating that they have been placed on probation. The student should schedule an appointment with their advisor immediately to discuss the probationary status and to make plans to raise the GPA.

Students nearing a critical deadline (e.g., 5-year deadline for completing comprehensive exams or 8-year deadline for completing the degree for doctoral students; 5-year deadline for completing the degree for master's students) will receive written notification, plus a request for a plan for degree completion (to be developed in consultation with their advisor).

Graduate Students as Representatives of MSU

Graduate students engaged in professional activities – whether at the University, working with communities, engaged in international travel and work, participating in professional conferences, attending meetings and public hearings, or other relevant scholarly or practitioner activities – are representatives of Michigan State University. Consequently, as a MSU student, you are expected to behave in a professional manner. Some examples (provided only as examples, not intended to be comprehensive) of appropriate behavior are:

- When attending professional conferences or meetings, especially when funded in whole or part by University funds, you are expected to participate in the conference sessions and events (not act as though the trip is your personal vacation).
- In doing all your work, you should behave with academic and professional integrity. (Review all the Research Integrity Resources: <https://grad.msu.edu/researchintegrity>)
- When engaging in scholarly debate, treat others with respect and be an active listener.
- In making professional presentations or writing journal articles, acknowledge and cite all work and ideas of others, as they contribute to your own ideas and work. (Even paraphrased work should be cited.)

Permanent Academic Advising Files (and student access)

The CSUS Department maintains a permanent academic file in the Graduate Office on each graduate student. The student may have access to all records in her/his personal file, *with the exception of letters of recommendation for which they waived their right of access and records of GACC deliberations prior to acceptance*. If a student wants to review her/his file, he/she should schedule an appointment with the Graduate Secretary. Files must be reviewed in the Graduate Office and may not be removed from that location. None of the file contents may be removed by the student.

If the student wishes to challenge the validity of any of the records, they may present a written challenge explaining the perceived inaccuracies, along with supporting documentation. The written challenge should be submitted to the Graduate Program Coordinator of CSUS, who will review the challenge and the file. The Graduate Program Coordinator may consult with the Graduate Secretary, the student's major professor, and the Chair of the Graduate Affairs and Curriculum Committee. The Graduate Program Coordinator will provide a written response to the student's challenge. If the student does not believe the issue has been resolved appropriately, they may file an official Grievance. (*See separate Conflict and Grievances section of this Handbook.*) Items kept in the permanent file include:

- All original application materials (application, test scores, letters of reference, former degree transcripts, academic and personal statement essays, and resumé).
- Correspondence between student and department faculty and staff
- Copies of fellowship and scholarship applications or nominations, plus award letters/forms
- Copies of all assistantship or other paid work records, as relevant
- Copies of each semester's written assistantship developmental review and discussion form
- Copy of approved Program of Study (plus documentation of any changes in courses and/or committee members)
- Annual written progress assessment packets (including student's written self-assessment), used as the basis for student review each calendar year
- For doctoral students: copy of Comprehensive Program Statement (CPS) and CPS Approval form
- For doctoral students: Comprehensive Exam (written questions and responses, kept for a minimum of 3 years)
- For doctoral students: MSU Record of Comprehensive Exam, including summary of written results of the comprehensive exam on form, plus (if needed) a written plan to address deficiencies, and a schedule and plan for re-taking the exam
- Written plan describing how the student will address deficiencies, or improve their GPA (if it falls below 3.00), if needed as a result of the annual review (or semester GPA check resulting in a probation letter)
- Copies of critical correspondence between the University and student (except messages embedded in student application portal and GradPlan)
- Copies of any other MSU forms submitted on behalf of the student during their study

Student Status: "Good Standing," Probation, and Termination of Program

Students not making adequate progress, or earning a cumulative GPA of less than 3.00, or engaging in unethical behavior may be placed on probation. Such a determination can be made after an unacceptable annual review, after a semester-by-semester review of GPA, expiration of University deadlines, or violation of University standards of academic integrity. Specific examples of conditions or actions that may result in probation are:

- Cumulative Grade Point Average (GPA) falling below 3.00.
- Violation of scholarly ethics and/or University policies. (Depending on the nature of the violation, the result may be probation or dismissal from the program/University.)
- Repeated failure to make academic progress, such as expiration of University deadlines for comprehensive examinations or program completion.

Additional conditions for being in "good standing" (as related to eligibility for special funding or awards) include:

- Meeting requirements for and submitting annual assessment documents on time (by each January 31 for previous calendar year);
- Meeting requirements for and submitting annual RCR training documents on time (training completed and documentation submitted by December 31 each year); and
- Submitting in a timely manner required post-event/funding use reports for things such as GOF funds (within 30 days after completion of GOF-funded project), fellowships, and scholarships, as relevant to your situation.

Students will receive a letter from the Department Graduate Program Coordinator and chairperson at the beginning of the first semester in which they have been placed on probation, explaining the requirements that must be met in order to return to good standing. The requirements must be met by the end of the semester. If the student fails to address the deficiencies, the student's graduate program will be terminated within fourteen (14) days of the end of the semester. Students on probation are not eligible to apply for department fellowships.

If the student does not agree with the decision of the Department, they may file an official grievance in accordance with the University's Grievance Procedures. *(See separate Conflict and Grievances section of this Handbook.)*

Full-time Status Requirements

International students on F and J visas are required to have full-time status. Domestic students may have other reasons for needing full-time status (insurance eligibility; eligibility for certain financial aid, scholarships, fellowships; etc.) MSU requires the following minimum enrollments to attain full-time status for academic purposes:

- Master's 9 credits per semester
- Doctoral (before passing comprehensive exam) 6 credits per semester
- Doctoral (after having passed comprehensive exam) 1 credit per semester
- Graduate – professional level 12 credits per semester

Graduate Assistants' maximum and minimum credit loads are as follows:

- Master's with quarter-time or half-time assistantship minimum 6 credits per semester
(maximum 16 credits per semester, excluding 899 or 999 credits)
- Doctoral with quarter-time or half-time assistantship minimum 3 credits per semester
(maximum 12 credits per semester, excluding 899 or 999 credits)
- Master's or doctoral with three-quarter-time assistantship minimum 3 credits per semester
(maximum 8 credits per semester, excluding 899 or 999 credits)
- Doctoral assistant having passed comp exams minimum 1 credit per semester

Deviations are allowed only for summer semesters (minimum 3 credits) or final semester of student's degree program (minimum is the number of credits required to complete the degree or to meet university minimum registration requirements).

See additional graduate assistantship information at: <https://grad.msu.edu/assistantships>

Additional enrollment status related to financial aid can be found at: <https://finaid.msu.edu/enrlchrt.asp>

Loan deferral status may affect credit numbers; contact the Office of Financial Aid.

Academic Performance and Progress Assessment (Annual Review)

Each year, in January, each student will engage in a progress assessment process with her/his academic advisor for the preceding calendar year. (If you begin your degree during the fall semester, your first annual assessment will cover only that time since you began your work at MSU in the CSUS Department.) The purpose of this process is for the student and advisor to review the student's progress, make plans for the next year, discuss ways to facilitate the student's success, provide input about the program and progress, and provide an opportunity for the student to request additional help, if needed. See the separate section on Annual Academic Progress Assessments for details (pp. 49-50).

• Annual Academic Performance & Progress Assessment •

As required by the MSU Graduate School, each year during January each student will complete a performance and progress assessment, including a meeting with her/his academic advisor, for the preceding calendar year. (If you begin your degree during a fall semester, your first assessment will cover only that time since you began your CSUS work at MSU.) The purpose of this process is for the student and advisor to review the student's performance and progress, lay out plans for the next year, discuss ways to facilitate the student's success, and enable the student to request additional help, if needed. This process is to be initiated by the student, following the guidelines below and using the forms found in the Graduate Forms section of the CSUS website and in Appendix A of this handbook. The entire process, including your individual meeting with your advisor, should be completed no later than January 31 so that all signed forms can be completed and submitted to the Graduate Secretary during the first week of February. Note that completing this annual assessment process and submitting associated documentation is part of maintaining "academic good standing."

Student Assessment Process

Steps in the assessment process, which is to begin with the student, are to include the following:

- Complete the Annual Student Assessment Cover Form
- In a separate report, address item #s 1-4 in Section I-A below (*#4 should be on a separate page, without your name*).
- Your RCR form and "proof of completion" documents should have been submitted by the preceding December 31.
- Faculty advisor will review your report & attached materials, & write his/her assessment of your progress (Section II).
- After you receive advisor's written assessment, meet with your advisor to review the assessment.
- When student and advisor have met and understood each other's comments, both sign the signature page (Sect. III).
- Compile cover form, student's written assessment, advisor's written assessment, and signature page into a single document (if using digital signatures, you will need to create a PDF Portfolio to link pages).
- Submit the entire assessment packet to the Graduate Secretary for Graduate Program Coordinator review, and then placement in your permanent file.

Assessment Cover Form

Prior to meeting with your advisor, you (student) will complete the Annual *CSUS Graduate Student Assessment* cover form https://www.canr.msu.edu/csus/graduate/current_students/forms (available as a "fillable PDF" form), on which you describe the academic progress you have made in the preceding year, to include:

- current GPA (*at the end of the calendar year being assessed*)
- status of submission of *Program Planning Form/Program Plan* (*yes or no, plus date if submitted*)
- list of courses completed during that calendar year (*only alpha codes/numbers needed; no titles*)
- identification of incomplete or deferred courses (*if any*)
- challenges in scheduling courses, particularly as listed on your degree plan (*list potential alternative courses*)
- description and assessment of performance and learning in assistantship or other paid professional experience; include experiences gained and skills learned
- Identification of any fellowship/scholarship funds received this year

Section I-A: Student Self-Assessment Essay

Additionally, prior to meeting with your advisor, you will write a self-reflective assessment essay in which you reflect on the past year and look forward to the next academic year:

Reflecting on the past year (*write, number & label each section separately*):

1. Describe your personal and professional goals, then describe how your studies relate to them.
2. List and describe the following, as completed during the year assessed (as relevant):
 - Professional or academic presentations
 - Supplemental professional reading
 - Attendance at seminars, presentations or training (on or off campus)
 - Writing and submission of professional or research articles
 - Community outreach activities related to your professional goals
 - Unpaid research/experiences relevant to your professional goals
 - Any other activities supportive of your scholarly academic and professional development

Looking forward (recommendations written in two separate sections, each labeled with subheading):

3. Provide recommendations for how your *academic advisor* and your committee can help you address any concerns you may have, or facilitate your degree progress.

(This section is to be used by you and your advisor.)

4. **ON A SEPARATE PAGE**, titled “Concerns and/or Recommendations for CSUS and GACC,” describe how the *CSUS Department and/or the CSUS Graduate Affairs and Curriculum Committee* can help you address any concerns you may have, or facilitate your degree progress.

[NOTE: In addition, if you would like to request a meeting with the Graduate Program Coordinator to discuss challenges, concerns, or to provide program-level recommendations, contact GPC directly.]

(Do not put your name on this page. *This section will be pulled from your report, compiled with comments from all other graduate students, and used as input to help improve the graduate experience. Your name will **not** be associated with your comments This part will be reviewed by the Graduate Program Coordinator, GACC, and the Department Chairperson to assist with developing action plans to improve the graduate experience.)*

Section I-B: Responsible Conduct of Research (RCR) Form

Confirm completion of RCR (*Responsible Conduct of Research*) requirements and submission of form for the “academic training” year, assuring that you have provided “proof of completion” documentation, as relevant. Training should have been completed and documentation submitted by December 31 of the preceding calendar year.

A description of the RCR requirements and options are described in more detail in the Responsible Conduct of Research section of this handbook and in the guidelines accompanying the form in the Appendices.

The “fillable” form can be found on the CSUS Graduate Forms webpage, at

https://www.canr.msu.edu/csus/graduate/current_students/forms

Section II: Faculty Advisor’s Student Assessment Report

After you have compiled your Annual Assessment Packet (cover form plus Sections i-A and I-B items above), submit them to your faculty advisor and schedule a time to meet with them to review your assessments of your progress.

After your faculty advisor reviews this packet, they will write their annual assessment of your academic progress, accomplishments, and ongoing work toward completing your degree. Additionally, they will provide recommendations, as needed, to assist you in your progress. They will date the report on the form (Sect. II) and sign the form (Sect. III).

Section III (Signatures) and Student/Advisor Meeting

During a pre-scheduled meeting, the student and advisor will discuss the entire annual assessment packet. After both have a clear understanding of each other’s comments and have discussed plans for moving forward, both will sign the signature page, attach it to the packet, then submit the original complete packet to the Graduate Secretary.

The complete Assessment Packet shall contain: student’s assessment cover form, student’s self-assessment essay, confirmation of December 31 submission of the previous year’s RCR report, faculty advisor’s student assessment report, and the signed/dated signature form.

You may choose to make photocopies for yourself and your advisor. “Face-to-face” meetings and “real” signatures are preferred. However, sometimes there are cases when this is not possible (e.g., the student is in the field, away from MSU, working on projects or research; the advisor is out of country or on sabbatical). In these cases, you may complete and share the forms and essays electronically, and use digital signatures. You may choose to conduct your “assessment meeting” via Skype or other communication system.

Graduate students who wish to appeal any part of the faculty advisor’s evaluation may do so in writing to the department Chairperson or the Graduate Program Director, and this appeal will be filed together with the annual progress report.

Assistantship Developmental Review and Discussion Process

If you have an assistantship (with either your Academic Advisor or another supervisor), make sure to schedule an assistantship review discussion with your supervisor about mid-semester EACH semester of employment. This is particularly important if you plan to be re-hired for the subsequent semester, as the assessment form is required before reappointment papers are submitted. The form (Graduate Assistantship Developmental Review & Discussion Form) can be found in Appendix A of this handbook and on the CSUS website in the forms section.

• Conflict Resolution and Grievance Procedures •

Conflict Resolution

To assist students and faculty confronting issues of conflict, the University has developed a program of support. The Conflict Resolution Program (<http://studentlife.msu.edu/sccr/conflict-resolution-services>) provides tools that aid in preventing and resolving interpersonal conflict. Periodically workshops in conflict resolution are offered.

The CSUS Department Chairperson, Associate Chairperson, and Graduate Program Coordinator also are available to consult with students who need assistance in resolving conflicts.

Office of the University Ombudsperson

Conflicts, disagreements, and issues sometimes arise during the course of a graduate program. If you find yourself in this situation and have exhausted the internal resources for resolving the issue, you may contact the Office of the University Ombudsperson.

The Office of the University Ombudsperson provides assistance to students, faculty, and staff in resolving University-related concerns. Such concerns include: student-faculty conflicts; communication problems; concerns about the university climate; and questions about what options are available for handling a problem according to Michigan State University policy. The University Ombudsperson also provides information about available resources and student/faculty rights and responsibilities. The office operates as a confidential, independent, and neutral resource. It does not provide notice to the University – that is, it does not speak or hear for the University.

Contact the Ombudsperson at any point during an issue when a confidential conversation or source of information may be needed. The Ombudsperson will listen to your concerns, give you information about university policies, help you evaluate the situation, and assist you in making plans to resolve the conflict.

Contact information:

Office of the University Ombudsperson
129 N. Kedzie Hall, 354 Farm Lane
(517) 353-8830
ombud@msu.edu
<https://ombud.msu.edu/>

Grievance Procedures

If you have an issue that rises to the level of formal grievance, the University and Department provide procedures for this. Resolution of cases involving graduate student rights and responsibilities will be carried out according to Article 5 of the Graduate Student Rights and Responsibilities (G.S.R.R.) document (<http://splife.studentlife.msu.edu/graduate-student-rights-and-responsibilities>). Follow the link to access the MSU grievance guidelines, which describe judicial procedures at the College and University levels. You may also find information via <http://splife.studentlife.msu.edu/graduate-student-rights-and-responsibilities/article-5-adjudication-of-cases-involving-graduate-student-rights-and-responsibilities>

Prior to initiating the College and University grievance procedures, students should explore all channels and grievance procedures within their home department for resolving grievance issues. The CSUS Department procedures (informal and formal) are outlined on the next page, as specified in the Department's Bylaws.

8. GRIEVANCE AND HEARING PROCEDURE

8.1. Preamble. All faculty and students shall have the right to due process in settling grievances that may arise (CANR Bylaws 7.1.).

8.2. Procedures

8.2.1 Procedures for resolving faculty grievances may be found in appendix D of the CANR Bylaws as well as in the Faculty Grievance Procedure in the Faculty Handbook. The practices followed by the Department will be those set forth in the document "Model Academic Unit Grievance Procedure," approved by the University Council for Faculty Affairs, 30 April 1991 (appended).

8.2.2. Procedures for resolving student grievances are outlined in the University documents *Student Rights and Responsibilities* (<http://splife.studentlife.msu.edu/rights-and-responsibilities>) and *Graduate Students Rights and Responsibilities* (<https://grad.msu.edu/sites/default/files/content/gsrr/GSRR.pdf>), and in the *Bylaws of the Student Senate*. A student or students may take complaints relative to instruction directly to the Department Chairperson. If the Chairperson is unable to resolve the matter to the student's satisfaction, the Chairperson shall refer the unresolved complaints in writing to the Graduate Affairs Committee who will conduct a hearing. A hearing shall be scheduled within 2 weeks involving the student(s), the involved faculty or staff member(s), and the Graduate Affairs Committee. A written report of the action or recommendations of the Graduate Affairs Committee will be forwarded to the Dean, Department Chair, the involved faculty or staff member(s), student and university Ombudsperson within ten working days of the receipt of the complaint. Students wishing to appeal the Department's action or recommendation may do so as outlined in provisions of the above referenced documents and guidelines for procedures for resolving student grievances.

Note that, for any conflict resolution or grievance process, any faculty member simultaneously having a complaint filed against them and is in one of the involved administrative positions to address the situation ,they will recuse themselves and *not* be involved in the resolution. This is true for academic as well as other issues related to grievances.

• Guidelines Involving Research and Writing •

Academic and Research Integrity

Academic integrity is a strong value adhered to by the University, and all students and faculty members are expected to behave and conduct their work with integrity, adhering to general professional ethical standards and University policies and guidelines. Students may be dismissed from the CSUS Graduate Program for violating University academic integrity and research ethics. (GSRR)

As is the rest of the University, CSUS is diligent in its efforts to maintain the highest levels of ethical scholarly practice. Faculty, specialists, and students are expected to adhere to the standards and processes of Michigan State University's institutional review board, the University Committee for Research Involving Human Subjects (IRB).

You are required to receive IRB approval *PRIOR TO BEGINNING* any data collection. The faculty member identified as principal investigator of your research will work with you to develop and submit the required application. Detailed information regarding IRB and its procedures can be found at (<http://hrpp.msu.edu>).

"Breaches in professional ethics range from questionable research practices to misconduct . . . Integrity in research and creative activities is based not only on sound disciplinary practice, but also on a commitment to basic personal values such as fairness, equity, honesty, and respect. These guidelines are intended to promote high professional standards by everyone – faculty, staff, and students alike." (MSU, Research Integrity, *Vol. 7 No.2 Spring 2004, pg. 12*) If a student violates academic integrity and research ethics, the circumstances and actions will be reviewed. A decision will be made about whether to terminate the student, place them on probation, or permit them to continue in their program. Depending on the outcome of the review, there exists the possibility of having the case referred to the Dean of Graduate Studies for CANR. (See Grievance Procedures for guidelines for disputing a Department decision, pages 51-52.)

Expectations related to academic integrity can be found in the following documents:

- **MSU Policies, Regulations, and Ordinances Regarding Academic Honesty and Integrity** (<https://www.msu.edu/unit/ombud/academic-integrity/index.html>).
Topics include: a) integrity of scholarship and grades; b) general regulations; c) examinations; d) academic freedom; e) student rights and responsibilities; f) integrity in research and creative activities; g) use of *Turnitin*
- **Graduate Student Rights and Responsibilities** (<http://splife.studentlife.msu.edu/graduate-student-rights-and-responsibilities>)
See especially Article 2, which focuses on academic rights and responsibilities for graduate students.
- **Research and Scholarly Integrity** (<https://grad.msu.edu/sites/default/files/content/researchintegrity/guidelines.pdf>)
Key principles upon which integrity in academic practices is based include:
 - a) honesty in proposing, performing and reporting research;
 - b) recognition of prior work;
 - c) confidentiality in peer review;
 - d) disclosure of potential conflicts of interest;
 - e) compliance with institutional and sponsor requirements;
 - f) protection of human subjects and humane care of animals in the conduct of research;
 - g) collegiality in scholarly interactions and sharing of resources; and
 - h) adherence to fair and open relationships between senior scholars and their coworkers.
- **Academic Honesty & Integrity, FERPA and Data Integrity**
<https://ombud.msu.edu/academic-integrity/index.html>
https://ombud.msu.edu/academic-integrity/academic_dishonesty_report.html
<https://tech.msu.edu/about/guidelines-policies/msu-institutional-data-policy/>
- **MSU's Procedures Concerning Allegations of Misconduct in Research and Creative Activities**
<http://rio.msu.edu> (MSU Research Integrity Officer)

Michigan State University Guidelines on Authorship

Adopted by the Council of Research Deans

January 2013

Available at: <http://vprgs.msu.edu/michigan-state-university-guidelines-authorship>

Purpose

All MSU researchers are encouraged to share their work in the form accepted within their discipline. The intent of this document is to serve as a general guideline for consideration of important issues surrounding authorship as scholars construct a piece of work for public distribution.

Definitions

Author: creator of and/or contributor to a research/scholarly publication or creative endeavor.

Publication: a publically shared piece of scholarship or creative work that relates new information and knowledge. This includes books, journal articles, abstracts, websites, exhibitions and performances of works of art or any work that can be explicitly documented.

1. Authorship: A person shall qualify as an Author provided the following conditions are satisfied:

- Participation in conception/design of the creative work, study, review, analysis or interpretation of any data.
- Participation in the drafting of the creative work or manuscript or in the editing of the creative work or manuscript.
- Final approval of the version of the creative work or manuscript to be published.
- Ability to explain and defend appropriate portions of the work or study in public or scholarly settings.

Most journals or sites of publications state criteria for authorship, and the journal/group to which one intends to submit their work should take precedence over the MSU criteria. Potential authors should check with the journal-specific criteria with respect to all aspects relevant to publication, including appropriate approval for animal use, human use, exports, material transfer agreements, etc. For example, when considering scientific publications, the MSU criteria follow the International Committee of Medical Journal Editors (www.icmje.org) but are not limited to Biomedical Journals.

2. Acknowledgements: Contributions that do not justify authorship should be acknowledged separately in accordance with disciplinary standards. For example, consult the specific journal or placement of scholarly piece for requirements of acknowledgement. Contributions to a work that do not constitute authorship can include, for example, technical support or assistance in obtaining funding.

3. Lead Author and Order of Authors: The Lead author is defined as the person who leads a research/scholarly effort or creative work and makes a major contribution to a multi-authored work. The Lead author is also responsible for gathering the appropriate consents necessary (animal, human use) and for validating the integrity of the work. The Lead author takes the lead of discussing the contributions, recognition and order of all authors that participate in the study. All authors, regardless of position, have a voice in this discussion. Ideally, author arrangement is agreed to proactively, formally, and in writing prior to the initiation of the study. A sample agreement that allows for formal recognition and agreement on authorship can be found as an appendix to this policy. As the study evolves, agreements regarding authorship may need to be further discussed. Most journals and other scholarly outlets do not include statements on author order, so the Lead Author should guide this process and adhere to the norm of the discipline.

4. Accountability: EVERY author listed on a publication or creative endeavor is responsible for:

- 1) approving the final version of the manuscript, including designation of the Lead Author and order of authors;
- 2) verifying the integrity of the research/scholarly/creative work performed. In cases of alleged research misconduct, ALL authors are considered responsible for the integrity of the research or creative endeavor. Thus, every author must strive to hold him/herself accountable.

5. Disputes over authorship: If disagreements over authorship occur (e.g., who has a right to be an author, the order of authors), it is the initial duty of the Lead author to find a resolution in consultation with the other participating authors. If resolution within the immediate author group cannot occur, any concerned party from the group is encouraged to contact a disinterested third party acceptable to all authors. At MSU, this can be resolved by the Research Integrity Officer (RIO) (or <http://rio.msu.edu/>), and these discussions are confidential. During the process of resolution, all interested parties should refrain from unilateral actions that may damage the authorship interests and rights of authors.

Responsible Conduct of Research and Scholarship (RCRS) Training (for CSUS)

Background

To satisfy federal regulations, MSU requires that all graduate students and postdocs complete training in research ethics. This training is individualized to each graduate program and needs to be documented. The Association of American Universities (AAU) has recommended five hours in the first year of a person's research career and three hours annually thereafter, or as required by a specific funding agency, whichever is more. MSU has endorsed this time standard in its implementation of RCR training.

Note that, **in addition to** these requirements, certain grants may require researchers to obtain additional training related to the responsible conduct of research. Also, anyone who conducts a study that requires Institutional Review Board (IRB) approval must meet the training requirements for human subjects' research protection offered by MSU's Human Research Protection Program (HRPP). As of the writing of this document, HRPP requirements include an "initial educational requirement" that involves a 2- to 3-hour online tutorial (*see Additional Option #1 below*). This training is valid for two years, after which the training must be renewed by completing online refresher modules. Note that, even though this HRPP/IRB update training is required only every two years, the RCR program requires *annual* updating.

IRB ≠ RCR

IRB training is required by MSU before you can conduct research involving human subjects. IRB training can be used for 2 hours of your annual RCR training, but is not sufficient to meet RCR training requirements.

IRB training is required by MSU's Office of Contracts and Grants every 2 years

RCR training is required by the MSU Graduate School EVERY calendar year (5 hours in Year 1; 3 hours in every subsequent year by CSUS policy)

Who

All graduate students and post-doctoral fellows are required to follow MSU policies and guidelines for Responsible Conduct of Research (RCR). Graduate students, including those who work on an hourly basis, and postdoctoral fellows are required to comply with RCR requirements. Note that all training must be up to date *prior* to submitting an IRB application and prior to beginning data collection.

Record-keeping and Accountability

In CSUS, the Graduate Affairs and Curriculum Committee (GACC) recommends that each faculty advisor ensures her/his students complete the RCR compliance and validation process by participating in the programs outlined on the next page (and in the 'instructions' accompanying the form in Appendix A). Students should complete their annual training and record it on the CSUS *Annual RCR Training Record Form* (available on the CSUS Graduate Forms website at https://www.canr.msu.edu/csus/graduate/current_students/forms), have it signed/confirmed by their advisor, and submitted no later than December 31 of each year. Students must provide written documentation to support the listed training (certificates of completion, quiz scores, etc.).

Requirements

All CSUS graduate students (MS Plan A, MS Plan B, and Ph.D.) must complete **five hours** of Initial RCR education by December 31 of their first calendar year of program work and to be submitted with materials for their first annual academic progress assessment (which occurs in January of each year for the previous calendar year). Additionally, after the initial RCR education, all graduate students must complete **three hours** of refresher training each calendar year.

Note that completing this annual RCR training process and submitting associated documentation is part of maintaining "academic good standing." Additionally, note that training is required in the relevant human and/or animal research areas prior to implementing data collection procedures. See IRB and RCR guidelines and annual documentation form on pp. 81-83 in Appendix A.

Resources

To access a wide range of resources related to research integrity, see: <https://grad.msu.edu/researchintegrity/resources>

(See the next page for details and a list and description of options.)

Initial/Year 1 RCR Education

All CSUS graduate students must complete **all three items below** by December 31 of their first fall semester (prior to their first annual assessment, which is completed in the subsequent January for the previous calendar year). Because all new CSUS graduate students (MS-A, MS-B, and Ph.D.) are required to take CSUS 800 during their first fall semester, first year requirements are covered during that course (students must participate in those class days/sessions during which RCR is covered).

- 1. Initial IRB Training (via MSU's HRPP website):** offered by MSU's Human Research Protection Program (HRPP). HRPP/IRB training can be found via Saba training system (*Firefox or Chrome browsers recommended*) (<https://train.ora.msu.edu/Saba/Web/Main>) by logging in and completing the "Overview of Human Research Protections" online module. This training is valid for two years, after which the training must be renewed by completing online refresher modules (see CITI options on the HRPP website; Saba log-in and help links are found on the left navigation bar; see: <https://hrpp.msu.edu/required-training>) If incoming students have current IRB training, that suffices for Year 1's #1 requirement. (~1 of 5 hours)
- 2. Four (4) Required CITI Modules** offered by MSU's Human Research Protection Program (HRPP). (Find all listed within set of CITI Basic RCR courses: <https://about.citiprogram.org/en/course/responsible-conduct-of-research-basic/>) (~1 of 5 hours)
 - Introduction to the Responsible Conduct of Research
 - Authorship
 - Plagiarism
 - Research Misconduct
- 3. In-class discussion about RCR issues during CSUS 800** (3 of 5 hours required during first year of degree program)

Year 2 RCR Education (*calendar year after first fall semester of CSUS graduate work*)

All CSUS graduate students must complete **both of the items below**: 3 hours of discussion-based RCR training PLUS three (3) additional CITI modules.

- 1. Three (3) hours of discussion-based training via Graduate School-offered RCR workshops.** Register EARLY to assure you can take the courses (enrollment limited and they fill fast). See <https://grad.msu.edu/rcr> (*alternatively, 3 hours discussion-based training; see #4 in Year 3+ below*)
- 2. Three (3) additional CITI Modules** selected from the following (found in CITI RCR Basic courses, see URL in Year 1, #2 above)
 - CITI Collaborative Research
 - CITI Conflicts of Interest
 - CITI Data Management
 - CITI Financial Management
 - CITI Mentoring
 - CITI Peer Review

MSU has licensed access to CITI modules. Find link on HRPP website, under "Other Training": <https://hrpp.msu.edu/required-training> (scroll to page bottom) & directly: <https://about.citiprogram.org/en/homepage/> (see "Courses")

Year 3 & Beyond RCR Education (*each subsequent calendar year after first two years' requirements met*)

All active CSUS graduate students must complete three additional hours of training (in some combination of discussion-based RCR refresher training via workshops or other discussion-based training, IRB refresher, additional CITI modules) during each subsequent calendar year of their degree program work. Select any combination from the following, to add up to three hours:

- 1. Graduate School-offered RCR workshops** (workshops @ 1.5 hrs). Register EARLY. See <https://grad.msu.edu/rcr>
- 2. Additional CITI Modules** (beyond those already taken; if you've taken all the "basic" modules, you can begin taking modules in the CITI Refresher Courses: <https://about.citiprogram.org/en/course/responsible-conduct-of-research-refresher/>). (2 modules = 1 hour)
- 3. IRB Refresher** (in alternating years, when due). (1 hour)
- 4. One-hour blocks of readings and/or PPT reviews plus discussion** with Advisor or PI (based on selected readings and other resources from RCR website: <https://grad.msu.edu/researchintegrity> See "RESOURCES" tab on left of page) (1 hour each)

Complete each year's RCR training no later than December 31 of that calendar year.

Submit CSUS form plus documentation for annual required training.

Note that the above requirements are only *minimum* requirements for RCR training. Students interested in learning more about the responsible conduct of research are encouraged to take advantage of the many resources available from the Graduate School, the Human Research Protection Program, and the Office of Research Integrity. Included are workshops, online tutorials, and readings.

• Work-related Policies and Graduate Assistantships •

Graduate Assistantships – Eligibility, Policies, and Contract Guidelines

Assistantships may be granted to CSUS graduate students in good standing (GPA of at least 3.00, full-time status), when funds are available and student skills match the needs of the grant/faculty employer. Students may apply for assistantships both within and outside the CSUS Department. The most common assistantships within CSUS are those related to research or outreach grants (very few teaching assistantships have been available in recent years). As such, they are under the control and management of individual faculty and/or staff rather than the Department. Thus, announcements, recruitment, hiring, and supervision are done by individual faculty members.

Most assistantships typically are targeted as recruitment and retention tools rather than offered on a competitive basis. However, you can enhance your chances for being hired as a graduate assistant by actively getting to know faculty and their work. Students are encouraged to meet various faculty members, familiarize themselves with the research and outreach interests of faculty, and to let faculty know their strengths related to potential projects/assistantships. Volunteering to work on a project may help faculty understand your strengths (potentially leading to a future assistantship) as well as providing a professional development opportunity for you. (If you volunteer to work on some project, be sure you are familiar with Union guidelines that protect paid assistantship students.) Applicants are assessed based on their professional interests, stated goals, and competence in required skill sets. Assistantships are viewed as part of the student's education program. Additionally, students who apply for a teaching assistantship (when available – they are rare in CSUS) must meet the University's SPEAK requirements (see <https://grad.msu.edu/tap> for details).

Basic English Language Policy:

At MSU, International Teaching Assistants (ITAs) who are not native speakers of English are required to demonstrate that they meet a minimum standard of fluency in spoken English before they can be assigned teaching work that involves oral communication with undergraduate students. ITAs may meet this requirement by:

- getting a score of 50 or higher on SPEAK, given by the English Language Center (ELC), or
- taking English 097 (the TA Speaking and Listening Class) and getting a score of 50 or higher on the ITA Oral Interview (ITAOL). The ITAOL is given by the ELC.

Terms, conditions, and expectations of graduate assistantships are guided by:

- The Graduate School policy on Graduate Assistantships: <https://grad.msu.edu/assistantships>, and
- the Collective Bargaining Agreement between “Michigan State University and the Graduate Employees Union, Local 6196 /AFT-Michigan/AFL-CIO”

CSUS is guided in its relationship with employed students by the Graduate Assistantship policies established by the University. Refer to the Michigan State University and the Graduate Employees Union Contract from the link on : <https://hr.msu.edu/contracts/documents/GEU2015-2019.pdf> and the Graduate Student Rights and Responsibilities Article 4 (4.21-4.2.8) (<https://grad.msu.edu/gsrr>).

Work Terms for Graduate Assistantships

Most research assistantships in CSUS are either quarter time (requiring 10 hours of work per week) or half time (20 hours of work per week). Fellowships are different from research assistantships in that there is no work requirement associated with the receipt of a fellowship. If you have a research assistantship, you are expected, on average, to work the requisite number of hours per week. You also are responsible for working during the entire term of your appointment, although efforts should be made to *not* have assistants work on designated University holidays. Your supervisor *may* be flexible in helping you work around periods when you have heavy academic obligations, and workflow may be heavier during some weeks than others. However, you should assume that your total commitment of assistantship hours is equal to the “number of hours/week x number of weeks in the term. We suggest that you have a frank discussion with your supervisor at the beginning of your assistantship term to work out expectations regarding where you will do your work, how your duties will be communicated and determined, hours per week expected, prioritization of work tasks, modes of communication, and other issues.

Fall semester appointments:	August 16 - December 31
Spring semester appointments:	January 1 - May 15
Summer appointments:	May 16 - August 15

Each graduate assistant, when hired, will be provided with a written explanation of task expectations and terms of the assistantship (terms can be modified by mutual agreement between student and supervising faculty/staff member).

Renewal and termination are based on performance assessments (conducted shortly after mid-semester each semester of employment) and availability of funds. Assessments must be submitted before a new assistantship appointment.

If you have been awarded an assistantship or are otherwise employed on campus, contact your supervisor immediately to complete appropriate paperwork, discuss your assignment and schedule, and address any issues related to your employment. Note that if your supervisor has not met with you to review your progress/complete the Graduate Assistantship Review and Development form, and/or has not submitted the Graduate Assistantship Information form in time for processing to meet university deadlines, you will receive a letter of non-reappointment. (Both forms are available on the CSUS website, under "Other" in the "Forms" section.)

Assistantship Paperwork and Paychecks

You will work with the department Graduate Secretary to complete all the appropriate paperwork. These include:

- *Graduate Assistant Appointment Form (accessible only by CSUS staff)*
- *Departmental Letter of Agreement*
- *Criminal Background Check (beginning May 1st, 2011)*
- *INS I-9 Form (two forms of identification and original Social Security card)*
- *Federal W-4 form*
- *State of Michigan and Local Tax W-4 Forms*
- *MSU W-2 Wage and Tax Statement*
- *Payroll W-2 Address Form*
- *Direct Deposit Form*

Most forms available via: <http://hr.msu.edu/hiring/studentemployment/index.htm>

Students are paid every two weeks. Graduate assistants are strongly encouraged to enroll for direct deposit of paychecks, which are available online through EBS.

If you have an **assistantship**, you will develop a plan for recording your work hours with your supervising faculty member. If you are an **hourly wage earner**, you will record your hours on an Excel spreadsheet from Sue Chatterley.

Assistantship Performance Assessment and Development Process

Your supervisor will engage with you once each semester of an assistantship, soon after mid-semester, in a Graduate Assistantship Developmental Review and Discussion. (This should be completed prior to reappointment paperwork being submitted.) Your discussion and review will be based on the expectations and tasks identified in the Scope of Work, as well as on factors such as timeliness of task completion, quality of work, and responsibility in performing tasks. Copies of your performance assessments will be placed in your permanent academic file. Renewal of an assistantship may be contingent on the outcome of your evaluation. (See the *Graduate Assistantship Developmental Review & Discussion* form on page 84 in Appendix A, for the discussion guidelines and form). Both the graduate assistant and supervising faculty member are responsible for assuring that this process occurs each semester. If the faculty member has not scheduled this review meeting by the official mid-semester date, the student should take the initiative to contact the assistantship supervisor to schedule this meeting. If there is no timely response by the faculty supervisor, the student should contact the Graduate Program Coordinator.

Paid Work Outside of Assistantship Responsibilities

Students are permitted to work at other paid jobs as long as their doing so does not interfere with their work schedule or quality of work associated with the assistantship. Students on F-1 and J-1 visas are required to be full-time students and may work ONLY on campus, except in rare cases of economic hardship, OR in the "training" options listed on the ISP website (see link below). Such work permission on campus is limited to 20 hours per week while school is in session, although students may work full-time during vacations (Winter break, Spring break, and Summer vacation). (See <http://oiss.isp.msu.edu/depts/advising.htm> for more information on the FAQ page.)

Use of Department Resources

Department and University resources (e.g., computers, photocopy machines, paper, office supplies, telephones, and other equipment) are to be used only to fulfill the responsibilities of University projects to which the student is assigned. Personal or other business use is prohibited. Department and University graduate student computer labs are available for doing personal and class work.

Missing Work, Leaves of Absence (*academic and assistantship-related*)

For any case in which you will need to be away from your work responsibilities – for either a short or long time – discuss the situation with your advisor and devise a plan (put in writing). Reasons are numerous: attending conferences or professional development; medical or grief absences; jury duty. In addition to making appropriate accommodations with your supervisor, familiarize yourself with relevant University policies:

- **Attending Professional Conferences/Training:** Meet with your supervisor to decide how and when to make up missed work hours, and to be sure that there is a plan in place to handle any critical needs for a project or research, as needed, while you are gone.
- **MSU Policies on Jury Duty:** From the GEU contract, see Article 18: <https://hr.msu.edu/contracts/documents/GEU2015-2019.pdf>
- **MSU Grief Absence/Bereavement Policy:** For master's (Plan A), master's (Plan B) with research responsibilities, and doctoral students, it is the responsibility of the student to: a) notify their advisor/major professor and faculty of the courses in which they are enrolled of the need for a grief absence in a timely manner, but no later than one week from the student's initial knowledge of the situation, b) provide appropriate verification of the grief absence as specified by the advisor/major professor and faculty, and c) complete all missed work as determined in consultation with the advisor/major professor and faculty. It is the responsibility of the advisor/major professor to: a) determine with the student the expected period of absence – it is expected that some bereavement processes may be more extensive than others, depending on individual circumstances, b) receive verification of the authenticity of a grief absence request upon the student's return, and c) make reasonable accommodations so that the student is not penalized due to a verified grief absence. If employed as a RA or TE, the graduate student must also notify their employer. Both employer and student will swiftly communicate to determine how the student's responsibilities will be covered during their absence. Graduate teaching assistants (TAs) should refer to the bereavement policy in GEU contract, see Article 18: <https://hr.msu.edu/contracts/documents/GEU2015-2019.pdf>
- **Adoption and Parental Leave Policy:** MSU RAs and TAs are eligible for adoption and parental leave, in addition to pregnancy and illness leave. The new policy addressing RA adoption and parental leave for RAs can be found at: <https://reg.msu.edu/AcademicPrograms/Text.aspx?Section=111#s351>
- **MSU Withdrawal/Medical Leave Policy:** Academic withdrawal information is found in the Academic Programs book (see: <https://reg.msu.edu/AcademicPrograms/Text.aspx?Section=112#s498>) If a graduate student is requesting a medical or other leave of absence for a semester (especially if it involves absence from an assistantship), the student will complete the CANR Leave of Absence form, discuss a plan and timeline with their academic advisor, then submit to the CSUS Graduate Office. The Graduate Secretary will complete a "withdrawal" form on the Registrar's Office website under [forms](#). (Talk with your advisor, work supervisor, Graduate Program Coordinator and Secretary to make sure appropriate paperwork is filed with the Registrar's Office.)

Relationship Violence and Sexual Misconduct

As part of the University's ongoing commitment to provide a safe, respectful environment for learning and working, a new Relationship Violence & Sexual Misconduct Policy was implemented effective January 1, 2015. This new policy replaces the existing Sexual Harassment Policy and resources such as the university's process relative to concerns and complaints and can be found online at: http://www.hr.msu.edu/documents/uwidepolproc/RVSM_Policy.htm

Questions, concerns or situations relative to Relationship Violence and Sexual Misconduct should be coordinated through the CANR academic unit liaison:

Suzanne Lang – for anyone appointed in a CANR Academic and/or administrative unit: langsu@msu.edu; 517-353-8589

For help with Sexual Assault, Relationship Violence or Stalking, please contact the Office of Institutional Equity. See:

<http://www.oie.msu.edu/help-rvsm.html>

• Appendices •

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• Appendix A •

Forms for Graduate Programs

DIGITAL FORM COMPLETION AND DIGITAL SIGNATURES

Whenever possible, please use the fillable form (make a copy of the file on your computer [adding your LASTNAME, then FIRSTNAME at the *beginning* of the file title]), complete the fillable fields, and use digital signatures whenever possible.

Order of signing should be student, then advisor, then each committee member (in series – do NOT send the same version simultaneously to several people), then the Graduate Program Coordinator of CSUS. After all these signatures are secured, the form will be forwarded to the College of Agriculture and Natural Resources.

Be sure to type “date” when signature is made, even if date is embedded in digital signature. Digital dates are often too small to read.

Note that after any single person uses a handwritten signature, no one else can use a digital signature.

PROGRAM PLANNING FORMS

NOTE: If there is not enough space on a program plan form for your courses, use the optional Page 2 (under OTHER forms). This enables you to identify “Extra” Program of Study Courses that do not fit, Specializations, Certifications.

M.S.-A Program Planning/Program Forms

CSUS MS-A Program Form	62
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M.S.-B Program Planning/Program Forms

CSUS MS-B Program Form	64
STPAM MS-B Program Form	65

Ph.D. Program Planning Forms

CSUS Ph.D. Program Planning Form	66
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Page 2 for all Program Planning Forms	68
---------------------------------------------	----

DEGREE PROGRESS FORMS

M.S. Progress Forms

Thesis/Dissertation Proposal Approval (Plan A)	69
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Theses/Dissertation and Institutional Review Board Approval	72
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Ph.D. Progress Forms

Comprehensive Program Statement Approval	74
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OTHER FORMS (for all graduate students)

CSUS Graduate Student Assessment (instructions + form)	79
Responsible Conduct of Research [RCR] Training Record (instructions + forms Yr 1, Yr 2, Yr 3+)	81
Graduate Assistantship Developmental Review & Discussion	86
Change in Program Plan	87
Independent Study (guidelines and application)	88
Grad Student Leave of Absence (information and checklist)	90



Department of
Community Sustainability
MS-A Planning and Program of Study Form
Community Sustainability (5389)

If you need more lines to list courses, or are also pursuing a specialization or certification, use page 2.

Name of Student (do not include PID) _____

Student-developed short label for degree focus _____

Note: Equivalent ACR courses will meet the CSUS course requirements.

Required Common Courses:

Course #	Course Name	# Credits
CSUS 800	Foundations of Community Sustainability	3
CSUS 802	Survey of Research Methods	3

Required Research Course: Minimum of 3 credits of quantitative or qualitative methods (selected in consultation with student's guidance committee)

Course #	Course Name	# Credits
_____	_____	_____

Focus Area Courses: Minimum of 15 credits in the focus area, selected in consultation with student's guidance committee and based on student's academic goals; at least 6 credits must be CSUS courses.

Course #	Course Name	# Credits
CSUS _____	_____	_____
CSUS _____	_____	_____
_____	_____	_____
_____	_____	_____
_____	_____	_____

Thesis Research Credits: Minimum of 6 credits required; maximum of 18 credits allowed

Course #	Course Name	# Credits
CSUS 899	Master's Thesis Research	6

Successful Proposal Defense, Completion and Final Defense of Master's Thesis

Confirmation of Agreement between Student and Committee Members

	Name	Signature	Date
Student:	_____	_____	_____
Advisor/Chair:	_____	_____	_____
Member 2:	_____	_____	_____
Member 3:	_____	_____	_____
Grad Prog Coord:	Gail A. Vander Stoep	_____	_____
CSUS Chairperson:	Michael Hamm	_____	_____
CANR Asso. Dean:	Kelly Millenbah	_____	_____

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Created 9/5/13, CSUS revised 7/10/17

See separate "page 2" for listing additional courses, specializations, certificates (page 68)



Department of
Community Sustainability

**MS-A Planning and Program of Study Form
Sustainable Tourism & Protected Area Management (5376)**

If you need more lines to list courses,
or are also pursuing a specialization
or certification, use page 2.

Name of Student (do not include PID) _____

Student-developed short label for degree focus _____

Note: Equivalent ACR courses will meet the CSUS course requirements.

Required Common Courses:

Course #	Course Name	# Credits
CSUS 800	Foundations of Community Sustainability	3
CSUS 802	Survey of Research Methods	3

Required Research Course: Minimum of 3 credits of quantitative or qualitative methods (selected in consultation with student's guidance committee)

Course #	Course Name	# Credits
_____	_____	_____

Focus Area Courses: Minimum of 15 credits in the STPAM focus area selected in consultation with student's guidance committee and based on student's STPAM-related academic goals; one course must be CSUS 814; at least 6 additional credits must be STPAM-related CSUS courses.

Course #	Course Name	# Credits
CSUS 814	Sustainable Tourism & Protected Area Management: Theories & Applications	3
CSUS _____	_____	_____
CSUS _____	_____	_____
_____	_____	_____
_____	_____	_____
_____	_____	_____

Thesis Research Credits: Minimum of 6 credits required; maximum of 18 credits allowed

Course #	Course Name	# Credits
CSUS 899	Master's Thesis Research	6

Successful Completion and Defense of Master's Thesis

Confirmation of Agreement between Student and Committee Members

	Name	Signature	Date
Student:	_____	_____	_____
Advisor/Chair:	_____	_____	_____
Member 2:	_____	_____	_____
Member 3:	_____	_____	_____
Grad Prog Coord:	Gail A. Vander Stoep	_____	_____
CSUS Chairperson:	Michael Hamm	_____	_____
CANR Asso. Dean:	Kelly Millenbah	_____	_____

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Created 9/5/13, CSUS revised 7/10/17

See separate "page 2" for listing additional courses, specializations, certificates (page 68)



Department of
Community Sustainability
MS-B Planning and Program of Study Form
Community Sustainability (5389)

If you need more lines to list courses, or are also pursuing a specialization or certification, use page 2.

Name of Student (do not include PID) _____

Student-developed short label for degree focus _____

Note: Equivalent ACR courses will meet the CSUS course requirements.

Required Common Courses:

Course #	Course Name	# Credits
CSUS 800	Foundations of Community Sustainability	3
CSUS 802	Survey of Research Methods	3

Techniques or Skills-building Course: Minimum of 3 credits of techniques or skill-building course relevant to academic and career goals (selected in consultation with student's guidance committee)

Course #	Course Name	# Credits
_____	_____	_____

Focus Area Courses: Minimum of 15 credits in the focus area, selected in consultation with student's guidance committee and based on student's academic goals; at least 6 credits must be in CSUS courses.

Course #	Course Name	# Credits
CSUS _____	_____	_____
CSUS _____	_____	_____
_____	_____	_____
_____	_____	_____
_____	_____	_____

Program Synthesis Credits (case studies plus professional project): Minimum of 6 credits

Course #	Course Name	Credits
CSUS 895	Case Studies in Community Sustainability	3
<i>Note: if CSUS 895 not offered, cross out by hand and fill in alternate below.</i>		}
Alt: _____	_____	
CSUS 898	Master's Professional Project	3
<i>Note: a maximum of 9 credits of CSUS 898 can be taken. Must be completed within 6 months of end of semester for which enrolled.</i>		

Successful Proposal Defense, Completion & Final Defense of a Master's Professional Project/Paper

Confirmation of Agreement between Student and Committee Members

	Name	Signature	Date
Student:	_____	_____	_____
Advisor/Chair:	_____	_____	_____
Member 2:	_____	_____	_____
Member 3 (opt):	_____	_____	_____
Grad Prog Coord:	Gail A. Vander Stoep	_____	_____
CSUS Chairperson:	Michael Hamm	_____	_____
CANR Asso. Dean:	Kelly Millenbah	_____	_____

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See separate "page 2" for listing additional courses, specializations, certificates (page 68)



Department of
Community Sustainability

**MS-B Planning and Program of Study Form
Sustainable Tourism and Protected Area Management (5376)**

If you need more lines to list courses,
or are also pursuing a specialization
or certification, use page 2.

Name of Student (do not include PID) _____

Student-developed short label for degree focus _____

Note: Equivalent ACR courses will meet the CSUS course requirements.

Required Common Courses:

Course #	Course Name	# Credits
CSUS 800	Foundations of Community Sustainability	3
CSUS 802	Survey of Research Methods	3

Techniques or Skills-building Course: Minimum of 3 credits of techniques or skill-building course relevant to academic and career goals (selected in consultation with student's guidance committee)

Course #	Course Name	# Credits
_____	_____	_____

Focus Area Courses: Minimum of 15 credits in the STPAM focus area selected in consultation with student's guidance committee and based on student's STPAM-related academic goals; one course must be CSUS 814; at least 6 additional credits must be in STPAM-related CSUS courses.

Course #	Course Name	# Credits
CSUS 814	Sustainable Tourism & Protected Area Management: Theories & Applications	3
CSUS _____	_____	_____
CSUS _____	_____	_____
_____	_____	_____
_____	_____	_____

Program Synthesis Credits (case studies plus professional project): Minimum of 6 credits

Course #	Course Name	Credits
CSUS 895	Case Studies in Community Sustainability	3
<i>Note: if CSUS 895 not offered, cross out by hand and fill in alternate below.</i>		
Alt: _____	_____	
CSUS 898	Master's Professional Project	3
<i>Note: a maximum of 9 credits of CSUS 898 can be taken. Must be completed within 6 months of end of semester for which enrolled.</i>		

Successful Proposal Defense, Completion & Final Defense of Master's Professional Project/Paper

Confirmation of Agreement between Student and Committee Members

	Name	Signature	Date
Student:	_____	_____	_____
Advisor/Chair:	_____	_____	_____
Member 2:	_____	_____	_____
Member 3 (opt):	_____	_____	_____
Grad Prog Coord:	Gail A. Vander Stoep	_____	_____
CSUS Chair:	Michael Hamm	_____	_____
CANR Asso Dean:	Kelly Millenbah	_____	_____

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Created 9/5/13, CSUS revised 7/10/17

See separate "page 2" for listing additional courses, specializations, certificates (page 68)



Department of
Community Sustainability
Ph.D. Program Planning Form
Community Sustainability (5390)

TO BE COMPLETED PRIOR TO FILLING
OUT GradPlan online. If you need more
lines to list courses, or are also pursuing a
specialization or certification, use page 2.

Name of Student (do not include PID) _____

Note: Equivalent ACR courses will meet the CSUS course requirements.

Required Common Core Course:

Course #	Course Name	# Credits
CSUS 800	Foundations of Community Sustainability	
<input type="checkbox"/>	CSUS 800 can be waived if taken as part of MS in CARRS or CSUS. Replace w/ other relevant course. (check box for waiver and identify replacement course below):	3

Required Research Courses: Minimum of 9 credits of **advanced** research methods (CSUS 802 not eligible), to include at least 3 credits **each** of quantitative & qualitative methods (selected in consultation with guidance committee)

Course #	Course Name	# Credits
_____	_____	_____
_____	_____	_____
_____	_____	_____

Focus Area Courses: Minimum of 24 credits across two focus areas (each focus area with a minimum of 9 credits) developed to support student's academic and career goals (at least 9 credits in total, and at least 3 in each focus area must be CSUS courses), selected in consultation with student's guidance committee. Label your 2 focus areas.

Course #	Course Name	# Credits
FOCUS AREA ONE:		
CSUS _____	_____	_____
_____	_____	_____
_____	_____	_____
_____	_____	_____
_____	_____	_____
FOCUS AREA TWO:		
CSUS _____	_____	_____
_____	_____	_____
_____	_____	_____
_____	_____	_____

Dissertation Research Credits: Minimum of 24 credits required; maximum of 36 credits allowed

Course #	Course Name	# Credits
CSUS/ACR 999	Doctoral Dissertation Research	24

Successful Completion of the Comprehensive Program Statement and Comprehensive Exam
Successful Proposal Defense, Completion and Defense of Doctoral Dissertation

Confirmation of Agreement between Student and Committee (after approved, enter in GradPlan)

	Name	Signature	Date
Student:	_____	_____	_____
Committee Chairperson:	_____	_____	_____
Type names of other Committee members:	_____	_____	_____
Grad Program Coord:	Gail A. Vander Stoep	_____	_____

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Created 9/5/13, CSUS revised 7/10/17

See separate "page 2" for listing additional courses, specializations, certificates (page 68)



Department of
Community Sustainability
Ph.D. Program Planning Form
Sustainable Tourism & Protected Area Management (5377)

TO BE COMPLETED PRIOR TO FILLING OUT GradPlan online. If you need more lines to list courses, or are also pursuing a specialization or certification, use page 2.

Name of Student (do not include PID)

Note: Equivalent ACR courses will meet the CSUS course requirements.

Required Common Core Courses:

Course #	Course Name	# Credits
CSUS/ACR 800	Foundations of Community Sustainability	3
<input type="checkbox"/>	CSUS 800 can be waived if taken as part of MS in CARRS or CSUS. Replace w/ other relevant course. (check box for waiver and identify replacement course below):	
CSUS/ACR 814	Sustainable Tourism & Protected Area Management: Theories & Applications	3
<input type="checkbox"/>	CSUS 814 can be waived if it/similar course was taken as part of STPAM MS or comparable degree. Replace w/ other STPAM course (check box for waiver and identify replacement course below):	

Required Research Courses: Minimum of 9 credits of **advanced** research methods (CSUS 802 not eligible), to include at least 3 credits **each** of quantitative & qualitative methods (selected in consultation with guidance committee)

Course #	Course Name	# Credits

Focus Area Courses: Minimum of 21 credits across two focus areas (each F.A. with a minimum of 9 credits--label) developed to support STPAM (at least 6 credits in total, with 3 in each focus area, must be STPAM-related CSUS courses unless student has completed MS in STPAM), selected in consultation with student's guidance committee.

Course #	Course Name	# Credits
FOCUS AREA ONE:		
CSUS		
FOCUS AREA TWO:		
CSUS		

Dissertation Research Credits: Minimum of 24 credits required; maximum of 36 credits allowed

Course #	Course Name	# Credits
CSUS/ACR 999	Doctoral Dissertation Research	24

Successful Completion of the Comprehensive Program Statement and Comprehensive Exam
Successful Proposal Defense, Completion and Defense of Doctoral Dissertation

Confirmation of Agreement between Student and Committee (after approved, enter in GradPlan)

	Name	Signature	Date
Student:			
Committee Chairperson:			
Type names of other Committee members:			
Grad Program Coord:	Gail A. Vander Stoep		

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See separate "page 2" for listing additional courses, specializations, certificates (page 68)



Department of
Community Sustainability

PAGE 2: Other Program Plan Courses, Specializations, Certifications

Name of Student (do not include PID) _____

Degree Type (MS-A, MS-B, PhD) _____

Degree Program (CARRS, CSUS, STPAM) _____

This form is intended as an "add-on" program planning form on which to list courses not fitting on page 1, or to record one or more specializations, certifications, or other special program elements to plan and track their progress. In many cases, a specialization or certification is simply incorporated as all or part of one (or more) focus areas in the official Program of Study. Other students choose to ADD a specialization or certification on top of their official Program of Study. In other cases, some program requirement courses fulfill part of a specialization, yet additional courses beyond the Program of Study courses are needed to complete a specialization or certification. Thus, use this form for planning purposes. Use as many extra pages as needed.

It is recommended that any courses NOT needed as part of the official Program of Study (30 credits for MS and 60 credits for PhD degrees) NOT be included on the official Program of Study. Rather, they can be tracked on this form. The reason is that, should students change course choices for specializations/certifications or later decide to NOT complete one, they do not have to complete a Change of Program Plan form or complete a new Program Plan/Planning Form.

Additional Program Plan Courses – Requirement Category (from pg. 1) -- Identify in right-hand column below:

Course #	Course Title	# Credits	Requirement Category (from pg 1)

Name of Specialization
or Certification #1 _____

Course #	Course Title	# Credits	Part of Official Program of Study?	
			Yes	No

Name of Specialization
or Certification #2 _____

Course #	Course Title	# Credits	Part of Official Program of Study?	
			Yes	No



Department of
Community Sustainability

Thesis & Dissertation Proposal Approval

Submit to CSUS Graduate Secretary, MSU, 480 Wilson Rd., Room 130, East Lansing, MI

NOTE: Do not submit this form until the proposal and supporting materials have been submitted for human subjects review, if required for your research.

☐

MS-A: Thesis Proposal

☐

Ph.D.: Dissertation Proposal

Name of Student (full name) (do not include PID)

Title of Thesis or Dissertation (may be tentative, but should be descriptive and accurately reflect your research):

Date of Proposal Defense:

Anticipated Completion Date (final defense):

Month, Date, Year

IRB: Federal and University regulations require that all proposed research projects involving human subjects be reviewed and approved by the MSU Institutional Review Board (IRB).

Will your research involve human subjects?

☐

YES

☐

NO

If yes, when was your proposal submitted to IRB?

Month, Date, Year

I, the undersigned student, understand that I may not begin my research until the IRB grants its approval and I have completed the required HRPP tutorial on the IRB website.

Required Signatures (type names, sign original or digital, date):

Name

Signature

Date

Student:

Guidance Committee
Chairperson:

Guidance Committee
Members:

Grad Program
Coordinator:

CSUS
Chairperson:

CANR
Asso. Dean:

Gail A. Vander Stoep

Michael Hamm

Kelly Millenbah

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Updated 7/10/17



Department of
Community Sustainability

MS-B Project Proposal Approval

Submit to CSUS Graduate Secretary, MSU, 480 Wilson Rd., Room 130, East Lansing, MI 48824-1222

NOTE: Do not submit this form until the proposal and supporting materials have been submitted for human subjects review, if required for your research.

Name of Student (full name) (do not include PID)

Title and Brief Description of MS-B Project:

Date of Proposal Defense: _____

Anticipated Completion Date (of final defense): _____

Month, Date, Year

IRB: Occasionally, but not always, MS-B projects involve research. Federal and University regulations require that all proposed research projects involving human subjects be reviewed and approved by the MSU Institutional Review Board (IRB).

Does your MS-B project involve research? ☐ YES ☐ NO

If yes, will your research involve human subjects? ☐ YES ☐ NO ☐ N/A

If yes, when was your proposal submitted to IRB? _____

Month, Date, Year

I, the undersigned student, understand that I may not begin my research until the IRB grants its approval and I have completed the required HRPP tutorial on the IRB website.

Required Signatures (type names, sign original or digital, date):

	Name	Signature	Date
Student:	_____	_____	_____
Guidance Committee Chairperson:	_____	_____	_____
Guidance Committee Member 2:	_____	_____	_____
Guidance Committee Member 3 (optional):	_____	_____	_____
Grad Program Coordinator:	Gail A. Vander Stoep	_____	_____
CSUS Chairperson:	Michael Hamm	_____	_____
CANR Asso. Dean:	Kelly Millenbah	_____	_____

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Updated 7/10/17



Department of
Community Sustainability

Report of Master's Plan A Thesis Final Examination

Name of Student (full name) (do not include PID) _____

Date of Defense/Examination: _____
Month, Date, Year

Title of Thesis (attach abstract to this form): _____

Examination Result

☐

PASS

(defense passed; no
written revisions needed)

☐

NO PASS

☐

CONDITIONAL PASS

(defense passed; committee requires
revisions to written thesis before
full "pass" and thesis submission)

Committee comments (optional for "pass;" required as brief explanation of "no pass" or
description of conditions/revisions to be met/made before changing "conditional pass" to "final pass.")

*Final Approval Signature of
Advisor after Conditions Met*

Date: _____

Recommendation for doctoral program:

☐

Strongly Recommend

☐

Recommend

☐

Do Not Recommend at this time

Committee recommendations explanation (optional):

Signatures and Votes of Committee Members

(type names, sign digital or original, date, and individually indicate Pass/No Pass):

	Name	Signature	Date	Pass/No Pass/ Cond. Pass
Student:	_____	_____	_____	
Guidance Committee Chairperson:	_____	_____	_____	
Committee Member 2:	_____	_____	_____	
Committee Member 3:	_____	_____	_____	
Grad Program Coordinator:	Gail A. Vander Stoep	_____	_____	
CSUS Chairperson:	Michael Hamm	_____	_____	
CANR Asso. Dean:	Kelly Millenbah	_____	_____	

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Updated 7/10/17

APPROVAL FORM

THESES/DISSERTATION and INSTITUTIONAL REVIEW BOARD APPROVAL

This is to certify that the document entitled:

presented by _____

has been accepted towards fulfillment of the requirements for the degree in _____

This form is not considered complete until: a box (yes or no) has been checked in ALL sections regardless of the use of human or animal subjects, the professor and student have filled out their information and signed the form, and the supplemental files section is completely filled out.

University and federal policies and procedures require that all research involving human or animal subjects receive **prior approval** from the appropriate review board. [See Faculty Handbook (<http://www.hr.msu.edu/documents/facacadhandbooks/facultyhandbook/index.htm>) and the Academic Programs book (<http://www.reg.msu.edu/AcademicPrograms/>).

HUMAN SUBJECTS

Does the thesis or dissertation you are submitting include research involving human subjects or materials of human origin? (Research involving human subjects includes surveys and telephone interviews used for research; materials of human origin include human blood and /or tissue.)

☐ Yes **IRB Log Number:** _____
☐ No

If yes, indicate Institutional Review Board (IRB) log number for the **approved** protocol and attach the Institutional Review Board **approval** letter for that protocol to this form. The student's name listed above must appear on the IRB approval letter.

ANIMAL SUBJECTS

Does the thesis or dissertation you are submitting include research involving vertebrate animals in any way?

☐ Yes **AUF Number:** _____
☐ No

If yes, and an animal use form was submitted to the Institutional Animal Care & Use Committee (IACUC), please list the **approval** number below and attach a copy of the IACUC **approval** letter to this form.

If yes, but your project did not need an animal use form, provide a copy of the letter from the IACUC which cites the relevant exclusionary policy.

This thesis/dissertation and the information presented above are approved by the faculty advisor/major professor.

☐ Yes ☐ No

Student's Name (print): _____

Major Prof. Name (print): _____

Student's Signature: _____

Major Prof. Signature: _____

Date (mm/dd/yyyy): _____

Date (mm/dd/yyyy): _____

Email: _____

Email: _____

Supplemental files associated with the electronic version of this thesis/dissertation are approved by the faculty advisor/major professor. ☐ Yes ☐ No ☐ N/A

Major Prof initials/date

The major professor must initial and date in this section regardless of which box is checked.

The Graduate School • Michigan State University • 466 W. Circle Drive, 2nd Floor, Chittenden Hall, East Lansing, MI 48824
 Phone: 517-355-0301 Fax: 517-353-3355

Revised 6/2015



Department of
Community Sustainability

Report of Master's Plan B Project Final Examination

Name of Student (full name) (do not include PID) _____

Date of Defense/Examination: _____
Month, Date, Year

Title of Project (attach abstract to this form): _____

Examination Result

☐

PASS

(defense passed; no
written revisions needed)

☐

NO PASS

☐

CONDITIONAL PASS

(defense passed; committee requires
revisions to written project before
full "pass" and final submission)

Committee comments (optional for "pass;" required as brief explanation of "no pass" or
description of conditions/revisions to be met/made before changing "conditional pass" to "final pass.")

*Final Approval Signature of
Advisor after Conditions Met*

Date: _____

Signatures and Votes of Committee Members

(type names, sign digital or original, date, and individually indicate Pass/No Pass):

	Name	Signature	Date	Pass/No Pass/ Cond. Pass
Student:	_____	_____	_____	
Guidance Committee Chairperson:	_____	_____	_____	
Committee Member 2:	_____	_____	_____	
Committee Member 3 (opt):	_____	_____	_____	
Grad Program Coordinator:	Gail A. Vander Stoep	_____	_____	
CSUS Chairperson:	Michael Hamm	_____	_____	
CANR Asso. Dean:	Kelly Millenbah	_____	_____	

MSU is an Affirmative-Action, Equal-Opportunity Employer

Updated 7/10/17



Department of Community Sustainability

Comprehensive Program Statement (CPS) Planning & Approval

Submit to CSUS Graduate Secretary, MSU, 480 Wilson Road, Room 130, East Lansing, MI
NOTE: Submit this signature form with a copy of the final version of the Comprehensive Program Statement and approvals of each committee member (indicated either by original or digital signature on this form or via email confirmations that are attached to this form).

Name of Student (typed full name) (do not include PID)

This signed form indicates final committee approval of the above-named student's Comprehensive Program Statement (CPS) **no fewer than 30 days prior to Day 1 of the written comprehensive examination.** This assumes that drafts have been reviewed prior to this approval date, and all recommendations for revisions of the CPS provided to the student at least 45 days prior to the first day of the written comprehensive exam (to allow student the opportunity to respond). The final, approved version of the CPS will be sent by student's advisor, as a courtesy, to all CSUS faculty members at least 30 days prior to the exam (as soon as the CPS is approved by the committee).

CPS first submitted to full Guidance Committee: _____

(submit at least **60 days** before first day of written comprehensive exam)

Month, Date, Year

Guidance Committee recommendations given to student: _____

(submit at least **45 days** before first day of written comprehensive exam)

Month, Date, Year

IF NEEDED: Recommended CPS modifications, additional readings, etc. (use extra page, if needed):

CPS is approved by student's committee: _____

(This approved CPS is then submitted to CSUS faculty)

(approve & submit at least **30 days** before first day of written comprehensive exam)

Month, Date, Year

Scheduled dates of written comprehensive exam: _____

Month, Dates [5 days], Year

Scheduled date of oral comprehensive exam: _____

Month, Date, Year

CPS Approval Signatures (type names, sign [can be digital or original signature; or attached copy of email approval], and date):

	Name	Signature	Date
Guidance Committee Chairperson:	_____	_____	_____
Comm Member 2:	_____	_____	_____
Comm Member 3:	_____	_____	_____
Comm Member 4:	_____	_____	_____
Comm Member 5:	_____	_____	_____
Grad Prog Coord:	Gail A. Vander Stoep	_____	_____
CSUS Chairperson:	Michael Hamm	_____	_____
CANR Asso. Dean:	Kelly Millenbah	_____	_____

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Created 9/7/14, Revised 7/10/17

RECORD OF COMPREHENSIVE EXAMINATIONS
for
DOCTORAL DEGREE AND EDUCATIONAL
SPECIALIST DEGREE CANDIDATES

☐ Check if this is a re-examination because of expired time limits.

Department of _____

Student's Name _____ Student Number _____
Last, First Middle Initial

Term and Year of First Course Counted towards this Degree _____

Result of Written Comprehensive Examinations:

Field	Examiner(s)	Examination Date (MM-DD-YY)	Passed or Failed

Result of Oral Comprehensive Examinations:

Field	Examiner(s)	Examination Date (MM-DD-YY)	Passed or Failed

OVERALL PASS or FAIL? _____

Signed _____ Date _____
Chairperson of Examination Committee

Signed _____ Date _____
Chairperson of Department

Signed _____ Date _____
Dean of College

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08/09



Department of
Community Sustainability

Thesis & Dissertation Proposal Approval

Submit to CSUS Graduate Secretary, MSU, 480 Wilson Rd., Room 130, East Lansing, MI
NOTE: Do not submit this form until the proposal and supporting materials have been submitted for human subjects review, if required for your research.

☐ MS-A: Thesis Proposal

☐ Ph.D.: Dissertation Proposal

Name of Student (full name) (do not include PID)

Title of Thesis or Dissertation (may be tentative, but should be descriptive and accurately reflect your research):

Date of Proposal Defense: _____

Anticipated Completion Date (final defense): _____

Month, Date, Year

IRB: Federal and University regulations require that all proposed research projects involving human subjects be reviewed and approved by the MSU Institutional Review Board (IRB).

Will your research involve human subjects?

☐

YES

☐

NO

If yes, when was your proposal submitted to IRB? _____

Month, Date, Year

I, the undersigned student, understand that I may not begin my research until the IRB grants its approval and I have completed the required HRPP tutorial on the IRB website.

Required Signatures (type names, sign original or digital, date):

Name

Signature

Date

Student:

Guidance Committee
Chairperson:

Guidance Committee
Members:

Grad Program
Coordinator:

Gail A. Vander Stoep

CSUS
Chairperson:

Michael Hamm

CANR
Asso. Dean:

Kelly Millenbah

MSU is an Affirmative-Action, Equal-Opportunity Employer

Updated 7/10/17

**RECORD OF DISSERTATION AND ORAL EXAMINATION
REQUIREMENTS FOR DOCTORAL DEGREE CANDIDATE**

Department of: _____

Student's Name: _____ Student Number: _____

1. Dissertation Title:

2. Dissertation has been: ☐ Accepted ☐ Rejected ☐ Accepted subject to revisions (beyond minor editorial changes) required by the Committee.

3. Oral examination in defense of the dissertation was conducted on:

The student ☐ Passed

_____ Date

☐ Failed Reason:

4. Dissenting opinions and signatures of dissenting examiners, if any:

5. Subject to the satisfactory completion of other requirements, this student is recommended for the degree Doctor of:

☐ Philosophy

☐ Education

☐ Musical Arts

Signatures of Guidance Committee Members:

Printed names of Guidance Committee Members:

_____	Chairperson of Guidance Committee	_____ Date
_____	_____	_____
_____	_____	_____
_____	_____	_____
_____	_____	_____
_____	_____	_____

6. Major revisions required:

7. Revisions, if any, approved:

_____ Chairperson of Guidance Committee

_____ Date

Approved: GPC/Department Chairperson: _____

Associate/Assistant Dean: _____

APPROVAL FORM

THESES/**DISSERTATION** and INSTITUTIONAL REVIEW BOARD APPROVAL

This is to certify that the document entitled:

presented by _____

has been accepted towards fulfillment of the requirements for the degree in _____

This form is not considered complete until: a box (yes or no) has been checked in ALL sections regardless of the use of human or animal subjects, the professor and student have filled out their information and signed the form, and the supplemental files section is completely filled out.

University and federal policies and procedures require that all research involving human or animal subjects receive **prior approval** from the appropriate review board. [See Faculty Handbook (<http://www.hr.msu.edu/documents/facacadhandbooks/facultyhandbook/index.htm>) and the Academic Programs book (<http://www.reg.msu.edu/AcademicPrograms/>).

HUMAN SUBJECTS

Does the thesis or dissertation you are submitting include research involving human subjects or materials of human origin? (Research involving human subjects includes surveys and telephone interviews used for research; materials of human origin include human blood and /or tissue.)

☐ Yes **IRB Log Number:** _____
☐ No

If yes, indicate Institutional Review Board (IRB) log number for the **approved** protocol and attach the Institutional Review Board **approval** letter for that protocol to this form. The student's name listed above must appear on the IRB approval letter.

ANIMAL SUBJECTS

Does the thesis or dissertation you are submitting include research involving vertebrate animals in any way?

☐ Yes **AUF Number:** _____
☐ No

If yes, and an animal use form was submitted to the Institutional Animal Care & Use Committee (IACUC), please list the **approval** number below and attach a copy of the IACUC **approval** letter to this form.

If yes, but your project did not need an animal use form, provide a copy of the letter from the IACUC which cites the relevant exclusionary policy.

This thesis/dissertation and the information presented above are approved by the faculty advisor/major professor.

☐ Yes ☐ No

Student's Name (print): _____

Major Prof. Name (print): _____

Student's Signature: _____

Major Prof. Signature: _____

Date (mm/dd/yyyy): _____

Date (mm/dd/yyyy): _____

Email: _____

Email: _____

Supplemental files associated with the electronic version of this thesis/dissertation are approved by the faculty advisor/major professor. ☐ Yes ☐ No ☐ N/A

Major Prof initials/date

The major professor must initial and date in this section regardless of which box is checked.

The Graduate School · Michigan State University · 466 W. Circle Drive, 2nd Floor, Chittenden Hall, East Lansing, MI 48824
Phone: 517-355-0301 Fax: 517-353-3355

Revised 6/2015



Department of Community Sustainability

Calendar Year Assessed: _____

Section I (to be completed by student)

Date of Student Report: _____

Name: _____

Degree Sought: ☐ MS-A
☐ MS-B
☐ PhD

Semester/Year Started: _____

Semester/Year Program Plan Due: _____
(no later than 2nd semester for MS; no later than 3rd semester for PhD)

Cum GPA: _____

Program Plan Submitted: ☐ NO ☐ YES

Courses completed this calendar year:
(alpha-numeric codes only; titles not needed)

Any incomplete/deferred courses?

☐ NO ☐ YES

If YES, describe plan to complete:

Any courses on your degree plan that are not offered when you need them?

☐ NO ☐ YES

If YES, list potential alternatives:

Did you receive assistantship or hourly pay at MSU for semesters during this year?

☐ NO ☐ YES

If yes, complete the table below.

		ASSISTANTSHIP			HOURLY
SPRING	Type GA OR # hours/week	1/4	1/2	3/4	
	Supervisor's last name				
	Type (research, teaching, outreach)				
SUMMER	Type GA OR # hours/week	1/4	1/2	3/4	
	Supervisor's last name				
	Type (research, teaching, outreach)				
FALL	Type GA OR # hours/week	1/4	1/2	3/4	
	Supervisor's last name				
	Type (research, teaching, outreach)				

Summarize experiences gained & skills learned during assistantship/hourly work (max 700 characters)

Did you receive any MSU/CSUS fellowship/scholarship \$\$\$ this year? ☐ NO ☐ YES

If yes, indicate type and amount:

Guidelines are presented on the next page.

Section I (cont.) Student Assessment

- In a separate report, address #s 1-4 below. Submit with completed form to your faculty advisor.
- Faculty advisor will review your report, then write his/her assessment of your progress (*Section II*).
- After you receive advisor's assessment, meet with your advisor to review the assessment, then both sign this signature form (*Section III*).
- Compile cover form, student written assessment, advisor written assessment, and signature form. Submit entire assessment packet to the Graduate Secretary, to be placed in your permanent file.

Reflecting on the past year (assessment); label and number each of the 4 sections separately

1. Describe your personal and professional goals and describe how your studies relate to them.
2. List and describe the following, as pursued during the past calendar year:
 - Professional or academic professional presentations
 - Supplemental professional reading
 - Attendance at seminars, presentations or training (on or off campus)
 - Writing and submission of professional or research articles
 - Community outreach activities related to your professional goals
 - Unpaid research/experiences relevant to your professional goals
 - Any other activities supportive of your scholarly academic and professional development

Looking forward (recommendations written in 2 separate sections, each labeled with subheading)

3. Provide recommendations for how your academic advisor and your committee can help you address any concerns you may have, or facilitate your degree progress.
(*This section is to be used by you and your advisor.*)
4. Provide recommendations for how the Graduate Affairs and Curriculum Committee and/or the Department can help you address any concerns you may have, or facilitate your degree progress.

(*Write this section on a separate page, without your name. It will be pulled from your report, compiled with comments from all other graduate students, and used as input to help improve the graduate experience. Your name will **not** be associated with your comments.*)

Section II (to be completed by faculty advisor)

Faculty report (*attach to assessment form and student's self-assessment report*):

After reviewing the student's report, write your annual assessment of the student's progress, accomplishments and ongoing work toward completing their degree. Provide recommendations, as needed, to assist student in their progress. Identify student, and include faculty name & signature on the faculty report.

Section III -- Signatures (to be signed after student/advisor meeting to discuss progress)

Student Signature

Date

Faculty Advisor Signature

Date

Original to: Graduate Program Coordinator and Graduate Student File (*via Graduate Secretary*)
Copies to: Graduate Assistant File (*if relevant*)
Student
Faculty Advisor
Department Chairperson (*during faculty member's annual review*)



Revised 7/7/17



Department of **Community Sustainability**

Responsible Conduct of Research and Scholarship (RCRS) Training: Implementation Plan (2017 start)

Background

To satisfy federal regulations, MSU requires that all graduate students and postdocs complete training in research ethics. This training is individualized to each graduate program and must be documented. The Association of American Universities (AAU) recommended a minimum of **five hours in the first year** of a person's research career and **three years annually thereafter**, or as required by a specific funding agency, whichever is greater. MSU modified its requirements based on the belief that "[t]he plan is predicated on the principles that a basic understanding of issues is necessary through didactic training and a periodic reinforcement of the principles through discussion." The CSUS plan combines the new MSU requirements (for implementation beginning in 2017) with the AAU guidelines.

In addition to these requirements, certain grants (e.g., NSF, NIH, USDA) may require researchers to obtain additional training related to the responsible research conduct and must meet those guidelines/ timelines.

Anyone who conducts a study that requires Institutional Review Board (IRB) approval must meet the training requirements for human subjects' research protection offered by MSU's Human Research Protection Program (HRPP). HRPP requires the "initial educational requirement" (*Year 1, #1 next page*). See descriptions at: <https://hrpp.msu.edu/training-education> and <http://hrpp.msu.edu/required-training>. To find the IRB initial training, log in via <https://train.ora.msu.edu/Saba/Web/Main>. This training is valid for two years, after which it must be renewed by completing online refresher modules. Note that, even though this HRPP/IRB update training is required only every **two years**, the Graduate School's RCR program requires **annual** updating. HRPP/IRB training can be incorporated as part of the CSUS's RCR requirements. See specific options on the next page. To meet Graduate School requirements, IRB training will be supplemented in Year 1 for CSUS students with four CITI modules (*Year 1, #2*) and three hours of RCR-related discussion in CSUS 800 (*Year 1, #3*).

Who

All CSUS graduate students (MS Plan A, MS Plan B, and Ph.D.) and post-doctoral fellows are required to follow MSU policies and guidelines for Responsible Conduct of Research (RCR). Graduate students, including those who work on an hourly basis, and postdoctoral fellows are required to comply with RCR requirements.

Requirements and Record-keeping/Tracking

CSUS graduate students must complete **Initial RCR education** by December 31 of their first fall semester, and prior to their first annual academic progress assessment process (which occurs in January of the subsequent year). After initial RCR education, all CSUS graduate students must complete **refresher training** each calendar year during their degree program work (specific requirements on next page). To show compliance, students must provide their advisors with the form **plus** written documentation for each of the training elements, as appropriate to the training choices. The form will be signed and then submitted to the Graduate Secretary NO LATER THAN December 31 of each year. (*See specific requirements on next page.*) (See CSUS Annual RCR Training Record Form at: https://www.canr.msu.edu/csus/graduate/current_students/forms

Note: Completing annual RCR training and submitting documentation is part of maintaining "academic good standing" for eligibility for fellowships, awards, travel funding, GOF and other funding, etc.

Track training via SABA (must log in): <https://train.ora.msu.edu/Saba/Web/Main>

SABA help info: <https://hrpp.msu.edu/saba-help-information>

CITI training log-in can be found via: <https://ora.msu.edu/citiprogramorg>

The Office of Regulatory Affairs links to SABA and CITI from: <http://ora.msu.edu/train>

RCR information through the Office of Regulatory Affairs can be found via: <http://ora.msu.edu/responsible-conduct-research>

RCR information through the Graduate School can be found via: <https://grad.msu.edu/researchintegrity>

MSU guidelines, training, and personal training tracking can be found via: <https://hrpp.msu.edu>

Upon completion of annual training (before December 31 of each year), students must complete the Responsible Conduct of Research and Scholarship (RCRS) Certification form and submit it and supporting documentation to the Graduate Secretary by December 31 of each calendar year. The Graduate Secretary will maintain the Annual RCR Training Record Forms along with completed Annual Graduate Student Assessments.

(revised annually for CSUS, most recently 7/10/17)

Initial/Year 1 RCR Education

All CSUS graduate students must complete **all three of the items below** by December 31 of their first fall semester (prior to their first annual assessment, which is completed in the subsequent January for the previous calendar year). Because all new CSUS graduate students (M.S. Plan A, M.S. Plan B, and Ph.D.) are required to take CSUS 800 during their first fall semester, first year requirements are covered during that course (of course, students must participate in those class days/sessions during which RCR is covered).

- 1. Initial IRB Training (via MSU's HRPP website):** offered by MSU's Human Research Protection Program (HRPP). HRPP/IRB training can be found via Saba training system (*Firefox or Chrome browsers recommended*) (<https://train.ora.msu.edu/Saba/Web/Main>) by logging in and completing the "Overview of Human Research Protections" online module. This training is valid for two years, after which the training must be renewed by completing online refresher modules (see CITI options on the HRPP website; Saba log-in and help links are found on the left navigation bar; see: <https://hrpp.msu.edu/required-training>) If incoming students have current IRB training, that suffices for Year 1's #1 requirement. (~1 of 5 hours)
- 2. Four (4) Required CITI Modules** offered by MSU's Human Research Protection Program (HRPP). (Find all listed within set of CITI Basic RCR courses: <https://about.citiprogram.org/en/course/responsible-conduct-of-research-basic/>) (~1 of 5 hours)
 - Introduction to the Responsible Conduct of Research
 - Authorship
 - Plagiarism
 - Research Misconduct
- 3. In-class discussion about RCR issues during CSUS 800** (3 of 5 hours required during first year of degree program)

Year 2 RCR Education (*calendar year after first fall semester of CSUS graduate work*)

All CSUS graduate students must complete **both of the items below**: 3 hours of discussion-based RCR training PLUS three (3) additional CITI modules.

- 1. Three (3) hours of discussion-based training via Graduate School-offered RCR workshops.** Register EARLY to assure you can take the courses (enrollment limited and they fill fast). See <https://grad.msu.edu/rcr> (alternatively, 3 hours discussion-based training; see #4 in Year 3+ below)
- 2. Three (3) additional CITI Modules** selected from the following (found in CITI RCR Basic courses, see URL in Year 1, #2 above)
 - CITI Collaborative Research
 - CITI Conflicts of Interest
 - CITI Data Management
 - CITI Financial Management
 - CITI Mentoring
 - CITI Peer Review

MSU has licensed access to CITI modules. Find link on HRPP website, under "Other Training": <https://hrpp.msu.edu/required-training> (scroll to page bottom) & directly: <https://about.citiprogram.org/en/homepage/> (see "Courses")

Year 3 & Beyond RCR Education (*each subsequent calendar year after first two years' requirements met*)

All active CSUS graduate students must complete three additional hours of training (in some combination of discussion-based RCR refresher training via workshops or other discussion-based training, IRB refresher, additional CITI modules) during each subsequent calendar year of their degree program work. Select any combination from the following, to add up to three hours:

- 1. Graduate School-offered RCR workshops** (workshops @ 1.5 hrs). Register EARLY. See <https://grad.msu.edu/rcr>
- 2. Additional CITI Modules** (beyond those already taken; if you've taken all the "basic" modules, you can begin taking modules in the CITI Refresher Courses: <https://about.citiprogram.org/en/course/responsible-conduct-of-research-refresher/>). (2 modules = 1 hour)
- 3. IRB Refresher** (in alternating years, when due). (1 hour)
- 4. One-hour blocks of readings and/or PPT reviews plus discussion** with Advisor or PI (based on selected readings and other resources from RCR website: <https://grad.msu.edu/researchintegrity> See "RESOURCES" tab on left of page)

Complete each year's RCR training no later than December 31 of that calendar year.

Submit CSUS form plus documentation for annual required training.

Note that the above requirements are only *minimum* requirements for RCR training. Students interested in learning more about the responsible conduct of research are encouraged to take advantage of the many resources available from the Graduate School, the Human Research Protection Program, and the Office of Research Integrity. Included are workshops, online tutorials, and readings.

(revised annually for CSUS, most recently 7/10/17)

NOTE: Use appropriate form depending on calendar year of your program (*Year 1, Year 2, Year 3 & beyond*)



Responsible Conduct of Research (RCR) Training

Tracking Form for Initial/Calendar Year 1 of Degree Program

Student, with review of/approval by their advisor, will complete this form as part of the CSUS requirement for graduate student RCR training. **Initial training** must be completed by December 31 of the student's first fall semester in CSUS. **Refresher training** must be completed each calendar year thereafter (also by December 31 of each year). See details on the overall guidelines sheet and on the relevant "year's" form (Initial/Year 1; Year 2; or Year 3 and Beyond).

*Note: Student must provide advisor with **documented evidence of training** (e.g., certificates of HRPP tutorial and CITI modules completion, CSUS 800 instructor's signature/initials) before advisor will sign this form.*

Student Name: _____ Submitted for Calendar Year: _____

Advisor Name: _____



☐ **Initial RCR Education** (5 hours in first calendar year of CSUS Graduate Work)

All new CSUS graduate students must complete **all three of the items below** by December 31 of their first fall semester (prior to their first annual assessment, which is completed in the subsequent January for the previous calendar year). Because all new CSUS graduate students (M.S. Plan A, M.S. Plan B, and Ph.D.) are required to take CSUS 800 during their first fall semester, first-year requirements are covered during that course (of course, students must participate in those class days/sessions during which RCR is covered). Use the table below to indicate the date completed for each of the required training elements.

(After getting signatures from your CSUS 800 instructor – confirming attendance/participation in the 3-hour in-class discussion element – and your advisor, submit signed form plus documentation for the IRB training and CITI modules. Typically these are certificates. Be sure the date and your name are showing.)

Training Type (HRPP/IRB; CITI; discussion via CSUS 800)	Learning Format or Title	Date Completed (mm/dd/yyyy)	# hours
HRPP/IRB	Initial IRB/HRPP Training (<i>required</i>)		1
CITI Module	Introduction to the Responsible Conduct of Research (<i>required</i>)		0.25
CITI Module	Authorship (<i>required</i>)		0.25
CITI Module	Plagiarism (<i>required</i>)		0.25
CITI Module	Research Misconduct (<i>required</i>)		0.25
Via CSUS 800	3 hours of in-class discussion about RCR issues		3

Student Signature: _____ Date Signed: _____

CSUS 800 Instructor Signature: _____ Date Signed: _____

Advisor Signature: _____ Date Signed: _____

(new requirements approved for CSUS October 2016; revised annually for CSUS, most recently 7/20/2017)



Responsible Conduct of Research (RCR) Training Tracking Form for Calendar Year 2 of Degree Program

Student, with review of/approval by their advisor, will complete this form as part of the CSUS requirement for graduate student RCR training. **Refresher Training** must be completed by December 31 of each calendar year after the first fall semester while student is working on a graduate program in CSUS (unless student has actively withdrawn temporarily). See specific requirements on the overall guidelines sheet and below for Year 2.

*Note: Student must provide advisor with **documented evidence of training** (e.g., certificate or record of CITI modules, RCR Workshop Certificate and/or evidence of "other discussion") before advisor will sign this form.*

Student
Name: _____
Advisor
Name: _____

Submitted for
Calendar Year: _____

☐

Year 2 RCR Refresher Training (year after first fall semester of CSUS Graduate Work)

All CSUS graduate students must complete **both of the items below**: 3 hours of discussion-based RCR training PLUS three (3) additional CITI modules. (See *CSUS RCR Implementation Plan* for information on options and web links. Submit form plus documentation.)

1. **Three (3) hours of discussion-based training via Graduate School-offered RCR workshops.** Register EARLY to assure you can take the courses (enrollment limited; they fill fast; 1 workshop = 1.5 hours). See <https://grad.msu.edu/rcr> (alternatively, 3 hours **discussion-based** training; see description in option #4 for Year 3+)

Training Type (RCR Workshop, other Discussion-based)	Provide RCR Workshop Title or Describe/ Label Other Discussion-based Training	Date Completed (mm/dd/yyyy)	# hours

2. **Three (3) additional CITI Modules** from the following (found in CITI RCR Basic courses, see URL in guidelines):

- CITI Collaborative Research
- CITI Conflicts of Interest
- CITI Data Management
- CITI Financial Management
- CITI Mentoring
- CITI Peer Review

Training Type (RCR Workshop, other Discussion-based)	Name of Selected CITI Module	Date Completed (mm/dd/yyyy)
CITI module		
CITI module		
CITI module		

Student
Signature: _____

Date
Signed: _____

Advisor
Signature: _____

Date
Signed: _____

(new requirements approved for CSUS October 2016; revised annually for CSUS, most recently 7/20/2017)



Responsible Conduct of Research (RCR) Training

Tracking Form for 3rd and Subsequent Calendar Years of Degree Program

Student, with review of/approval by their advisor, will complete this form as part of the CSUS requirement for graduate student RCR training. **Refresher Training** must be completed by December 31 of each calendar year after the first fall semester while student is working on a graduate program in CSUS (unless student has actively withdrawn temporarily). See specific requirements on the overall guidelines sheet and below for Year 3 and Beyond.

*Note: Student must provide advisor with **documented evidence of training** (e.g., certificate or record of IRB/RCR refresher completion, CITI modules, or RCR Workshop; evidence of other) before advisor will sign this form.*

Student Name: _____ Submitted for Calendar Year: _____
Advisor Name: _____ Specify Year: _____
3rd, 4th, etc.: _____

☐

Year 3 & Beyond RCR Refresher Training

(3 hours each calendar year after first two calendar years of CSUS Graduate Work)

All active CSUS graduate students must complete three additional hours of training (in some combination of discussion-based RCR refresher training via workshops or other discussion-based training, IRB refresher, additional CITI modules) during each subsequent calendar year of their degree program work. Select any combination from the following, to add up to three hours:

- 1. Graduate School-offered RCR workshops** (workshops @ 1.5 hrs). Register EARLY. See <https://grad.msu.edu/rcr>
- 2. Additional CITI Modules** (beyond those already taken; if you've taken all the "basic" modules, you can begin taking modules in the CITI Refresher Courses: <https://about.citiprogram.org/en/course/responsible-conduct-of-research-refresher/>. (2 modules = 1 hour)
- 3. IRB Refresher** (in alternating years, when due). (1 hour)
- 4. One-hour blocks of readings and/or PPT reviews plus discussion** with Advisor or PI (based on selected readings and other resources from RCR website: <https://grad.msu.edu/researchintegrity> See "RESOURCES" tab on left of page)

Training Type (RCR Workshop, CITI, IRB, Read/Discuss)	Title (of CITI Modules, RCR Workshops, Article[s], etc.) (use 2 pages if needed)	Date Completed (mm/dd/yyyy)	# hours

Student Signature: _____ Date Signed: _____
Advisor Signature: _____ Date Signed: _____

(new requirements approved for CSUS October 2016; revised annually for CSUS, most recently 7/20/2017)



Department of
Community Sustainability

Date Received
by GPC Office:

Graduate Assistantship Developmental Review & Discussion Form
(per request of the Provost, to be completed each semester of an assistantship)

NOTE: If completed digitally, form can be saved only in full Adobe Acrobat program, not in "Acrobat Reader." Thus, OPEN form from within Acrobat software; then save the file with a new name that includes student's last name and the relevant semester/year. Alternatively, print the form and complete by hand.

SUBMIT TO: Graduate Secretary.

This form is to document that the following topics have been discussed between a graduate assistant and the supervising faculty member **each semester** of a student's graduate assistantship. This form must be submitted prior to requesting a re-appointment of a student for the following semester.

Graduate Assistant's Name: _____

Faculty Supervisor's Name: _____ Sem'r & Year Rvwd: _____

Assistantship Type: $\frac{1}{4}$ -time $\frac{1}{2}$ -time $\frac{3}{4}$ -time Date of Review: _____

Brief Assistantship Description: _____

	Check after Discussed
Discuss quality of assistantship work, based on previously laid out expectations, priorities, and responsibilities of the assistantship	<input type="checkbox"/>
Discuss timeliness of assistantship work, based on previously laid out expectations, priorities, and responsibilities of the assistantship	<input type="checkbox"/>
Discuss adequacy of the amount of work/number of hours worked, as associated with the type ($\frac{1}{4}$, $\frac{1}{2}$, $\frac{3}{4}$) of assistantship	<input type="checkbox"/>
Discuss graduate assistant's strengths	<input type="checkbox"/>
Discuss graduate assistant's areas for growth	<input type="checkbox"/>
Discuss ways to improve the working relationship, communication, etc. (if needed)	<input type="checkbox"/>

Overall, Graduate Assistant meets or exceeds current expectations: ☐ Yes ☐ No

Supervisor's Comments/Recommendations/Opportunities for Reappointment (or reasons for non-reappointment)
(attach separate sheet, if needed):

Signatures indicate all topics have been discussed:

Graduate Assistant's Signature: _____ Date: _____

Supervisor's Signature: _____ Date: _____

MSU is an Affirmative-Action, Equal-Opportunity Employer

Created 11/12/14; rev 7/10/17



Department of Community Sustainability

Change in Program Plan – Graduate Studies

Name of Student (do not include PID) _____

Note: All doctoral student records must be recorded in GradPlan. However, the CSUS personal communication & forms remains in place prior to GradPlan recording/approvals.

ATTACH REVISED PROGRAM PLAN if making more than one 1-for-1 course change. If you have more than 2 course adds/drops, use a 2nd sheet. Only first form needs signatures.

Changes in Program Plan Courses (if additional space is needed, use/attach an additional form page):

Course #	Course Name	Credits
Add:		
_____	_____	_____
_____	_____	_____
Delete:		
_____	_____	_____
_____	_____	_____

Change in Program/Program Name (must meet new program requirements; attach new program planning form):

Change FROM this program (check one from “from” and specify the new “to” degree program):

<input type="checkbox"/> CARRS MS-A (5208)	<input type="checkbox"/> CARRS MS-B (5208)	<input type="checkbox"/> CARRS PhD (5209)	<input type="checkbox"/> Other: _____
<input type="checkbox"/> CSUS MS-A (5389)	<input type="checkbox"/> CSUS MS-B (5389)	<input type="checkbox"/> STPAM MS (5390)	_____
<input type="checkbox"/> STPAM MS-A (5376)	<input type="checkbox"/> STPAM MS-B (5376)	<input type="checkbox"/> STPAM PhD (5377)	

TO (specify selected new degree, as shown from selection above): _____

Changes in Chairperson or Committee Members:

(first talk with each faculty; attach brief written request; if more than one change, add another page)

Add:	_____	_____
	(Name)	(Signature)
Delete:	_____	_____
	(Name)	(Signature)

Required signatures for the above actions:

	Name	Signature	Date
Student:	_____	_____	_____
Guidance Committee Chairperson:	_____	_____	_____
Guidance Committee Members:	_____	_____	_____
	_____	_____	_____
Program Coordinator:	Gail A. Vander Stoep	_____	_____
CSUS Chairperson:	Michael Hamm	_____	_____
CANR Asso. Dean:	Kelly Millenbah	_____	_____

MICHIGAN STATE UNIVERSITY

GUIDELINES AND APPLICATION FOR INDEPENDENT STUDY

DEFINITION

At Michigan State University, Independent Study is planned study, highly individualized, not addressable through any other course format, proposed in writing by the student on a standard form, accepted for supervision by a faculty member, and approved by the student's academic advisor and the teaching unit at the beginning of the semester.

GUIDELINES

Independent Study should:

1. Consist of work not described in the University catalog in any other format;
2. Be taken under a course number commensurate with the student's class level, major field, and experience;
3. Relate to a subject for which the student has adequate preparation;
4. Be directed by a faculty member with whom there is a periodic contact and consultation throughout the study;
5. Not exceed eight semester hours of credit in a single semester...BS.degree
6. Not exceed 10% of the credits earned in a bachelor's program;..6.credits.of.CSUS.890.for.MS.&.PhD
7. Be applied for on the form provided by the university, or any equivalent departmental or college form;
8. Be approved on this form before the student enrolls for the course.

APPLICATION AND ENROLLMENT

Please complete the form and obtain indicated approvals and necessary overrides before enrollment for the course:

See form on the next page:

MICHIGAN STATE UNIVERSITY

APPLICATION FOR INDEPENDENT STUDY

NAME: _____ DATE: _____
 Last First Middle Initial

PID: _____ LEVEL: _____ CLASS: _____ MAJOR: _____ CUMULATIVE GPA: _____

COURSE: _____ SECTION: _____ CREDITS: _____

SEMESTER: _____

Number of Independent Study credits to be earned this semester: _____ Total of prior Independent Study credits: _____

1. DESCRIPTION (Subject matter, purpose, methods) _____

2. RATIONALE (Why independent study rather than regular course?) _____

3. PREPARATION (Relevant course work, reading, work experience, etc.) _____

4. WORK TO BE COMPLETED

(a) Type and amount of reading, writing, lab work, etc. _____

(b) Estimated contact hours per week with instructor: _____ (c) Deadline for submitting work for final evaluation: _____

(d) Evaluation procedure: _____

STUDENT'S SIGNATURE _____ PHONE _____

APPROVALS

 Instructor Signature Date

 Academic Advisor Date

 Chairperson, Department Offering Course Date

Version – 10/14/15



Grad Student Leave of Absence Checklist

College of Agriculture and Natural Resources

Student should initial that they understand the following policies, procedures and processes, as well as their specific time limits. Additionally, it is recommended that a memo for the student's file detail any other agreements or understandings regarding funding, committee member commitments, etc.

General Information:

Name: _____ Department: _____
PID: _____ Major: _____
Semester Admitted: _____ Degree: ☐ M.S.-A ☐ M.S.-B ☐ Ph.D.

Time Limit. Graduate students have a limited time to complete their degree requirements.

Student initials

_____ Semester of first class: _____
_____ Comprehensive Exam deadline: _____
_____ Degree Completion deadline: _____
_____ Time Extension Process See Graduate Handbook.

MS Time Limit Policy: <https://reg.msu.edu/AcademicPrograms/Print.aspx?Section=386>

PhD Time Limit Policy: <https://reg.msu.edu/AcademicPrograms/Print.aspx?Section=406>

Graduate Assistantship. Duties may be reduced or completely waived, as necessary. If the student remains enrolled, the stipend shall be paid for a period of two months or until the end of the appointment period, whichever occurs first.

Student initials

_____ Graduate Assistant Leave Policy

Policy: <https://reg.msu.edu/AcademicPrograms/Print.aspx?Section=351>

Health Insurance. Health insurance coverage may be dropped if the student's employment as a graduate assistant or other employee classification is reduced or ended. Graduate assistants must work at least 53 days in fall or spring semesters to be eligible for insurance.

Student initials

_____ Graduate Assistant Leave Policy

Policy: <https://www.hr.msu.edu/benefits/graduate-assistants/health/index.html>

MICHIGAN STATE
UNIVERSITY

College of Agriculture
and Natural Resources

See page 2, next page:

Re-admission to MSU. If a student has not registered for three consecutive semesters, including summer semester, they must apply for re-admission to MSU and the graduate program. There is no guarantee of re-admission.

Student initials

_____ Application for re-admission policy

Policy: <https://reg.msu.edu/AcademicPrograms/Print.aspx?Section=331>

Acknowledged:

Student Date

Major Professor Date

Graduate Program Coordinator / Department Chair Date

Associate Dean: Kelly Millenbah Date

MICHIGAN STATE
UNIVERSITY

College of Agriculture
and Natural Resources

• Appendix B •

Policy on Transfer Credits from the Teaching Certification Internship Year

(for Agriculture, Food and Natural Resource Educators)

With the approval of their committees, CSUS MS students who matriculate will be permitted to apply up to 9 credits of 800-level Teacher Education (TE) course credits (those that are earned as part of MSU's Teacher Certification Internship Year) toward the fulfillment of their MS degree in CSUS.

Specifically,

6 credits of TE 802 and 804 may be accepted as part of the student's 15 "focus area" credits, provided the focus area is conceptually defined to include the material covered in these courses. Examples of such focal areas include Education and Community Engagement, Community Food and Agriculture, or any number of individually-designed focal areas such as Natural Resource Education and Management (see example below). Focal areas must be developed to include a coherent selection of courses that represents a particular area of specialization. They also must include at least 6 additional credits of CSUS courses.

3 credits of TE 801 or 803 may be accepted to fulfill the "techniques/professional skills" requirement for the MS-B program. TE 801 or 803 may or may not be accepted to fulfill requirements for the MS-A program and will depend on the fit to the student's program goals as well as approval of the Guidance Committee.

Example Program Plan for an Agriculture, Food and Natural Resource Educator Working Toward an MS-B in CSUS using TE Credits

1. Required Courses --12 credits

CSUS 800: Foundations in CSUS	3 credits
CSUS 802: Survey of Research Methods	3 credits
CSUS 895: Case Studies (<i>or alternative</i>)	3 credits
CSUS 898: Plan B Project	3 credits

2. Focus Area Courses --15 credits

Example Focal Area: Natural Resource Education & Management

TE 802: Reflections & Inquiry in Teaching Practice I	3 credits
TE 804: Reflections & Inquiry in Teaching Practice II	3 credits

Additional Focal Area Courses (*at least 6 credits must be CSUS credits*)

CSUS 811: Community Food and Agriculture: A Survey	3 credits
CSUS 841: Building and Implementing Watershed Plans	3 credits
FW 869: Community and Conservation	3 credits

3. Techniques or professional skills

TE 801, TE 803, or other approved professional skills class	3 credits
-------------------------------------------------------------	-----------

TOTAL CREDITS

30 credits

• Appendix C •

Specializations and Certificate Programs

In addition to the graduate degree programs offered by individual departments within the university, Michigan State also offers many programs that combine the research facilities and faculty of different departments and colleges. In many cases, a specialization or certification is simply incorporated as all or part of one (or more) focus areas in the official Program of Study. Some students choose to ADD a specialization or certification on top of their official Program of Study. In other cases, some program requirement courses fulfill part of a specialization (and vice versa); yet additional courses beyond the official Program of Study are needed to complete a specialization or certification.

It is suggested that any courses NOT needed as part of the official Program of Study (30 credits for MS and 60 credits for Ph.D. degrees) not be included on the official Program of Study. Rather, they can be tracked on this form. The reason is that, should students change course choices for specializations/certifications or later decide to NOT complete one, they do not have to complete a Change of Program Plan form or complete a new Program Plan/Planning Form.

Note: If you plan to incorporate (or add) a specialization into your Program of Study, use the additional form ("page 2") available on the CSUS Graduate Forms page (also see p. 68 of this handbook).

Below are some potentially relevant specializations for CSUS or STPAM students. Find direct links to these specializations and more from: <https://reg.msu.edu/AcademicPrograms/Programs.aspx?PType=SPCG> For related policies, see <https://reg.msu.edu/AcademicPrograms/Text.aspx?Section=111#s2244>

SPECIALIZATIONS

Animal Studies: Social Science and Humanities Perspective (MS and Ph.D.)
Business Concepts for Environmental Sustainability and Conservation (MS and Ph.D.)
Ecological Food and Farming Systems (MS and Ph.D.)
Environmental Policy (MS and Ph.D.)
Environmental Science and Policy (Ph.D.)
Environmental Toxicology (MS)
Ethics and Development (MS and Ph.D.) (*in moratorium since Summer 2015; proposal being developed for a specialization in "Engaged Philosophy"*)
Fish and Wildlife Disease Ecology and Conservation (MS and Ph.D.)
Food Safety (MS)
Gender, Justice, and Environmental Change (MS and Ph.D.)
Global Urban Studies (Ph.D.)
Hospitality Business (MS and Ph.D.)
International Development (MS and Ph.D.)
Media and Information Studies (MS and Ph.D.)

INTERDEPARTMENTAL or INTERDISCIPLINARY SPECIALIZATIONS

Ecology, Evolutionary Biology and Behavior (MS)
Environmental and Resource Economics (MS and Ph.D.)
Infancy and Early Childhood (MS and Ph.D.)
Environmental and Resource Economics (MS and Ph.D.)
Women's and Gender Studies (MS and Ph.D.)

Below are some potentially relevant certificate programs for CSUS or STPAM students. Find direct links to these certificates and more from: <https://reg.msu.edu/AcademicPrograms/Programs.aspx?PType=GC>

CERTIFICATES

Chicano/Latino Studies: <https://reg.msu.edu/AcademicPrograms/ProgramDetail.aspx?Program=7720>

Digital Humanities: <http://dh.cal.msu.edu/graduate-curriculum/certificate/>

Journalism: <http://cas.msu.edu/places/departments/school-of-journalism/programs/the-graduate-certificate-in-journalism/>

Leadership and Managing Teams: <https://reg.msu.edu/AcademicPrograms/ProgramDetail.aspx?Program=6064>

Museum Studies: <https://reg.msu.edu/AcademicPrograms/ProgramDetail.aspx?Program=5759>

Watershed Management (MS and Ph.D., online) <http://www.iwr.msu.edu>

Youth Development Specialist: <https://reg.msu.edu/AcademicPrograms/ProgramDetail.aspx?Program=7694>

Youth Program Management and Evaluation:
<https://reg.msu.edu/AcademicPrograms/ProgramDetail.aspx?Program=7693>

Graduate Certificate Policies (from <https://reg.msu.edu/AcademicPrograms/Text.aspx?Section=111#s2244>)

Certificate Credits Applied to Master's Degree Program

There is no limit to the number of credits taken at the graduate certification level at MSU that can be applied to a master's degree program. Credits applied to a master's degree are not eligible to be applied to any other graduate degree program.

Certificate Credits Applied to Doctoral Degree Program

There is no limit to the number of credits taken at the graduate certification level at MSU that can be applied to a doctoral degree program, with approval of the student's doctoral guidance committee. Credits applied to a doctoral degree are not eligible to be applied to any other graduate degree program.

CERTIFICATIONS

Community Engagement: <https://gradcert.engage.msu.edu>

College Teaching: <https://grad.msu.edu/CCTP>

DUAL DEGREES

Environmental Science and Policy Program (ESPP) Dual Degree: *When we receive the final, approved language and description and web link for the ESPP dual degree (moving through final university reviews during Fall 2017), it will be added to the future handbook and updated on the CSUS website. Currently it is still listed as an interdepartmental graduate specialization.*

In the meantime, watch for updates on the ESPP website: <http://www.espp.msu.edu/index.php>

Dual Law Degrees: <https://www.law.msu.edu/academics/dual-degrees.html>

• Appendix D •

CSUS Graduate Courses

Following is a list of graduate courses currently taught by CSUS faculty, or courses that are jointly administered with other departments. The courses are listed in numerical order.

University 400-level undergraduate courses also may be used in a graduate program. Graduate students are advised to discuss this option with their advisor and/or committee. Also see Guidelines for use of 400-level courses in this handbook (pages 12, 13 and 31).

CSUS Courses – Community Sustainability (applicable for both CSUS and STPAM degrees)

For details about the following courses (e.g., number of credits, cross-listings, and semester offered), see the listings on the MSU Description of Courses (or link from the list on the CSUS website:

https://www.canr.msu.edu/csus/graduate/current_students/forms)

CSUS 800	Foundations of CSUS	CSUS 855	Political Ecology of Food
CSUS 802	Survey of Research Methods	CSUS 858	Gender, Justice, & Environmental Change: Issues & Concepts
CSUS 811	Community, Food, & Agriculture: A Survey	CSUS 865	Facilitative Leadership
CSUS 814	Sustainable Tourism & Protected Area Management: Theories & Applications	CSUS 873	Culture, Communities, & Tourism
CSUS 816	Environmental Design Theory (<i>register for LA 816</i>)	CSUS 886	Foundations of Museum Studies (<i>register for MUSM 886</i>)
CSUS 823	Contemporary Issues in Animal-Human Relationships	CSUS 890	Independent Study in Community Sustainability
CSUS 824	Sustainable Development: Measuring Socioeconomic Well-being	CSUS 891	Selected Topics in Community Sustainability
CSUS 826	International Development Theory and Practice	CSUS 891B	Advanced Topics in Community, Food & Agriculture
CSUS 829	Economics of Environmental Resources (<i>register for AFRE 829</i>)	CSUS 894	Field Practicum in Community Sustainability
CSUS 831	Foundations of Qualitative Research	CSUS 895	Case Studies in Community Sustainability (<i>under review; not currently offered</i>)
CSUS 833	Program Evaluation in Agriculture & Natural Resources	CSUS 897	Practicum in Museum Studies (<i>register for MUSM 897</i>)
CSUS 834	Survey Research and Design	CSUS 898	Master's Professional Project
CSUS 836	Modeling Natural Resource Systems	CSUS 899	Master's Thesis Research
CSUS 838	Participatory Modes of Inquiry	CSUS 999	Doctoral Dissertation Research
CSUS 841	Building & Implementing Watershed Management Plans		
CSUS 848	Community-based Natural Resource Management in International Development		

• Appendix E •

Student Resources

Following is a list of websites that graduate students may find useful as they pursue their graduate education:

Academic Programs Catalog	https://reg.msu.edu/AcademicPrograms/
Spartan Life Online (student handbook)	http://splife.studentlife.msu.edu/
Student Rights and Responsibilities	http://splife.studentlife.msu.edu/student-rights-and-responsibilities-at-michigan-state-university
Graduate Student Rights & Responsibilities	http://splife.studentlife.msu.edu/graduate-student-rights-and-responsibilities
MSU/Graduate Employees Union Contract	https://hr.msu.edu/contracts/documents/GEU2015-2019.pdf
Code of Teaching Responsibility	http://splife.studentlife.msu.edu/regulations/student-group-regulations-administrative-rulings-all-university-policies-and-selected-ordinances/code-of-teaching-responsibility
Office for International Students and Scholars	http://www.oiss.msu.edu/
Guidelines for Graduate Student Advising and Mentoring Relationships	https://grad.msu.edu/sites/default/files/content/researchintegrity/guidelines.pdf
Guidelines for Integrity in Research and Creative Activities	https://grad.msu.edu/sites/default/files/content/researchintegrity/guidelines.pdf
Policy on Relationship Violence and Sexual Misconduct	http://www.hr.msu.edu/documents/uwidepolproc/RVSM_Policy.htm
Anti-Discrimination Policy (ADP)	https://www.hr.msu.edu/policies-procedures/university-wide/ADP_policy.html
Active Shooter Situations	http://edwp.educ.msu.edu/ead/hale-happenings/2015/active-shooter-violence-prevention-workshop-for-msu-graduate-students-postdocs/
MSU Safety Resources	https://www.hr.msu.edu/resources-links.html
MSU Safety When Traveling/ MSU Travel Clinic	http://travelclinic.msu.edu/
Environmental Health and Safety (was ORCBS)	http://www.ehs.msu.edu
Certification in College Teaching	https://grad.msu.edu/CCTP

The Graduate School: <https://grad.msu.edu>

Resources found on this site include (though organized differently from below):

MSU Admissions Information

- * Application Information and Online Link
- * Budget Planning
- * PhD Public Information
- * International Students
- * Recruitment Awards
- * Readmission

Academics

- * Policies and Procedures
- * Interdisciplinary Programs
- * Theses and Dissertations
- * Research Integrity
- * Specializations and Certificates
- * Traveling Scholar
- * GradPlan (PhD students only)

Career and Professional Development Information

- * Workshops and Events
- * Career Planning
- * Research
- * Teaching

Funding

- * External Funding
- * Assistantships
- * Fellowships
- * Research Support
- * Travel Funding
- * Funding Resources

Graduate Student Life

- * Graduate Student Organizations
- * Graduate Life and Wellness
- * AGEP Program

Support and Other Resources

- * The Counseling Center
- * MSU Employee Assistance Program
- * Health4U
- * Olin Health Center
- * Academic Women's Forum (WRC)
- * Fatherhood Forum (FRC)
- * and many more:
- * <https://grad.msu.edu/partners-in-wellness>

• Appendix F •

Guidance Committee Member Eligibility Guidelines

1. Eligibility Policy for Serving on CSUS Graduate Committees (summarized in the Table on the next page)

Committee Chair

Only **CSUS tenure-stream faculty** may serve as Chair of CSUS graduate guidance committees.

Committee Members

CSUS and other MSU tenure-stream faculty holding a Ph.D. are eligible to serve on committees.

MSU-appointed staff holding Ph.D.s outside of the tenure stream (or equivalent terminal degrees) may serve on CSUS graduate guidance committees if they have applied for and received approval from the Department, College, and the Graduate School for this status. See procedure in #2 for Non-regular Faculty.

Ph.D.s who are employed outside of MSU may serve on CSUS graduate committees if they have applied for and received approval from the Department and the Graduate School for this status. See procedure in #2 for Non-regular Faculty.

MSU staff or non-MSU staff who hold master's degrees may serve on CSUS MS committees if they have applied for and received approval from the Department, College, and the Graduate School for this status. See procedure in #2 for Non-regular Faculty.

2. Process to Gain Approval to Serve on Graduate Committees (for Non-regular Faculty Members)

For the most recent university policies/ procedures, see: <https://grad.msu.edu/non-regular-faculty-committees>

In general, no more than one (1) non-regular faculty member (voting member) may serve on a master's committee or doctoral committee. The number of non-regular faculty may not exceed the number of regular MSU faculty on the committee.

The student's committee chair or any individual wishing to serve on CSUS Graduate committees may initiate the following process to be assessed for guidance committee eligibility:

1. The proposed committee member submits the following:
 - * A letter from the individual indicating willingness and commitment to serve as a member of a graduate student's committee. (It is helpful to include a summary of his/her background and qualifications [particularly specialized expertise] explaining why the person is appropriate to serve as a member of a graduate student committee. This information will be used by the student's advisor and the department's Graduate Program Coordinator in writing their supporting letters, and will speed the process.)
 - * A copy of the individual's curriculum vita
 - * Individual's email address
2. The student's committee chair reviews these materials and attaches a cover memo that explains why the request being made is for the applicant to serve on a specific student's committee.
3. The complete application is submitted by the committee chairperson to the CSUS Graduate Program Coordinator (GPC).

The GPC's responsibility is to 1) determine that the application is substantively complete; and 2) review and approve the application, then forward to the Associate Dean of the College of Agriculture and Natural Resources, who reviews, then forwards to the Graduate School.
4. The Graduate School evaluates the file and notifies the College, Department, and Advisor of the decision.

Summary of Eligibility to Serve on CSUS Graduate Committees

Who can serve?	Chair of Committee	Committee Member
Tenure stream faculty from other departments	NO. Only CSUS tenure stream faculty	YES, already a means to do so
Non-tenure stream Ph.D. (or equivalent terminal degree) employed at MSU	NO	YES, If application passes the CSUS, CANR and Graduate School reviews. See process above.
Ph.D.s outside of MSU	NO	YES, If application passes the CSUS, CANR and Graduate School reviews. See process above.
Person having Master's Degree	NO	NO for Ph.D. committees; YES for MS committees, if application passes the CSUS, CANR and Graduate School reviews. See process above.