# Report: Predictors of Family Forest Harvest Behavior in the Northeastern United States 

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# Forest Harvest Behavior in the Northeastern United States 

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Michigan State University, Forest Carbon and Climate Program (FCCP) has conducted plot-level statistical analysis with the following objectives:

1) Identifying covariates that best predict harvest likelihood (HL) and harvest intensity (HI) on non-industrial private forestland in Connecticut, Maine, Massachusetts, New Hampshire, New York, Rhode Island, and Vermont for four forest type groups (FTGs) of interest (Maple/ Beech/ Birch [MBB], Oak/ Hickory [OH], Spruce/ Fir [SF], and White/ Red/ Jack Pine [Pine]);
2) Identifying appropriate subregions for analysis and FFCP donor pool selection (i.e., subregions where distinct forest management behaviors exist);
3) Identifying tiers of plot-level carbon potential (i.e., predicted harvest intensity) according to key indicators (e.g., levels of merchantable volume, percent stocking, etc.) to inform FFCP participation requirements or funding tiers (cap impact analysis); and
4) Assessing the impact of selected caps on available donor plots by FTG and ecoregion (cap feasibility testing).

This report details the processes and results of those analyses.

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Contents
Statistical Methods ..... 5
Data Description ..... 5
Subregions for Donor Pool Selection ..... 10
Covariate Importance Results ..... 12
Cap Impact Testing and Selection ..... 15
Cap Feasibility Testing: Donor Plot Counts ..... 28
References ..... 31
Appendix I: Partial Dependence Plots ..... 31
Appendix II: Cap Impact on Harvest Intensity (BF/acre) ..... 44
Figures
Figure 1. Historic county-level harvest (\% of plots harvested in any cycle). ..... 9
Figure 2. Counties with zero historic harvest (since first FIA inventory). ..... 9
Figure 3. Selected regional groups ..... 11
Figure 4. Group comparison: removed basal area by previous basal area ..... 11
Figure 5. Group comparison: removed volume by previous volume ..... 12
Figure 6. Variable Importance in explaining harvest likelihood, Group 1. ..... 13
Figure 7. Variable Importance in explaining harvest likelihood, Group 2 ..... 13
Figure 8. Variable Importance in explaining harvest intensity, Group 1. ..... 14
Figure 9. Variable Importance in explaining harvest intensity, Group 2 ..... 14
Figure 10. Partial dependence plot for aboveground biomass, Group 1 ..... 32
Figure 11 Partial dependence plot for aboveground biomass, Group 2. ..... 32
Figure 12. Partial dependence plot for basal area, Group 1 ..... 33
Figure 13. Partial dependence plot for basal area, Group 2 ..... 33
Figure 14. Partial dependence plot for carbon, Group 1 ..... 34
Figure 15. Partial dependence plot for carbon, Group 2 ..... 34
Figure 16. Partial dependence plot for Lorey's Height, Group 1 ..... 35
Figure 17. Partial dependence plot for Lorey’s Height, Group 2 ..... 35
Figure 18. Partial dependence plot for QMD, Group 1 ..... 36
Figure 19. Partial dependence plot for QMD, Group 2 ..... 36
Figure 20. Partial dependence plot for Stocking \%, Group 1 ..... 37
Figure 21. Partial dependence plot for Stocking \%, Group 2 ..... 37
Figure 22. Partial dependence plot for Merchantable Volume, Group 1 ..... 38
Figure 23. Partial dependence plot for Merchantable Volume, Group 2 ..... 38
Figure 24. Partial dependence plot for Relative Density, Group 1 ..... 39
Figure 25. Partial dependence plot for Relative Density, Group 2 ..... 39
Figure 26. Partial dependence plot for Elevation, Group 1 ..... 40
Figure 27. Partial dependence plot for Elevation, Group 2 ..... 40

## MICHIGAN STATE UNIVERSITY

Figure 28. Partial dependence plot for Slope, Group 1 ..... 41
Figure 29. Partial dependence plot for Slope, Group 2 ..... 41
Figure 30. Partial dependence plot for Aspect, Group 1 ..... 42
Figure 31. Partial dependence plot for Aspect, Group 2 ..... 42
Figure 32. Partial dependence plot for Bole Biomass, Group 1 ..... 43
Figure 33. Partial dependence plot for Bole Biomass, Group 2 ..... 43
Tables
Table 1. Counties emitted ..... 10
Table 2. Relative cap grouping impact, Maple/Beech/ Birch - Group 1 ..... 16
Table 3. Impact of diverse cap groupings on n, Maple/Beech/ Birch - Group 1 ..... 17
Table 4. Relative cap grouping impact, Maple/Beech/ Birch - Group 2 ..... 18
Table 5. Impact of diverse cap groupings on n, Maple/Beech/ Birch - Group 2 ..... 19
Table 6. Relative cap grouping impact, Oak/ Hickory ..... 20
Table 7. Impact of diverse cap groupings on n, Oak/ Hickory ..... 21
Table 8. Relative cap grouping impact, Spruce/ Fir ..... 22
Table 9. Impact of diverse cap groupings on n, for Spruce/ Fir ..... 23
Table 10. Relative cap grouping impact, Pine - Group 1. ..... 24
Table 11. Impact of diverse cap groupings on n, Pine - Group 1 ..... 25
Table 12. Relative cap grouping impact, Pine - Group 2 ..... 26
Table 13. Impact of diverse cap groupings on n, Pine - Group 2 ..... 27
Table 14. FFCP-selected caps ..... 28
Table 15. Available donors by FTG and ecoregion, with and without caps ..... 29
Table 16. Available donors by FTG and ecoprovince, with and without caps ..... 29
Table 17. Available donors by FTG and ecoprovince state, with and without caps. ..... 30
Table 18. Impact of caps on harvest intensity, Maple/Beech/ Birch - Group 1, ..... 44
Table 19. Impact of caps on harvest intensity, Maple/Beech/ Birch - Group 2, ..... 45
Table 20. Impact of caps on harvest intensity, Oak/ Hickory ..... 46
Table 21. Impact of caps on harvest intensity, Spruce/ Fir ..... 47
Table 22. Impact of caps on harvest intensity, Pine - Group 1 ..... 48
Table 23. Impact of caps on harvest intensity, Pine - Group 2 ..... 49

## Statistical Methods

To conduct the plot-level analysis of key determinants of HL and HI , we developed a random forest (RF) model. In this section, we detail briefly what a random forest model is and why this approach was selected.

Machine learning is a widely used technique to automate both supervised and unsupervised classifications in order to identify patterns within datasets. Specifically, RF models, a type of machine learning algorithm and an extension of classification and regression trees (CART) techniques, are a suite of non-parametric models that utilize decision trees to classify datasets. RF models split observations in a pairwise hierarchical manner based on an algorithm-generated basic rule that minimizes within-group variation and maximizes between-group variation (Breiman, 2001). This enables rapid classification and estimation of importance for dependent variables (Ziegler and Konig, 2014). RF models have grown in popularity due to ease of parameter tuning (i.e., an analyst needs only to determine input variables, number of trees to generate, and the number of variables to sample at each decision step) and model insensitivity to variable magnitudes and distribution (i.e., models do not require data rescaling) (Wager et al., 2014).

RF offers advantages over other parametric approaches (such as generalized linear models or logistic regression models), including handling residual noise for predictions and probability estimates for multi-category dependent variables (Ziegler and Konig, 2014). RF models can be prone to overfitting, since models inherently reduce variance and mean square error through complex model building processes that can generate many trees. However, bootstrapping samplers and bootstrap aggregation inherent to RF model techniques generally minimize overfitting; additionally, straight-forward checks of model results can limit bias and increase validity (Ziegler and Konig, 2014). RF model estimates characterize error, strength, and correlation and can also be used to measure variable importance (Breiman, 2001), including for high-dimensional problems involving many features (Ziegler and Konig, 2014).

## Data Description

Here, we provide a description of the input used in the statistical analyses.
We derive all input data (i.e., independent and dependent variables) from:

1. The US Department of Agriculture, Forest Inventory and Analysis Database: https://www.fia.fs.usda.gov/ [using the rFIA R package: https://rfia.netlify.app/]
2. US Census: https://www.census.gov/data/datasets/time-series/demo/popest/2010s-counties-total.html
3. Forest Ownership data [Sass E., B. Butler, M.A. Markowski-Lindsay. (2O2O). Forest ownership in the conterminous United States circa 2017: distribution of eight ownership types-geospatial dataset. Fort Collins, CO: Forest Service Research Data Archive. https://doi.org/10.2737/RDS-2020-0044]
4. Mill location data [provided by state DNRs]:

- Connecticut:
https://portal.ct.gov/-
/media/deep/forestry/forest_practitioner_certification/primaryprocessorsp df.pdf
- Maine:
https://static1.squarespace.com/static/5da9047aa7b835389a38c978/t/5dd 6f5690ab5465cb20418ed/1574368620425/Online_Portable_Sawmills_2018. pdf
- Massachusetts: https://ag.umass.edu/sites/ag.umass.edu/files/pdf-docppt/2006 ma sawmill directory.pdf
- New Hampshire:
https://extension.unh.edu/sites/default/files/migrated_unmanaged_files/Re source000251 Rep271.pdf
- New York: https://www.dec.ny.gov/docs/lands forests_pdf/directory17.pdf
- Rhode Island: https://www.dandb.com/businessdirectory/rhodeisland-sawmillsandplaningmillsgeneral-2421.html
- Vermont: https://anrweb.vt.gov/FPR/vtFPR/Sawmill.aspx

We consider only plots encompassing privately-owned forestland in our analyses (including tribal lands). See `src/summarizeVariables.R` for the procedures used to summarize condition-level FIA data.

We exclude any plot not meeting the following conditions:

- Plot falls exclusively on private forestland
- Single condition is present, and its attributes are constant through time (e.g., has always been recorded as a red pine plantation)
- Trees present at least one plot visit (e.g., post-clearcut is considered non-treed forestland)
- Annual-to-Annual plot, i.e., same plot design used at all visits and excludes all periodic inventories

Input data are stored in `Outputs/plot_vars_v3.csv`. Variable definitions are as follows:

## Dependent Variables (Harvest Indicators)

Harvest intensity:

- `REMV_NETVOL_ACRE`: (numeric) average annual net merchantable volume (cu.ft.) per acre harvested during the remeasurement interval. We compute HI for all remeasured plots (most have been remeasured multiple times) in terms of a percentage of net merchantable volume removed that can be attributed to tree harvesting across all plot visits (i.e., sum across remeasurements).

Harvest (binary):

- `HARVESTED`: (factor/binary) binary code indicating if tree harvesting occurred on the plot between the remeasurement interval (`HARESTED=1` when harvesting occurred, and `HARVESTED=0` otherwise)


## Independent Variables (Predictors/ Co-Variates)

- `FORTYPCD`: (factor) code for forest types
- `SITECLCD`: (factor) code for site productivity classes
- `STDORGCD`: (factor) binary code indicating clear evidence of artificial regeneration (i.e., plantation status)
- `PHYSCLCD`: (factor) code for physiographic classes
- `ECOSECCD`: (factor) code for ecoregion
- `STATECD`: (factor) code for state
- `RDDISTCD`: (factor) code for straight-line distance to nearest improved road
- `SLOPE`: (numeric) slope of condition (\%)
- `ASPECT`: (numeric) aspect of condition (degrees)
- ‘ELEV`: (numeric) elevation of condition
- `PREV_BAA`: (numeric) live tree basal area per acre at initial measurement (ft sq ac ${ }^{-1}$ )
- `PREV_QMD`: (numeric) live tree quadratic mean diameter at initial measurement
- `PREV_NETVOL_ACRE`: (numeric) net merchantable volume at initial measurement ( $\mathrm{cu} \mathrm{ft} \mathrm{ac}{ }^{-1}$ )
- 'PREV_rdplot': (numeric) relative density, measurem of number and average size of trees, per plot at initial measurement
- 'LOREY': (numeric) weighted mean height proportional to individual tree basal area
- 'PREV_BIO': (numeric): all aboveground tree biomass per acre at initial measurement (short tons ac ${ }^{-1}$ )
- 'PREV_CARB': (numeric): all aboveground tree carbon per acre at initial measurement (short tons $\mathrm{ac}^{-1}$ )
- ‘GSSTK`: (numeric) initial stocking of growing stock (absolute value 0-167\%)
- ‘prop.forest`: (numeric) proportion of landscape within 10km of fuzzed plot locations that is classified as forestland (derived from [National Land Cover Database 2016] https://www.mrlc.gov/national-land-cover-database-nlcd2016).
- ‘dist.to.mill`: (numeric) distance to nearest mill, calculated using fuzzed and swapped plot coordinates and mill coordinates.
- ‘n.mills.50km`: (numeric) number of mills within a 50 km radius
- ‘pop.current`: (numeric) 2019 county population [US Census data]
- `pop.growth`: (numeric) county population growth 2011-2019 [US Census data]
- `prop.small.private`: (numeric) proportion of forestland within 1km of fuzzed plot location that is classified as private (family/ small owner) ownership (derived from [Sass et al, 2020] https://www.nrs.fs.fed.us/pubs/61623).
- 'PERC HARV ANNUAL': (numeric) proportion of FIA plots harvest annually within each county
- 'PERC HARV': (numeric) total proportion of FIA plots harvest within each county across the entire timeseries
- 'REMV INTENSITY BOLE: (numeric) dry biomass in the merchantable bole removed per acre of harvested plots

Please see the FIA Database Documentation:
(https://www.fia.fs.usda.gov/library/databasedocumentation/current/ver90/FIADB\ User\ Guide\ P2 9-0-1 final.pdf) for definitions associated with forest type, site productivity, stand origin, and physiographic class codes.

## Omitted Counties

While a number of ecological forest dimensions influence harvest decision-making among private landowners, as will be demonstrated in subsequent sections, political and cultural dimensions too influence harvest decision-making. Because the FIA database includes only plot-level measurements, and not survey data on landowner political preferences or cultural indicators, any such dimensions cannot be included in the covariate analysis, or in the FFCP donor plot matching methodology, at the plot level. This analysis has, therefore, taken a blunt approach to handling political and cultural dimensions, particularly those that would lead toward no or extremely little harvest behavior.

In looking at previous harvest incidences at the county-level, we observe a series of counties with no or very little historic harvest on FIA plots, despite ecological conditions that might predict otherwise. The FFCP team has elected to remove these counties from the covariate analysis (and eligible FFCP participation) out of an assumption that the dominant cultural and political dimensions of the counties will continue to lead to little or no harvest in the baseline. Figure 1 visualizes county-level historic harvest (as a percentage of FIA plots where harvest has been recorded) and
Figure $\mathbf{2}$ visualizes which counties have seen zero harvest across their FIA plots since first FIA inventory. See Table $\mathbf{1}$ for a list of those counties removed and their historic harvest on FIA plots (\% of plots harvested at any cycle).


Figure 1. Historic county-level harvest (\% of plots harvested in any cycle).


Figure 2. Counties with zero historic harvest (since first FIA inventory).

Table 1. Counties Omitted from the Subsequent Analyses as well as from FFCP Landowner Enrollment

## Omitted Counties

| State | County | Historic Harvest <br> on FIA plot (\% of <br> plots harvested) |
| :---: | :---: | :---: |
| New York | Suffolk | $0 \%$ |
| New York | Nassau | $0 \%$ |
| New York | Rockland | $0 \%$ |
| New York | Westchester | $11.11 \%$ |
| Massachusetts | Barnstable | $0 \%$ |
| Massachusetts | Bristol | $10.00 \%$ |
| Massachusetts | Plymouth | $6.25 \%$ |
| Massachusetts | Dukes | $100 \%$ |
| Massachusetts | Nantucket | $0 \%$ |
| Connecticut | Fairfield | $0 \%$ |
| Connecticut | New Haven | $9 \%$ |
| Connecticut | Middlesex | $10 \%$ |
| Connecticut | New London | $0 \%$ |
| Rhode Island | Washington | $0 \%$ |
| Rhode Island | Kent | $0 \%$ |

## Subregions for Donor Pool Selection

To create more appropriate and refined donor pools, we binned two discrete groups of US counties based on trends in forest harvest behavior, namely, observed differences in harvest intensity independent of existing forest conditions. After analyzing previous harvest trends at the state- and county-levels, we identified Maine plus the extension of ecosection M211A (which covers much of western Maine and extends into six counties across Vermont and New Hampshire) as harvesting more intensely than other areas, controlling for forest ecological conditions. Accordingly, we have grouped those six counties together with all counties of Maine into a distinct group for analysis (Figure 3).


Figure 3. Selected regional groups to be applied to the covariate importance, cap impact, and cap feasibility analyses, sufficient donor plots permitting.

As Figure 4 and Figure 5 demonstrate, plots in Group 2 harvest more intensively (shown here in terms of basal area and merchantable volume removed) than those plots in Group 1, controlling for existing forest conditions.


Figure 4. Comparison of removed basal area by previous basal area among harvested plots in Groups 1 and 2.


Figure 5. A comparison of removed merchantable volume by previous merchantable among harvested plots in Groups 1 and 2.

These groupings are applied to subsequent modeling stages where sufficient donor plots in each of the two groups exist. Because there were insufficient Oak/ Hickory plots in Group 2, all Oak/ Hickory plots are analyzed together in the caps analyses; likewise, because there were insufficient Spruce/ Fir plots in Group 1, all Spruce/ Fir plots were analyzed together for the cap analyses. While Pine plots were analyzed separately across the two distinct groups for the caps impacting testing, all Pine plots are merged into one group for cap feasibility testing as the selected Pine caps led to too few plots across the two groups to merit their separation.

## Covariate Importance Results

To assess variable importance associate with the HL and HI models, we calculated the loss in predictive accuracy associated with the removal of each variable. To calculate model predictive accuracy, we used a 5 -fold cross validation technique to evaluate out-of-sample performance (that is, we systematically and sequentially removed a portion of the plots and tested the ability of the model to predict results on those plots). Figures 6-9 visualize the results of both the HL and HI analyses across groups 1 and 2 , respectively.

Variable importance in harvest probability model (RF)
Group 1 - Private forestland (New England)


Figure 6. Variable Importance in explaining harvest likelihood (binary of harvest versus no harvest) on FIA plots in Group 1 using the Random Forest model.

Variable importance in harvest probability model (RF)
Group 2 - Private forestland (New England)


Figure 7. Variable Importance in explaining harvest likelihood (binary of harvest versus no harvest) on FIA plots in Group 2 using the Random Forest model.

Variable importance in harvest intensity model (RF)
Group 1 - Private forestland (New England)


Figure 8. Variable Importance in explaining harvest intensity (cubic feet removed) on FIA plots in Group 1 using the Random Forest model. Model $\mathrm{R}^{2}$ is 19.7.

Variable importance in harvest intensity model (RF)
Group 2 - Private forestland (New England)


Figure 9. Variable Importance in explaining harvest intensity (cubic feet removed) on FIA plots in Group 2 using the Random Forest model. Model $R^{2}$ is 48.8.

## Cap Impact Testing and Selection

With an aim of informing potential 1) landowner and donor pool caps (i.e., cutoffs to program participation) or 2) tiered payment structures, we systematically assessed how caps (or cutoffs) on each covariate and groups of covariate caps influenced harvest intensity. Together with the FFCP team, the objective was to identify covariates 1) whose caps would have the greatest positive impact on predicted harvest intensity without unduly reducing the donor pool and 2) that would not be excessively difficult or costly to measure, with programmatic considerations in mind.

Our process was to first determine a starting point for cap assessment for each of the covariates (where harvest intensity sees notable increase across plots) and then to systematically assess their impact on $n$ and HI as those caps became systematically stricter and looser and across different covariate cap groupings (i.e., different combinations of caps being applied across a group of nine key covariates).
To determine the initial, or what we term $100 \%$, cap selections for each covariate, we used partial dependence plots (PDP), histograms, and knowledge about the FTGspecific harvesting practices (see Appendix I for all PDPs and initial caps selected for testing). The PDPs help visualize predicted HI at different levels of the covariate of interest (e.g., the shifts in harvest intensity as stocking increases), while the histograms help visualize the effect different potential caps would have on the donor pool (n). Combing these sources of information, we identified initial data-driven, theoretically relevant caps for testing across each of the covariates.
To determine the impact of the caps on predicted harvest intensity and $n$, we reran the random forest model on the subset of selected plots (i.e., those not eliminated by the caps) across 30 distinct variable groupings and calculated the predicted harvest intensity and $n$ based thereon.
The results of the caps analysis are shown in Tables $2-13$, below. When caps are set at 0\%, no cap has been applied to the donor pool; 100\% caps represent the initially determined cap. In order to show relative performance, the harvest intensity tables below display the percent change in predicted harvest intensity relative a reference point of applying the full (or 'ALL') cap grouping (i.e., applying the determined caps on all 9 variables). See Appendix II for additional tables showing absolute predicted harvest intensity by cap grouping and intensity. The HI impact results are sorted by average performance across each of the cap levels and color-coded to reflect the strength of each of the cap groupings across the varying cap intensities. Dark blue indicates the top cap grouping/s and lighter blue indicates the next ten best performers. Where fewer than 50 donor plots were available, the cell reads "U50" rather than presenting the relative harvest intensity.

## Maple/Beech/Birch—Group 1

Table 2. Impact of Diverse Cap Groupings on Predicted Harvest Intensity for Maple/Beech/Birch—Group 1, Shown Here as Harvest Intensity Relative to the Reference Point of Applying Caps on all Variables ('ALL').

## Impact of Caps on Harvest Intensity MBB - Group 1

| Grouping | $0 \%$ | $25 \%$ | $50 \%$ | $75 \%$ | $100 \%$ | $125 \%$ | $150 \%$ | AVG |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| QMD, Carb, BAA, Vol | $0 \%$ | $-1 \%$ | $-2 \%$ | $-2 \%$ | $3 \%$ | $16 \%$ | $91 \%$ | $18 \%$ |
| Carb, QMD, BAA | $0 \%$ | $-1 \%$ | $-2 \%$ | $-2 \%$ | $3 \%$ | $16 \%$ | $91 \%$ | $18 \%$ |
| AGB, Carb, BAA, QMD | $0 \%$ | $-1 \%$ | $-2 \%$ | $-2 \%$ | $2 \%$ | $16 \%$ | $87 \%$ | $17 \%$ |
| BAA \& QMD | $0 \%$ | $-2 \%$ | $-4 \%$ | $-4 \%$ | $0 \%$ | $14 \%$ | $88 \%$ | $15 \%$ |
| QMD, BAA, Vol | $0 \%$ | $-2 \%$ | $-4 \%$ | $-3 \%$ | $0 \%$ | $14 \%$ | $86 \%$ | $15 \%$ |
| AGB, Carb, BAA, VoI | $0 \%$ | $-1 \%$ | $-1 \%$ | $-2 \%$ | $0 \%$ | $12 \%$ | $73 \%$ | $14 \%$ |
| AGB, Carb, BAA | $0 \%$ | $-1 \%$ | $-3 \%$ | $-2 \%$ | $1 \%$ | $11 \%$ | $73 \%$ | $13 \%$ |
| BAA \& Carb | $0 \%$ | $-2 \%$ | $-2 \%$ | $-2 \%$ | $0 \%$ | $12 \%$ | $74 \%$ | $13 \%$ |
| QMD \& Vol | $0 \%$ | $-2 \%$ | $-5 \%$ | $-5 \%$ | $-1 \%$ | $14 \%$ | $79 \%$ | $13 \%$ |
| Carbon, AGB, RD, VoI | $1 \%$ | $-1 \%$ | $-1 \%$ | $-2 \%$ | $-2 \%$ | $10 \%$ | $70 \%$ | $13 \%$ |
| AGB \& Carbon | $0 \%$ | $-1 \%$ | $-4 \%$ | $-3 \%$ | $0 \%$ | $11 \%$ | $70 \%$ | $12 \%$ |
| Carbon | $0 \%$ | $-1 \%$ | $-3 \%$ | $-3 \%$ | $-1 \%$ | $10 \%$ | $71 \%$ | $12 \%$ |
| AGB \& QMD | $-1 \%$ | $-3 \%$ | $-8 \%$ | $-9 \%$ | $-4 \%$ | $15 \%$ | $74 \%$ | $11 \%$ |
| BAA \& Vol | $0 \%$ | $-2 \%$ | $-4 \%$ | $-4 \%$ | $-3 \%$ | $6 \%$ | $61 \%$ | $9 \%$ |
| AGB \& BAA | $0 \%$ | $-2 \%$ | $-5 \%$ | $-4 \%$ | $-3 \%$ | $6 \%$ | $61 \%$ | $9 \%$ |
| BAA \& St | $0 \%$ | $-2 \%$ | $-5 \%$ | $-4 \%$ | $-3 \%$ | $5 \%$ | $60 \%$ | $9 \%$ |
| AGB \& Vol | $0 \%$ | $-2 \%$ | $-6 \%$ | $-4 \%$ | $-2 \%$ | $6 \%$ | $59 \%$ | $9 \%$ |
| Vol | $0 \%$ | $-2 \%$ | $-5 \%$ | $-5 \%$ | $-3 \%$ | $6 \%$ | $61 \%$ | $9 \%$ |
| BAA | $0 \%$ | $-2 \%$ | $-5 \%$ | $-4 \%$ | $-3 \%$ | $5 \%$ | $60 \%$ | $8 \%$ |
| BAA \& RD | $-1 \%$ | $-1 \%$ | $-3 \%$ | $-5 \%$ | $-5 \%$ | $4 \%$ | $56 \%$ | $8 \%$ |
| QMD | $0 \%$ | $-6 \%$ | $-12 \%$ | $-15 \%$ | $-9 \%$ | $12 \%$ | $60 \%$ | $5 \%$ |
| AGB | $0 \%$ | $-3 \%$ | $-8 \%$ | $-10 \%$ | $-7 \%$ | $0 \%$ | $49 \%$ | $3 \%$ |
| AGB \& St | $0 \%$ | $-4 \%$ | $-8 \%$ | $-10 \%$ | $-7 \%$ | $0 \%$ | $49 \%$ | $3 \%$ |
| Lorey | $0 \%$ | $-5 \%$ | $-11 \%$ | $-14 \%$ | $-11 \%$ | $3 \%$ | $56 \%$ | $3 \%$ |
| RD | $-1 \%$ | $-4 \%$ | $-6 \%$ | $-9 \%$ | $-9 \%$ | $-3 \%$ | $42 \%$ | $2 \%$ |
| ALL | $0 \%$ | $0 \%$ | $0 \%$ | $0 \%$ | $0 \%$ | $0 \%$ | $U 50$ | $0 \%$ |
| Stocking | $0 \%$ | $-5 \%$ | $-11 \%$ | $-15 \%$ | $-16 \%$ | $-11 \%$ | $30 \%$ | $-5 \%$ |
| Slope | $0 \%$ | $-4 \%$ | $-11 \%$ | $-15 \%$ | $-16 \%$ | $-12 \%$ | $28 \%$ | $-5 \%$ |
| Elevation | $0 \%$ | $-6 \%$ | $-12 \%$ | $-15 \%$ | $-17 \%$ | $-20 \%$ | $1 \%$ | $-11 \%$ |
| Slope \& Elevation | $0 \%$ | $-5 \%$ | $-11 \%$ | $-15 \%$ | $-17 \%$ | $-19 \%$ | $-3 \%$ | $-12 \%$ |

Table 3. Impact of Diverse Cap Groupings on Plot Count ( $n$ ) for Maple/Beech/Birch—Group 1

> Impact of Caps on plot count $(n)$ MBB-Group 1

| Grouping | 0 \% | 25\% | $50 \%$ | 75\% | 100\% | 125\% | $150 \%$ |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| QMD, Carb, BAA, Vol | 933 | 882 | 830 | 759 | 665 | 380 | 75 |
| Carb, QMD, BAA | 933 | 882 | 830 | 759 | 665 | 380 | 75 |
| AGB, Carb, BAA, QMD | 933 | 883 | 834 | 761 | 665 | 380 | 75 |
| BAA \& QMD | 933 | 897 | 857 | 805 | 718 | 399 | 83 |
| QMD, BAA, Vol | 933 | 891 | 852 | 799 | 715 | 399 | 82 |
| AGB, Carb, BAA, Vol | 933 | 882 | 830 | 759 | 685 | 565 | 434 |
| AGB, Carb, BAA | 933 | 883 | 834 | 761 | 685 | 565 | 434 |
| BAA \& Carb | 933 | 883 | 834 | 761 | 685 | 565 | 434 |
| QMD \& Vol | 933 | 892 | 858 | 816 | 733 | 417 | 82 |
| Carbon, AGB, RD, Vol | 933 | 877 | 822 | 755 | 675 | 556 | 422 |
| AGB \& Carbon | 933 | 887 | 840 | 766 | 691 | 570 | 438 |
| Carbon | 933 | 887 | 840 | 766 | 691 | 570 | 438 |
| AGB \& QMD | 933 | 910 | 887 | 866 | 791 | 439 | 88 |
| BAA \& Vol | 933 | 891 | 852 | 799 | 740 | 663 | 592 |
| $A G B \& B A A$ | 933 | 896 | 857 | 805 | 746 | 673 | 596 |
| $B A A \& S t$ | 933 | 897 | 857 | 805 | 747 | 673 | 596 |
| AGB \& Vol | 933 | 892 | 857 | 816 | 758 | 696 | 633 |
| Vol | 933 | 892 | 858 | 816 | 758 | 696 | 633 |
| BAA | 933 | 897 | 857 | 805 | 747 | 673 | 596 |
| $B A A \& R D$ | 933 | 889 | 841 | 795 | 728 | 652 | 571 |
| QMD | 933 | 933 | 933 | 927 | 842 | 461 | 99 |
| AGB | 933 | 910 | 887 | 866 | 840 | 803 | 766 |
| A GB \& St | 933 | 910 | 887 | 866 | 840 | 803 | 766 |
| Lorey | 933 | 933 | 929 | 912 | 851 | 541 | 119 |
| RD | 933 | 903 | 865 | 842 | 796 | 750 | 702 |
| ALL | 933 | 873 | 809 | 716 | 539 | 154 | 5 |
| Stocking | 933 | 932 | 931 | 931 | 931 | 931 | 930 |
| Slope | 933 | 929 | 924 | 910 | 888 | 803 | 659 |
| Elevation | 933 | 933 | 932 | 927 | 842 | 544 | 240 |
| Slope \& Elevation | 933 | 929 | 923 | 905 | 807 | 466 | 175 |

## Maple/Beech/Birch—Group 2

Table 4. Impact of Diverse Cap Groupings on Predicted Harvest Intensity for Maple/Beech/Birch—Group 2, Shown Here as Harvest Intensity Relative to the Reference Point of Applying Caps on All Variables ('ALL').

## Impact of Caps on Harvest Intensity MBB - Group 2

| Grouping | $0 \%$ | $25 \%$ | $50 \%$ | $75 \%$ | $100 \%$ | $125 \%$ | $150 \%$ | AVG |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| QMD, BAA, Vol | $0 \%$ | $0 \%$ | $-1 \%$ | $2 \%$ | $3 \%$ | $11 \%$ | $-1 \%$ | $2 \%$ |
| QMD, Carb, BAA, Vol | $1 \%$ | $-1 \%$ | $-1 \%$ | $2 \%$ | $3 \%$ | $11 \%$ | $-2 \%$ | $2 \%$ |
| Carb, QMD, BAA | $0 \%$ | $-1 \%$ | $-2 \%$ | $1 \%$ | $2 \%$ | $11 \%$ | $-1 \%$ | $2 \%$ |
| AGB, Carb, BAA, QMD | $0 \%$ | $-1 \%$ | $-1 \%$ | $1 \%$ | $2 \%$ | $11 \%$ | $-2 \%$ | $2 \%$ |
| QMD \& Vol | $0 \%$ | $0 \%$ | $-1 \%$ | $1 \%$ | $3 \%$ | $11 \%$ | $-4 \%$ | $2 \%$ |
| BAA \& QMD | $0 \%$ | $-1 \%$ | $-2 \%$ | $1 \%$ | $2 \%$ | $11 \%$ | $-2 \%$ | $2 \%$ |
| ALL | $0 \%$ | $0 \%$ | $0 \%$ | $0 \%$ | $0 \%$ | $0 \%$ | $U 50$ | $0 \%$ |
| AGB \& QMD | $0 \%$ | $-2 \%$ | $-5 \%$ | $-5 \%$ | $-4 \%$ | $6 \%$ | $-7 \%$ | $-3 \%$ |
| BAA \& Vol | $0 \%$ | $-1 \%$ | $-1 \%$ | $2 \%$ | $3 \%$ | $2 \%$ | $-24 \%$ | $-3 \%$ |
| AGB, Carb, BAA, VoI | $0 \%$ | $0 \%$ | $-1 \%$ | $2 \%$ | $3 \%$ | $2 \%$ | $-25 \%$ | $-3 \%$ |
| Vol | $0 \%$ | $0 \%$ | $-1 \%$ | $1 \%$ | $3 \%$ | $2 \%$ | $-26 \%$ | $-4 \%$ |
| AGB \& Vol | $0 \%$ | $-1 \%$ | $-2 \%$ | $1 \%$ | $3 \%$ | $1 \%$ | $-27 \%$ | $-4 \%$ |
| BAA | $1 \%$ | $-1 \%$ | $-2 \%$ | $0 \%$ | $2 \%$ | $0 \%$ | $-26 \%$ | $-4 \%$ |
| BAA \& Carb | $0 \%$ | $-1 \%$ | $-2 \%$ | $1 \%$ | $2 \%$ | $0 \%$ | $-27 \%$ | $-4 \%$ |
| AGB, Carb, BAA | $1 \%$ | $-1 \%$ | $-2 \%$ | $1 \%$ | $2 \%$ | $0 \%$ | $-27 \%$ | $-5 \%$ |
| AGB \& BAA | $1 \%$ | $-1 \%$ | $-2 \%$ | $1 \%$ | $1 \%$ | $1 \%$ | $-27 \%$ | $-5 \%$ |
| BAA \& St | $0 \%$ | $-1 \%$ | $-2 \%$ | $0 \%$ | $1 \%$ | $0 \%$ | $-27 \%$ | $-5 \%$ |
| Carbon, AGB, RD, Vol | $0 \%$ | $0 \%$ | $-2 \%$ | $-2 \%$ | $-2 \%$ | $-3 \%$ | $-29 \%$ | $-7 \%$ |
| QMD | $0 \%$ | $-3 \%$ | $-8 \%$ | $-10 \%$ | $-10 \%$ | $1 \%$ | $-11 \%$ | $-7 \%$ |
| BAA \& RD | $0 \%$ | $0 \%$ | $-2 \%$ | $-3 \%$ | $-3 \%$ | $-4 \%$ | $-30 \%$ | $-7 \%$ |
| Carbon | $1 \%$ | $-2 \%$ | $-5 \%$ | $-4 \%$ | $-6 \%$ | $-8 \%$ | $-34 \%$ | $-10 \%$ |
| AGB | $0 \%$ | $-2 \%$ | $-5 \%$ | $-5 \%$ | $-5 \%$ | $-8 \%$ | $-34 \%$ | $-10 \%$ |
| AGB \& St | $0 \%$ | $-2 \%$ | $-5 \%$ | $-5 \%$ | $-6 \%$ | $-8 \%$ | $-35 \%$ | $-10 \%$ |
| AGB \& Carbon | $1 \%$ | $-2 \%$ | $-5 \%$ | $-5 \%$ | $-6 \%$ | $-8 \%$ | $-34 \%$ | $-10 \%$ |
| Lorey | $0 \%$ | $-3 \%$ | $-6 \%$ | $-9 \%$ | $-13 \%$ | $-15 \%$ | $-36 \%$ | $-14 \%$ |
| RD | $0 \%$ | $-2 \%$ | $-6 \%$ | $-10 \%$ | $-14 \%$ | $-17 \%$ | $-41 \%$ | $-15 \%$ |
| SIope | $0 \%$ | $-3 \%$ | $-7 \%$ | $-9 \%$ | $-13 \%$ | $-18 \%$ | $-43 \%$ | $-16 \%$ |
| Stocking | $0 \%$ | $-3 \%$ | $-8 \%$ | $-10 \%$ | $-14 \%$ | $-18 \%$ | $-43 \%$ | $-16 \%$ |
| Stocking \& Elevation | $0 \%$ | $-3 \%$ | $-7 \%$ | $-9 \%$ | $-13 \%$ | $-23 \%$ | $-53 \%$ | $-18 \%$ |
| Elevation | $0 \%$ | $-3 \%$ | $-7 \%$ | $-9 \%$ | $-13 \%$ | $-23 \%$ | $-52 \%$ | $-18 \%$ |

Table 5. Impact of Diverse Cap Groupings on Plot Count ( $n$ ) for Maple/Beech/Birch—Group 2

> Impact of Caps on plot count $(n)$ $M B B-G r o u p 2$

| Grouping | 0 \% | 25\% | $50 \%$ | 75\% | $100 \%$ | 125\% | 150 \% |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| QMD, BAA, Vol | 644 | 619 | 579 | 529 | 465 | 305 | 87 |
| QMD, Carb, BAA, Vol | 644 | 619 | 579 | 529 | 463 | 305 | 87 |
| Carb, QMD, BAA | 644 | 623 | 587 | 542 | 477 | 308 | 87 |
| AGB, Carb, BAA, QMD | 644 | 623 | 587 | 542 | 477 | 308 | 87 |
| QMD \& Vol | 644 | 619 | 584 | 536 | 472 | 316 | 94 |
| BAA \& QMD | 644 | 623 | 590 | 543 | 480 | 308 | 87 |
| ALL | 644 | 614 | 571 | 502 | 401 | 182 | 15 |
| AGB \& QMD | 644 | 636 | 620 | 598 | 556 | 357 | 99 |
| BAA \& Vol | 644 | 619 | 579 | 529 | 470 | 404 | 338 |
| AGB, Carb, BAA, Vol | 644 | 619 | 579 | 529 | 468 | 404 | 337 |
| Vol | 644 | 619 | 584 | 536 | 477 | 416 | 359 |
| AGB \& Vol | 644 | 619 | 584 | 536 | 475 | 416 | 358 |
| BAA | 644 | 623 | 590 | 543 | 486 | 420 | 352 |
| BAA \& Carb | 644 | 623 | 587 | 542 | 483 | 420 | 350 |
| AGB, Carb, BAA | 644 | 623 | 587 | 542 | 483 | 420 | 350 |
| $A G B$ \& BAA | 644 | 623 | 587 | 542 | 483 | 420 | 350 |
| $B A A \& S t$ | 644 | 623 | 589 | 542 | 485 | 419 | 351 |
| Carbon, AGB, RD, Vol | 644 | 617 | 582 | 528 | 455 | 399 | 340 |
| QMD | 644 | 644 | 644 | 643 | 610 | 385 | 109 |
| BAA \& RD | 644 | 621 | 587 | 534 | 466 | 402 | 333 |
| Carbon | 644 | 636 | 620 | 598 | 567 | 539 | 489 |
| AGB | 644 | 636 | 620 | 598 | 567 | 539 | 489 |
| AGB \& St | 644 | 636 | 619 | 597 | 566 | 538 | 488 |
| AGB \& Carbon | 644 | 636 | 620 | 598 | 567 | 539 | 489 |
| Lorey | 644 | 643 | 637 | 627 | 620 | 563 | 362 |
| RD | 644 | 637 | 624 | 605 | 573 | 542 | 503 |
| Slope | 644 | 641 | 640 | 635 | 624 | 602 | 536 |
| Stocking | 644 | 644 | 643 | 643 | 643 | 643 | 643 |
| Stocking \& Elevation | 644 | 641 | 640 | 630 | 586 | 461 | 278 |
| Elevation | 644 | 644 | 644 | 639 | 604 | 488 | 307 |

## Oak/Hickory

Table 6. Impact of Diverse Cap Groupings on Predicted Harvest Intensity for Oak/Hickory, Shown Here as Harvest Intensity Relative to the Reference Point of Applying Caps on all Variables ('ALL').

## Impact of Caps on Harvest Intensity Oak/Hickory

| Grouping | $0 \%$ | $25 \%$ | $50 \%$ | $75 \%$ | $100 \%$ | $125 \%$ | $150 \%$ | AVG |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| AGB, Carb, BAA | $-2 \%$ | $-2 \%$ | $-6 \%$ | $-1 \%$ | $3 \%$ | $-11 \%$ | $27 \%$ | $2 \%$ |
| BAA \& Carb | $0 \%$ | $-1 \%$ | $-5 \%$ | $-1 \%$ | $3 \%$ | $-12 \%$ | $28 \%$ | $2 \%$ |
| AGB, Carb, BAA, Vol | $-1 \%$ | $0 \%$ | $-4 \%$ | $-2 \%$ | $2 \%$ | $-11 \%$ | $27 \%$ | $2 \%$ |
| Carbon, AGB, RD, Vol | $0 \%$ | $-1 \%$ | $-3 \%$ | $-1 \%$ | $0 \%$ | $-13 \%$ | $21 \%$ | $1 \%$ |
| AGB \& Carbon | $0 \%$ | $-1 \%$ | $-6 \%$ | $-2 \%$ | $2 \%$ | $-11 \%$ | $20 \%$ | $0 \%$ |
| ALL | $0 \%$ | $0 \%$ | $0 \%$ | $0 \%$ | $0 \%$ | $0 \%$ | $U 50$ | $0 \%$ |
| Carbon | $0 \%$ | $-2 \%$ | $-6 \%$ | $-3 \%$ | $1 \%$ | $-12 \%$ | $20 \%$ | $0 \%$ |
| AGB \& QMD | $-1 \%$ | $-3 \%$ | $-11 \%$ | $-10 \%$ | $-5 \%$ | $-9 \%$ | $32 \%$ | $-1 \%$ |
| AGB \& BAA | $-1 \%$ | $-2 \%$ | $-6 \%$ | $-2 \%$ | $0 \%$ | $-13 \%$ | $15 \%$ | $-1 \%$ |
| BAA \& VoI | $-1 \%$ | $-2 \%$ | $-5 \%$ | $-2 \%$ | $0 \%$ | $-14 \%$ | $17 \%$ | $-1 \%$ |
| BAA | $-1 \%$ | $-2 \%$ | $-6 \%$ | $-2 \%$ | $0 \%$ | $-14 \%$ | $16 \%$ | $-1 \%$ |
| BAA \& St | $-1 \%$ | $-3 \%$ | $-7 \%$ | $-2 \%$ | $0 \%$ | $-14 \%$ | $17 \%$ | $-2 \%$ |
| AGB, Carb, BAA, QMD | $0 \%$ | $-1 \%$ | $-5 \%$ | $-2 \%$ | $2 \%$ | $-2 \%$ | $U 50$ | $-2 \%$ |
| QMD, Carb, BAA, Vol | $-1 \%$ | $-1 \%$ | $-5 \%$ | $-2 \%$ | $3 \%$ | $-4 \%$ | $U 50$ | $-2 \%$ |
| Carb, QMD, BAA | $-1 \%$ | $-1 \%$ | $-5 \%$ | $-2 \%$ | $3 \%$ | $-4 \%$ | $U 50$ | $-2 \%$ |
| BAA \& RD | $0 \%$ | $-2 \%$ | $-2 \%$ | $-4 \%$ | $-4 \%$ | $-15 \%$ | $15 \%$ | $-2 \%$ |
| BAA \& QMD | $-1 \%$ | $-2 \%$ | $-7 \%$ | $-2 \%$ | $1 \%$ | $-6 \%$ | $U 50$ | $-3 \%$ |
| QMD, BAA, Vol | $0 \%$ | $-1 \%$ | $-6 \%$ | $-2 \%$ | $0 \%$ | $-6 \%$ | $U 50$ | $-3 \%$ |
| AGB \& Vol | $0 \%$ | $-2 \%$ | $-9 \%$ | $-4 \%$ | $-3 \%$ | $-13 \%$ | $11 \%$ | $-3 \%$ |
| Vol | $-1 \%$ | $-1 \%$ | $-10 \%$ | $-5 \%$ | $-5 \%$ | $-14 \%$ | $13 \%$ | $-4 \%$ |
| QMD \& Vol | $-1 \%$ | $-2 \%$ | $-8 \%$ | $-5 \%$ | $-6 \%$ | $-5 \%$ | $U 50$ | $-5 \%$ |
| QMD | $-1 \%$ | $-5 \%$ | $-15 \%$ | $-16 \%$ | $-11 \%$ | $-14 \%$ | $26 \%$ | $-6 \%$ |
| AGB | $-1 \%$ | $-3 \%$ | $-12 \%$ | $-10 \%$ | $-6 \%$ | $-18 \%$ | $5 \%$ | $-7 \%$ |
| AGB \& St | $0 \%$ | $-4 \%$ | $-11 \%$ | $-10 \%$ | $-7 \%$ | $-18 \%$ | $5 \%$ | $-8 \%$ |
| RD | $-1 \%$ | $-4 \%$ | $-8 \%$ | $-7 \%$ | $-8 \%$ | $-22 \%$ | $2 \%$ | $-8 \%$ |
| Lorey | $0 \%$ | $-4 \%$ | $-14 \%$ | $-16 \%$ | $-12 \%$ | $-19 \%$ | $-5 \%$ | $-12 \%$ |
| SIope \& Elevation | $-1 \%$ | $-5 \%$ | $-14 \%$ | $-15 \%$ | $-14 \%$ | $-23 \%$ | $-12 \%$ | $-14 \%$ |
| Slope | $-1 \%$ | $-4 \%$ | $-14 \%$ | $-16 \%$ | $-16 \%$ | $-26 \%$ | $-7 \%$ | $-14 \%$ |
| Elevation | $0 \%$ | $-5 \%$ | $-15 \%$ | $-16 \%$ | $-14 \%$ | $-26 \%$ | $-12 \%$ | $-15 \%$ |
| Stocking | $-2 \%$ | $-6 \%$ | $-15 \%$ | $-16 \%$ | $-17 \%$ | $-28 \%$ | $-9 \%$ | $-15 \%$ |

Table 7. Impact of Diverse Cap Groupings on Plot Count (n) for Oak/Hickory
Impact of Caps on plot count ( $n$ )
Oak/Hickory

| Grouping | $0 \%$ | $25 \%$ | $50 \%$ | $75 \%$ | $100 \%$ | $125 \%$ | $150 \%$ |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| AGB, Carb, BAA | 388 | 362 | 327 | 300 | 260 | 213 | 164 |
| BAA \& Carb | 388 | 362 | 327 | 300 | 260 | 213 | 164 |
| AGB, Carb, BAA, Vol | 388 | 360 | 324 | 299 | 259 | 213 | 163 |
| Carbon, AGB, RD, Vol | 388 | 354 | 318 | 295 | 256 | 214 | 165 |
| AGB \& Carbon | 388 | 363 | 332 | 301 | 261 | 216 | 170 |
| ALL | 388 | 353 | 312 | 284 | 220 | 104 | 17 |
| Carbon | 388 | 363 | 332 | 301 | 261 | 216 | 170 |
| AGB \& QMD | 388 | 374 | 363 | 350 | 299 | 189 | 54 |
| AGB \& BAA | 388 | 369 | 344 | 313 | 292 | 258 | 218 |
| BAA \& Vol | 388 | 364 | 336 | 308 | 285 | 253 | 210 |
| BAA | 388 | 369 | 344 | 313 | 292 | 258 | 218 |
| BAA \& St | 388 | 368 | 343 | 312 | 292 | 258 | 218 |
| AGB, Carb, BAA, QMD | 388 | 362 | 327 | 300 | 249 | 147 | 36 |
| QMD, Carb, BAA, Vol | 388 | 360 | 324 | 299 | 249 | 147 | 36 |
| Carb, QMD, BAA | 388 | 360 | 324 | 299 | 249 | 147 | 36 |
| BAA \& RD | 388 | 362 | 330 | 305 | 283 | 254 | 209 |
| BAA \& QMD | 388 | 369 | 344 | 313 | 273 | 162 | 41 |
| QMD, BAA, Vol | 388 | 364 | 336 | 308 | 270 | 161 | 41 |
| AGB \& Vol | 388 | 364 | 339 | 312 | 292 | 264 | 231 |
| Vol | 388 | 364 | 339 | 312 | 292 | 264 | 231 |

## Spruce/Fir

Table 8. Impact of Diverse Cap Groupings on Predicted Harvest Intensity for Spruce/Fir, Shown Here as Harvest Intensity Relative to the Reference Point of Applying Caps on all Variables ('ALL').

## Impact of Caps on Harvest Intensity Spruce/ Fir

| Grouping | $0 \%$ | $25 \%$ | $50 \%$ | $75 \%$ | $100 \%$ | $125 \%$ | $150 \%$ | AVG |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| QMD, Carb, BAA, Vol | $0 \%$ | $-1 \%$ | $0 \%$ | $5 \%$ | $9 \%$ | $U 50$ | $U 50$ | $3 \%$ |
| QMD, BAA, Vol | $0 \%$ | $-1 \%$ | $-1 \%$ | $5 \%$ | $9 \%$ | U50 | U50 | $3 \%$ |
| QMD \& Vol | $0 \%$ | $-1 \%$ | $-2 \%$ | $5 \%$ | $7 \%$ | $U 50$ | $U 50$ | $2 \%$ |
| AGB, Carb, BAA, QMD | $0 \%$ | $-3 \%$ | $-2 \%$ | $2 \%$ | $6 \%$ | $U 50$ | $U 50$ | $1 \%$ |
| BAA \& QMD | $0 \%$ | $-4 \%$ | $-4 \%$ | $2 \%$ | $5 \%$ | $4 \%$ | $U 50$ | $1 \%$ |
| Carb, QMD, BAA | $1 \%$ | $-4 \%$ | $-3 \%$ | $1 \%$ | $6 \%$ | $U 50$ | $U 50$ | $0 \%$ |
| ALL | $0 \%$ | $0 \%$ | $0 \%$ | $0 \%$ | $0 \%$ | $U 50$ | $U 50$ | $0 \%$ |
| AGB, Carb, BAA, VoI | $1 \%$ | $-1 \%$ | $-1 \%$ | $5 \%$ | $6 \%$ | $-1 \%$ | $-18 \%$ | $-2 \%$ |
| BAA \& Vo। | $0 \%$ | $-1 \%$ | $-1 \%$ | $5 \%$ | $4 \%$ | $-3 \%$ | $-16 \%$ | $-2 \%$ |
| AGB \& QMD | $1 \%$ | $-7 \%$ | $-8 \%$ | $-1 \%$ | $4 \%$ | $1 \%$ | $U 50$ | $-2 \%$ |
| AGB \& VoI | $-1 \%$ | $-1 \%$ | $-1 \%$ | $4 \%$ | $5 \%$ | $-2 \%$ | $-19 \%$ | $-2 \%$ |
| Vol | $0 \%$ | $0 \%$ | $-2 \%$ | $4 \%$ | $5 \%$ | $-2 \%$ | $-18 \%$ | $-2 \%$ |
| AGB \& BAA | $0 \%$ | $-3 \%$ | $-3 \%$ | $2 \%$ | $4 \%$ | $-2 \%$ | $-21 \%$ | $-4 \%$ |
| AGB, Carb, BAA | $0 \%$ | $-3 \%$ | $-3 \%$ | $1 \%$ | $2 \%$ | $-2 \%$ | $-21 \%$ | $-4 \%$ |
| BAA \& Carb | $0 \%$ | $-4 \%$ | $-3 \%$ | $2 \%$ | $2 \%$ | $-3 \%$ | $-21 \%$ | $-4 \%$ |
| BAA \& St | $2 \%$ | $-3 \%$ | $-3 \%$ | $3 \%$ | $1 \%$ | $-7 \%$ | $-21 \%$ | $-5 \%$ |
| BAA | $1 \%$ | $-4 \%$ | $-4 \%$ | $1 \%$ | $2 \%$ | $-8 \%$ | $-21 \%$ | $-6 \%$ |
| Carbon, AGB, RD, Vol | $0 \%$ | $0 \%$ | $-2 \%$ | $-2 \%$ | $-2 \%$ | $-8 \%$ | $-24 \%$ | $-6 \%$ |
| AGB \& St | $0 \%$ | $-7 \%$ | $-7 \%$ | $-2 \%$ | $0 \%$ | $-8 \%$ | $-26 \%$ | $-8 \%$ |
| Carbon | $0 \%$ | $-7 \%$ | $-8 \%$ | $-2 \%$ | $-1 \%$ | $-7 \%$ | $-26 \%$ | $-8 \%$ |
| AGB \& Carbon | $0 \%$ | $-7 \%$ | $-8 \%$ | $-2 \%$ | $-1 \%$ | $-8 \%$ | $-24 \%$ | $-8 \%$ |
| AGB | $0 \%$ | $-7 \%$ | $-8 \%$ | $-2 \%$ | $-1 \%$ | $-9 \%$ | $-25 \%$ | $-9 \%$ |
| BAA \& RD | $1 \%$ | $-2 \%$ | $-4 \%$ | $-5 \%$ | $-7 \%$ | $-12 \%$ | $-28 \%$ | $-10 \%$ |
| QMD | $0 \%$ | $-9 \%$ | $-15 \%$ | $-16 \%$ | $-11 \%$ | $-11 \%$ | U50 | $-13 \%$ |
| Lorey | $1 \%$ | $-10 \%$ | $-14 \%$ | $-14 \%$ | $-19 \%$ | $-24 \%$ | $-29 \%$ | $-18 \%$ |
| RD | $0 \%$ | $-7 \%$ | $-10 \%$ | $-15 \%$ | $-21 \%$ | $-27 \%$ | $-42 \%$ | $-20 \%$ |
| Stocking | $0 \%$ | $-9 \%$ | $-15 \%$ | $-16 \%$ | $-21 \%$ | $-30 \%$ | $-44 \%$ | $-22 \%$ |
| Elevation | $1 \%$ | $-9 \%$ | $-13 \%$ | $-16 \%$ | $-21 \%$ | $-30 \%$ | $-46 \%$ | $-23 \%$ |
| SIope \& Elevation | $1 \%$ | $-8 \%$ | $-14 \%$ | $-16 \%$ | $-21 \%$ | $-31 \%$ | $-47 \%$ | $-23 \%$ |
| Slope | $1 \%$ | $-10 \%$ | $-15 \%$ | $-17 \%$ | $-22 \%$ | $-31 \%$ | $-45 \%$ | $-23 \%$ |

Table 9. Impact of Diverse Cap Groupings on Plot Count (n) for Spruce/Fir
Impact of Caps on plot count ( $n$ )
Spruce/ Fir

| Grouping | 0 \% | 25\% | $50 \%$ | 75\% | $100 \%$ | 125\% | 150 \% |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| QMD, Carb, BAA, Vol | 246 | 214 | 184 | 160 | 129 | 48 | 7 |
| QMD, BAA, Vol | 246 | 214 | 185 | 162 | 130 | 49 | 7 |
| QMD \& Vol | 246 | 214 | 186 | 162 | 130 | 49 | 8 |
| AGB, Carb, BAA, QMD | 246 | 222 | 194 | 170 | 132 | 49 | 7 |
| BAA \& QMD | 246 | 223 | 197 | 175 | 139 | 52 | 7 |
| Carb, QMD, BAA | 246 | 222 | 194 | 170 | 132 | 49 | 7 |
| ALL | 246 | 212 | 182 | 151 | 114 | 36 | 3 |
| AGB, Carb, BAA, Vol | 246 | 214 | 184 | 160 | 139 | 117 | 89 |
| BAA \& Vol | 246 | 214 | 185 | 162 | 140 | 120 | 90 |
| AGB \& QMD | 246 | 237 | 212 | 182 | 139 | 51 | 7 |
| AGB \& Vol | 246 | 214 | 184 | 160 | 139 | 118 | 90 |
| Vol | 246 | 214 | 186 | 162 | 140 | 121 | 92 |
| $A G B$ \& BAA | 246 | 222 | 194 | 170 | 146 | 124 | 100 |
| AGB, Carb, BAA | 246 | 222 | 194 | 170 | 146 | 124 | 100 |
| BAA \& Carb | 246 | 222 | 194 | 170 | 146 | 124 | 100 |
| BAA \& St | 246 | 223 | 197 | 175 | 154 | 134 | 106 |
| BAA | 246 | 223 | 197 | 175 | 154 | 134 | 106 |
| Carbon, AGB, RD, Vol | 246 | 212 | 183 | 158 | 135 | 115 | 84 |
| AGB \& St | 246 | 237 | 212 | 182 | 157 | 141 | 113 |
| Carbon | 246 | 237 | 212 | 182 | 157 | 141 | 113 |
| AGB \& Carbon | 246 | 237 | 212 | 182 | 157 | 141 | 113 |
| AGB | 246 | 237 | 212 | 182 | 157 | 141 | 113 |
| $B A A \& R D$ | 246 | 220 | 194 | 172 | 150 | 130 | 100 |
| QMD | 246 | 246 | 246 | 245 | 190 | 75 | 16 |
| Lorey | 246 | 246 | 245 | 236 | 230 | 197 | 125 |
| RD | 246 | 235 | 223 | 212 | 199 | 189 | 162 |
| Stocking | 246 | 246 | 246 | 246 | 246 | 246 | 246 |
| Elevation | 246 | 246 | 245 | 241 | 237 | 209 | 138 |
| Slope \& Elevation | 246 | 246 | 245 | 241 | 234 | 205 | 135 |
| Slope | 246 | 246 | 246 | 246 | 242 | 240 | 237 |

## Pine-Group 1

Table 10. Impact of Diverse Cap Groupings on Predicted Harvest Intensity for Pine-Group 1, Shown Here as Harvest Intensity Relative to the Reference Point of Applying Caps on all Variables ('ALL').

## Impact of Caps on Harvest Intensity <br> Pine - Group 1

| Grouping | $0 \%$ | $25 \%$ | $50 \%$ | $75 \%$ | $100 \%$ | $125 \%$ | $150 \%$ | AVG |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| BAA \& Vol | $0 \%$ | $-5 \%$ | $-8 \%$ | $-7 \%$ | $-7 \%$ | $12 \%$ | $116 \%$ | $17 \%$ |
| BAA \& St | $0 \%$ | $-6 \%$ | $-10 \%$ | $-8 \%$ | $-6 \%$ | $10 \%$ | $118 \%$ | $16 \%$ |
| AGB \& BAA | $-1 \%$ | $-5 \%$ | $-10 \%$ | $-8 \%$ | $-7 \%$ | $11 \%$ | $116 \%$ | $16 \%$ |
| AGB, Carb, BAA | $1 \%$ | $-6 \%$ | $-9 \%$ | $-7 \%$ | $-7 \%$ | $8 \%$ | $112 \%$ | $15 \%$ |
| BAA | $2 \%$ | $-5 \%$ | $-10 \%$ | $-8 \%$ | $-8 \%$ | $9 \%$ | $112 \%$ | $15 \%$ |
| AGB, Carb, BAA, Vol | $1 \%$ | $-5 \%$ | $-10 \%$ | $-8 \%$ | $-6 \%$ | $8 \%$ | $110 \%$ | $15 \%$ |
| BAA \& Carb | $-1 \%$ | $-6 \%$ | $-10 \%$ | $-7 \%$ | $-7 \%$ | $8 \%$ | $111 \%$ | $15 \%$ |
| AGB \& Carbon | $1 \%$ | $-7 \%$ | $-13 \%$ | $-8 \%$ | $-9 \%$ | $1 \%$ | $77 \%$ | $7 \%$ |
| Carbon | $0 \%$ | $-6 \%$ | $-13 \%$ | $-9 \%$ | $-11 \%$ | $-1 \%$ | $78 \%$ | $6 \%$ |
| AGB \& Vol | $0 \%$ | $-5 \%$ | $-14 \%$ | $-13 \%$ | $-15 \%$ | $1 \%$ | $77 \%$ | $5 \%$ |
| AGB \& St | $0 \%$ | $-6 \%$ | $-14 \%$ | $-14 \%$ | $-16 \%$ | $1 \%$ | $79 \%$ | $5 \%$ |
| AGB | $0 \%$ | $-6 \%$ | $-14 \%$ | $-14 \%$ | $-15 \%$ | $-1 \%$ | $77 \%$ | $4 \%$ |
| Vol | $0 \%$ | $-7 \%$ | $-15 \%$ | $-14 \%$ | $-18 \%$ | $-4 \%$ | $81 \%$ | $4 \%$ |
| QMD \& Vol | $-1 \%$ | $-7 \%$ | $-14 \%$ | $-14 \%$ | $-18 \%$ | $0 \%$ | $56 \%$ | $0 \%$ |
| ALL | $0 \%$ | $0 \%$ | $0 \%$ | $0 \%$ | $0 \%$ | $0 \%$ | $U 50$ | $0 \%$ |
| BAA \& QMD | $0 \%$ | $-5 \%$ | $-9 \%$ | $-7 \%$ | $-6 \%$ | $11 \%$ | $U 50$ | $-3 \%$ |
| QMD, Carb, BAA, Vol | $0 \%$ | $-5 \%$ | $-9 \%$ | $-6 \%$ | $-5 \%$ | $10 \%$ | $U 50$ | $-3 \%$ |
| Carb, QMD, BAA | $0 \%$ | $-5 \%$ | $-9 \%$ | $-6 \%$ | $-5 \%$ | $10 \%$ | $U 50$ | $-3 \%$ |
| AGB, Carb, BAA, QMD | $0 \%$ | $-6 \%$ | $-9 \%$ | $-7 \%$ | $-5 \%$ | $9 \%$ | $U 50$ | $-4 \%$ |
| QMD, BAA, Vol | $0 \%$ | $-5 \%$ | $-9 \%$ | $-7 \%$ | $-6 \%$ | $9 \%$ | $U 50$ | $-4 \%$ |
| BAA \& RD | $1 \%$ | $-4 \%$ | $-6 \%$ | $-4 \%$ | $-5 \%$ | $-10 \%$ | $U 50$ | $-6 \%$ |
| Elevation | $1 \%$ | $-9 \%$ | $-17 \%$ | $-20 \%$ | $-21 \%$ | $-17 \%$ | $44 \%$ | $-7 \%$ |

Table 11. Impact of Diverse Cap Groupings on Plot Count ( $n$ ) for Pine-Group 1
Impact of Caps on plot count ( $n$ )
Pine - Group 1

| Grouping | $0 \%$ | $25 \%$ | $50 \%$ | $75 \%$ | $100 \%$ | $125 \%$ | $150 \%$ |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| BAA \& Vol | 184 | 177 | 154 | 132 | 106 | 80 | 55 |
| BAA \& St | 184 | 177 | 154 | 132 | 106 | 80 | 55 |
| AGB \& BAA | 184 | 176 | 154 | 132 | 106 | 80 | 55 |
| AGB, Carb, BAA | 184 | 176 | 154 | 131 | 106 | 80 | 54 |
| BAA | 184 | 177 | 154 | 132 | 106 | 80 | 55 |
| AGB, Carb, BAA, Vol | 184 | 176 | 154 | 131 | 106 | 80 | 54 |
| BAA \& Carb | 184 | 176 | 154 | 131 | 106 | 80 | 54 |
| AGB \& Carbon | 184 | 178 | 166 | 141 | 122 | 101 | 81 |
| Carbon | 184 | 178 | 166 | 141 | 122 | 101 | 81 |
| AGB \& Vol | 184 | 177 | 165 | 148 | 128 | 111 | 91 |
| AGB \& St | 184 | 178 | 169 | 152 | 130 | 113 | 93 |
| AGB | 184 | 178 | 169 | 152 | 130 | 113 | 93 |
| Vol | 184 | 178 | 168 | 153 | 139 | 120 | 103 |
| QMD \& Vol | 184 | 178 | 168 | 153 | 137 | 102 | 52 |
| ALL | 184 | 161 | 131 | 100 | 69 | 28 | 4 |
| BAA \& QMD | 184 | 177 | 154 | 132 | 105 | 71 | 34 |
| QMD, Carb, BAA, Vol | 184 | 176 | 154 | 131 | 105 | 71 | 33 |
| Carb, QMD, BAA | 184 | 176 | 154 | 131 | 105 | 71 | 33 |
| AGB, Carb, BAA, QMD | 184 | 176 | 154 | 131 | 105 | 71 | 33 |
| QMD, BAA, Vol | 184 | 177 | 154 | 132 | 105 | 71 | 34 |
| BAA \& RD | 184 | 168 | 141 | 119 | 86 | 60 | 32 |
| Elevation | 184 | 180 | 173 | 158 | 144 | 123 | 106 |
| Carbon, AGB, RD, Vol | 184 | 168 | 145 | 120 | 94 | 64 | 36 |
| RD | 184 | 170 | 148 | 122 | 96 | 68 | 40 |
| AGB \& QMD | 184 | 178 | 169 | 152 | 127 | 95 | 47 |
| SIope \& Elevation | 184 | 178 | 171 | 155 | 140 | 112 | 81 |
| Lorey | 184 | 184 | 183 | 182 | 171 | 128 | 59 |
| QMD | 184 | 184 | 184 | 184 | 174 | 136 | 75 |
| Stocking | 184 | 183 | 183 | 183 | 183 | 183 | 183 |
| Slope | 184 | 182 | 182 | 181 | 176 | 167 | 144 |

## Pine-Group 2

Table 12. Impact of Diverse Cap Groupings on Predicted Harvest Intensity for Pine—Group 2, Shown Here as Harvest Intensity Relative to the Reference Point of Applying Caps on all Variables ('ALL').

## Impact of Caps on Harvest Intensity Pine - Group 2

| Grouping | $0 \%$ | $25 \%$ | $50 \%$ | $75 \%$ | $100 \%$ | $125 \%$ | $150 \%$ | AVG |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| ALL | $0 \%$ | $0 \%$ | $0 \%$ | $U 50$ | $U 50$ | $U 50$ | $N A$ | $0 \%$ |
| AGB \& BAA | $-1 \%$ | $-2 \%$ | $-6 \%$ | $-18 \%$ | $U 50$ | $U 50$ | $N A$ | $-9 \%$ |
| QMD, Carb, BAA, Vol | $1 \%$ | $-3 \%$ | $-6 \%$ | $-17 \%$ | $U 50$ | $U 50$ | $N A$ | $-9 \%$ |
| BAA \& St | $-1 \%$ | $-3 \%$ | $-5 \%$ | $-18 \%$ | $U 50$ | $U 50$ | $N A$ | $-9 \%$ |
| QMD, BAA, VoI | $0 \%$ | $-2 \%$ | $-5 \%$ | $-18 \%$ | $U 50$ | $U 50$ | $N A$ | $-9 \%$ |
| BAA | $0 \%$ | $-3 \%$ | $-5 \%$ | $-18 \%$ | $U 50$ | $U 50$ | $N A$ | $-9 \%$ |
| AGB, Carb, BAA, VoI | $1 \%$ | $-2 \%$ | $-6 \%$ | $-18 \%$ | $U 50$ | $U 50$ | $N A$ | $-9 \%$ |
| BAA \& Carb | $1 \%$ | $-3 \%$ | $-5 \%$ | $-19 \%$ | $U 50$ | $U 50$ | $N A$ | $-9 \%$ |
| BAA \& Vol | $0 \%$ | $-3 \%$ | $-5 \%$ | $-18 \%$ | $U 50$ | $U 50$ | $N A$ | $-9 \%$ |
| AGB, Carb, BAA, QMD | $-1 \%$ | $-3 \%$ | $-6 \%$ | $-18 \%$ | $U 50$ | $U 50$ | $N A$ | $-9 \%$ |
| Carb, QMD, BAA | $0 \%$ | $-3 \%$ | $-5 \%$ | $-18 \%$ | $U 50$ | $U 50$ | $N A$ | $-9 \%$ |
| BAA \& RD | $1 \%$ | $-1 \%$ | $-7 \%$ | $-19 \%$ | $U 50$ | $U 50$ | $N A$ | $-9 \%$ |
| BAA \& QMD | $1 \%$ | $-3 \%$ | $-6 \%$ | $-19 \%$ | $U 50$ | $U 50$ | $N A$ | $-9 \%$ |
| AGB, Carb, BAA | $1 \%$ | $-3 \%$ | $-6 \%$ | $-19 \%$ | $U 50$ | $U 50$ | $N A$ | $-9 \%$ |
| Elevation | $0 \%$ | $-7 \%$ | $-12 \%$ | $U 50$ | $U 50$ | $U 50$ | $N A$ | $-10 \%$ |
| SIope \& Elevation | $0 \%$ | $-7 \%$ | $-14 \%$ | $U 50$ | $U 50$ | $U 50$ | $N A$ | $-10 \%$ |
| Carbon, AGB, RD, VoI | $1 \%$ | $-3 \%$ | $-9 \%$ | $-22 \%$ | $U 50$ | $U 50$ | $N A$ | $-11 \%$ |
| AGB \& Vol | $0 \%$ | $-4 \%$ | $-7 \%$ | $-21 \%$ | $-13 \%$ | $U 50$ | $N A$ | $-11 \%$ |
| QMD \& Vol | $1 \%$ | $-4 \%$ | $-8 \%$ | $-20 \%$ | $-15 \%$ | $U 50$ | $N A$ | $-12 \%$ |
| AGB | $-1 \%$ | $-2 \%$ | $-10 \%$ | $-21 \%$ | $-14 \%$ | $U 50$ | $N A$ | $-12 \%$ |
| AGB \& St | $0 \%$ | $-3 \%$ | $-10 \%$ | $-22 \%$ | $-13 \%$ | $U 50$ | $N A$ | $-12 \%$ |
| Vol | $0 \%$ | $-3 \%$ | $-9 \%$ | $-22 \%$ | $-15 \%$ | $U 50$ | $N A$ | $-12 \%$ |
| AGB \& Carbon | $1 \%$ | $-2 \%$ | $-10 \%$ | $-21 \%$ | $-15 \%$ | $U 50$ | $N A$ | $-12 \%$ |
| AGB \& QMD | $1 \%$ | $-4 \%$ | $-10 \%$ | $-22 \%$ | $-14 \%$ | $U 50$ | $N A$ | $-12 \%$ |
| Carbon | $0 \%$ | $-5 \%$ | $-11 \%$ | $-22 \%$ | $-19 \%$ | $U 50$ | $N A$ | $-14 \%$ |
| RD | $0 \%$ | $-3 \%$ | $-13 \%$ | $-26 \%$ | $-27 \%$ | $U 50$ | $N A$ | $-17 \%$ |
| QMD | $0 \%$ | $-8 \%$ | $-18 \%$ | $-31 \%$ | $-30 \%$ | $-5 \%$ | $N A$ | $-19 \%$ |
| Lorey | $0 \%$ | $-9 \%$ | $-17 \%$ | $-31 \%$ | $-27 \%$ | $-15 \%$ | $N A$ | $-20 \%$ |
| Stocking | $0 \%$ | $-9 \%$ | $-18 \%$ | $-32 \%$ | $-30 \%$ | $-21 \%$ | $N A$ | $-22 \%$ |
| SIope | $0 \%$ | $-8 \%$ | $-18 \%$ | $-32 \%$ | $-30 \%$ | $-25 \%$ | $N A$ | $-22 \%$ |

Table 13. Impact of Diverse Cap Groupings on Plot Count ( $n$ ) for Pine-Group 2
Impact of Caps on plot count ( $n$ )
Pine - Group 2

| Grouping | $0 \%$ | $25 \%$ | $50 \%$ | $75 \%$ | $100 \%$ | $125 \%$ | $150 \%$ |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| ALL | 93 | 72 | 48 | 23 | 13 | 1 | 0 |
| AGB \& BAA | 93 | 83 | 72 | 61 | 38 | 27 | 10 |
| QMD, Carb, BAA, Vol | 93 | 83 | 72 | 61 | 38 | 24 | 8 |
| BAA \& St | 93 | 84 | 72 | 61 | 38 | 27 | 11 |
| QMD, BAA, Vol | 93 | 83 | 72 | 61 | 38 | 24 | 8 |
| BAA | 93 | 84 | 72 | 61 | 38 | 27 | 11 |
| AGB, Carb, BAA, Vol | 93 | 83 | 72 | 61 | 38 | 27 | 10 |
| BAA \& Carb | 93 | 84 | 72 | 61 | 38 | 27 | 11 |
| BAA \& Vol | 93 | 83 | 72 | 61 | 38 | 27 | 11 |
| AGB, Carb, BAA, QMD | 93 | 83 | 72 | 61 | 38 | 24 | 7 |
| Carb, QMD, BAA | 93 | 84 | 72 | 61 | 38 | 24 | 8 |
| BAA \& RD | 93 | 83 | 71 | 60 | 35 | 21 | 9 |
| BAA \& QMD | 93 | 84 | 72 | 61 | 38 | 24 | 8 |
| AGB, Carb, BAA | 93 | 83 | 72 | 61 | 38 | 27 | 10 |
| Elevation | 93 | 84 | 66 | 41 | 27 | 18 | 13 |
| SIope \& Elevation | 93 | 83 | 65 | 39 | 25 | 14 | 9 |
| Carbon, AGB, RD, Vol | 93 | 83 | 76 | 67 | 44 | 30 | 17 |
| AGB \& Vol | 93 | 85 | 77 | 68 | 50 | 39 | 28 |
| QMD \& Vol | 93 | 86 | 77 | 69 | 57 | 41 | 20 |
| AGB | 93 | 85 | 80 | 70 | 53 | 40 | 28 |
| AGB \& St | 93 | 85 | 80 | 70 | 53 | 40 | 28 |
| Vol | 93 | 86 | 77 | 69 | 57 | 45 | 37 |
| AGB \& Carbon | 93 | 85 | 80 | 70 | 53 | 40 | 28 |
| AGB \& QMD | 93 | 85 | 80 | 70 | 52 | 35 | 17 |
| Carbon | 93 | 88 | 80 | 73 | 65 | 49 | 39 |
| RD | 93 | 85 | 81 | 75 | 62 | 48 | 35 |
| QMD | 93 | 93 | 93 | 93 | 89 | 61 | 32 |
| Lorey | 93 | 93 | 92 | 91 | 85 | 57 | 25 |
| Stocking | 93 | 93 | 93 | 93 | 93 | 93 | 93 |
| Slope | 93 | 92 | 92 | 91 | 89 | 85 | 77 |

## Selected Caps

After reviewing the impact (on harvest intensity and sample plots, $n$ ) associated with varying degrees and groupings of caps, the FFCP team elected to pursue continued donor plot testing using the cap criteria detailed in Table 14. It is this cap selection that will be applied in the subsequent section where we test the available donor plots by geographical area and forest type group, with and without these caps applied.

Table 14. Final Caps Selected by the FFCP Team

| Selected Caps by Group and Forest Type Group |  |  |  |  |  |
| :---: | :---: | :---: | :---: | :---: | :---: |
| FTG | Group | Cap Description | BAA | QMD | Volume |
| MBB | 1 | $100 \%$ caps on BAA and QMD | $>50 \mathrm{ft}^{2} / \mathrm{ac}$ | >8 in | - |
| MBB | 2 | $100 \%$ caps on BAA and QMD | $>50 \mathrm{ft}^{2} / \mathrm{ac}$ | >7 in | - |
| OH | Both | $100 \%$ caps on BAA and QMD | $>50 \mathrm{ft}^{2} / \mathrm{ac}$ | >8 in | - |
| SF | Both | $\begin{gathered} 75 \% \text { caps on } \\ \text { QMD, BAA, Vol } \end{gathered}$ | $>37.5 \mathrm{ft}^{2} / \mathrm{ac}$ | >5.25 in | >750 ft ${ }^{3}$ ac |
| Pine | Both | 125\% cap on BAA and Vol | $>125 \mathrm{ft}^{2} / \mathrm{ac}$ | - | $>1875 \mathrm{ft}^{3} / \mathrm{ac}$ |

## Cap Feasibility Testing: Donor Plot Counts

The FFCP methodology for Improved Forest Management requires that there be at least 50 potential donor plots from which to draw the ten closest matches, using a knearest neighbor optimal matching approach relying on Mahalanobis distance calculations on important predictors of harvest behavior (FFCP, 2022). Those 50 donor plots must meet a series of exact matching criteria (including same FTG, forest origin, and ownership category, among others). If possible, those 50 donor plots should also come from the same ecoregion. Where there are insufficient (i.e., under 50) donor plots in the same ecoregion, the donor pool may extend to the ecoprovince. Where there are still insufficient donor plots, the donor pool may extend to the 'ecoprovince state', that is, all plots (meeting exact matching criteria) that fall in a state (within the NE region and, where relevant, subgroup) where the sample plot's ecoprovince exists. Donor plots do not need to fall within the sample plot's ecoprovince; they merely need to be in a state where the sample plot's ecoregion exists. Each loosening of the donor pool criteria (from ecoregion to ecoprovince and then ecoprovince state) expands the potential donor pool considerably.
To test the impact of applying landowner participation caps (per Table 14) on donor pool size, we calculate the number of existing donor plots (by FTG and ecoregion/ ecoprovince/ ecoprovince states) with and without applying the identified caps. As
expected, applying caps in all cases reduces the number of plots in the available donor pool at all levels. Table 15 shows the number of potential donor plots by FTG and ecoregion, both with and without the caps applied. All FTG/ ecoregion combinations with at least 50 plots are highlighted in gray; all FTG/ ecoregion combinations that had at least 50 plots before the cap was applied but not after are highlighted in red.

Table 15. Number of Available Donor Plots by Forest Type Group (FTG) and Ecoregion Both with and without Exclusionary Caps Applied. (Gray Indicates at least 50 Available donor plots; red indicates a loss of sufficient donor plots with the cap applied.)

Donor Plots by Forest Type Group and Ecoregion (with and without caps applied)

|  | FTG | Group | 2110 | 2118 | M211C | M211B | 221 A | M211D | 211E | 211 J | 2221 | 211 A | M211A | 211 F | 2110 | 2218 | 221 F | 2116 | 211 |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| $\begin{aligned} & \text { No } \\ & \text { Caps } \end{aligned}$ | MBB | 1 | - | - | 127 | 107 | 44 | 208 | 61 | 56 | 51 | - | - | 134 | - | 22 | 18 | 20 | 83 |
|  | мвв | 2 | 21 | 70 | 11 | 40 | 48 | . | - | . | . | 28 | 354 | - | 72 | . | . | . | . |
|  | он | Both | 1 | - | 13 | 22 | 137 | 7 | 15 | 6 | 38 | - | 2 | 66 | 16 | 39 | 4 | 4 | 15 |
|  | SF | Both | 19 | 44 | 4 | 15 | - | 15 | 7 | 3 | . | 19 | 88 | 2 | 26 | . | . | . | 1 |
|  | Pine | Both | 5 | 15 | 17 | 50 | 59 | 20 | 16 | 8 | 1 | 1 | 9 | 13 | 31 | 11 | - | . | 7 |
| $\begin{gathered} \text { Caps } \\ \text { Applied } \end{gathered}$ | MBB | 1 | - | - | 111 | 96 | 29 | 162 | 35 | 40 | 28 | - | - | 104 | - | 16 | 13 | 16 | 68 |
|  | MBB | 2 | 14 | 44 | 9 | 34 | 40 | . | - | - | . | 18 | 275 | - | 46 | - | - | . | . |
|  | OH | Both | 1 | - | 9 | 18 | 107 | 6 | 6 | 3 | 19 | - | 2 | 49 | 8 | 27 | 2 | 4 | 11 |
|  | SF | Both | 10 | 33 | 3 | 15 |  | 10 | 2 | 2 | . | 13 | 53 | 2 | 16 | . | . | . | 1 |
|  | Pine | Both | 1 | 4 | 10 | 24 | 26 | 6 | 4 | 2 | - | - | 6 | 4 | 7 | 4 | - | - | 4 |

Table 16 shows the number of potential donor plots by FTG and ecoprovince, both with and without the caps applied. Again, all combinations with at least 50 plots are highlighted in gray and all combinations that lost the requisite 50 plots when the cap was applied are highlighted in red.

Table 16. Number of Available Donor Plots by Forest Type Group (FTG) and Ecoprovince Both with and without Exclusionary Caps Applied. (Gray indicates at least 50 available donor plots; red indicates a loss of sufficient donor plots with the cap applied.)

Donor Plots by Forest Type Group and Ecoprovince (with and without caps applied)

|  | FTG | Group | 211 | M211 | 221 | 222 |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| $\begin{aligned} & \text { No } \\ & \text { Caps } \end{aligned}$ | MBB | 1 | 354 | 442 | 84 | 51 |
|  | MBB | 2 | 191 | 405 | 48 | - |
|  | OH | Both | 123 | 44 | 180 | 38 |
|  | SF | Both | 121 | 122 | - | - |
|  | Pine | Both | 96 | 96 | 70 | 1 |
| Caps Applied | MBB | 1 | 263 | 369 | 58 | 28 |
|  | MBB | 2 | 122 | 318 | 40 | - |
|  | OH | Both | 82 | 35 | 136 | 19 |
|  | SF | Both | 79 | 81 | - | - |
|  | Pine | Both | 26 | 46 | 30 | - |

Table 17 shows the number of potential donor plots by FTG and ecoprovince states, both with and without the caps applied. Here also, all combinations with at least 50 plots are highlighted in gray and all combinations that lost the requisite 50 plots when the cap was applied are highlighted in red.

Table 17. Number of Available Donor Plots by Forest Type Group (FTG) and Ecoprovince State Both with and without Exclusionary Caps Applied. (Gray indicates at least 50 available donor plots; red indicates a loss of sufficient donor plots with the cap applied.)

## Donor Plots by Forest Type Group and Ecoprovince State (with and without caps applied)

|  | FTG | Group | 211 | M211 | 221 | 222 |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| No Caps | MBB | 1 | 805 | 931 | 931 | 632 |
|  | MBB | 2 | 559 | 644 | 644 | - |
|  | OH | Both | 242 | 382 | 385 | 201 |
|  | SF | Both | 237 | 243 | 243 | 27 |
|  | Pine | Both | 186 | 263 | 263 | 72 |
| Caps <br> Applied | MBB | 1 | 611 | 718 | 718 | 471 |
|  | MBB | 2 | 411 | 480 | 480 | 0 |
|  | OH | Both | 158 | 269 | 272 | 132 |
|  | SF | Both | 157 | 160 | 160 | 17 |
|  | Pine | Both | 64 | 102 | 102 | 23 |

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## Appendix I: Partial Dependence Plots

Each of the below partial dependence plots (PDPs) demonstrates how shifts in individual variables impacts probability of harvest by group and FTG. Orange lines show the initial $100 \%$ caps selected for testing, based on marked increase in harvest probability per the PDPs as well as knowledge about regional harvesting behavior. In the majority of cases, identified caps sought to exclude any plots below a certain threshold (the orange line); where the opposite is the case (e.g., with slope), an orange $X$ indicates the plots that should be excluded per the cap. Where no orange line exists (e.g., for aspect and bole biomass), no initial cap was identified or tested.

Note that because so few Oak/Hickory plots exist in Group 2, all Oak/Hickory plots are shown below as falling in Group 1; likewise, though Spruce/Fir is shown here in Group 2 only, it also includes the small number of Spruce/Fir plots from Group 1.

## Aboveground Biomass

Conditional effects in harvest probability model (RF)

All private forestland (New England)



Figure 10. Partial dependence plot for aboveground biomass (Group 1)

Conditional effects in harvest probability model (RF)
All private forestland (New England)


Figure 11 Partial dependence plot for aboveground biomass (Group 2)

## Basal Area

Conditional effects in harvest probability model (RF)
All private forestland (New England)


Figure 12. Partial dependence plot for basal area (Group 1)

Conditional effects in harvest probability model (RF)
All private forestland (New England)


Figure 13. Partial dependence plot for basal area (Group 2)

## Carbon

Conditional effects in harvest probability model (RF)
All private forestland (New England)


Figure 14. Partial dependence plot for carbon (Group 1)

Conditional effects in harvest probability model (RF)
All private forestland (New England)


Figure 15. Partial dependence plot for carbon (Group 2)

## Lorey's Height

Conditional effects in harvest probability model (RF)
All private forestland (New England)


Figure 16. Partial dependence plot for Lorey's Height (Group 1)

Conditional effects in harvest probability model (RF)
All private forestland (New England)


Figure 17. Partial dependence plot for Lorey's Height (Group 2)

## Quadratic Mean Diameter



Figure 18. Partial dependence plot for QMD (Group 1)

Conditional effects in harvest probability model (RF)
All private forestland (New England)


Figure 19. Partial dependence plot for QMD (Group 2)

## Stocking Percent

Conditional effects in harvest probability model (RF)
All private forestland (New England)



Figure 20. Partial dependence plot for Stocking Percent (Group 1)


Figure 21. Partial dependence plot for Stocking Percent (Group 2)

## Merchantable Volume

Conditional effects in harvest probability model (RF)
All private forestland (New England)


Figure 22. Partial dependence plot for Merchantable Volume (Group 1)

## Conditional effects in harvest probability model (RF)

All private forestland (New England)


Figure 23. Partial dependence plot for Merchantable Volume (Group 2)

## Relative Density (> 5 in diameter trees)

Conditional effects in harvest probability model (RF)
All private forestland (New England)



Figure 24. Partial dependence plot for Relative Density (Group 1)

Conditional effects in harvest probability model (RF)
All private forestland (New England)


Figure 25. Partial dependence plot for Relative Density (Group 2)

## Elevation

Conditional effects in harvest probability model (RF)
All private forestland (New England)


Figure 26. Partial dependence plot for Elevation (Group 1)

Conditional effects in harvest probability model (RF)
All private forestland (New England)


Figure 27. Partial dependence plot for Elevation (Group 2)

## Slope

## Conditional effects in harvest probability model (RF)

All private forestland (New England)


Figure 28. Partial dependence plot for Slope (Group 1)

Conditional effects in harvest probability model (RF)
All private forestland (New England)


Figure 29. Partial dependence plot for Slope (Group 2)

## Aspect

## Conditional effects in harvest probability model (RF)



Figure 30. Partial dependence plot for Aspect (Group 1)

## Conditional effects in harvest probability model (RF)



Figure 31. Partial dependence plot for Aspect (Group 2)

## Bole Biomass

Conditional effects in harvest probability model (RF)
All private forestland (New England)


Figure 32. Partial dependence plot for Bole Biomass (Group 1)

Conditional effects in harvest probability model (RF)
All private forestland (New England)


Figure 33. Partial dependence plot for Bole Biomass (Group 2)

## Appendix II: Cap Impact on Harvest Intensity (BF/acre)

## Maple/Beech/Birch—Group 1

Table 18. Impact of Diverse Cap Groupings on Predicted Harvest Intensity (BF/acre) for Maple/Beech/Birch—Group 1,

> Impact of Caps on Harvest Intensity (BF/ acre)
> MBB - Group 1

| Grouping | $0 \%$ | $25 \%$ | $50 \%$ | $75 \%$ | $100 \%$ | $125 \%$ | $150 \%$ |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| QMD, Carb, BAA, Vol | 56.0316 | 57.9433 | 61.9024 | 64.5234 | 68.1873 | 72.5507 | 82.4414 |
| Carb, QMD, BAA | 56.0316 | 57.9433 | 61.9024 | 64.5234 | 68.1873 | 72.5507 | 82.4414 |
| AGB, Carb, BAA, QMD | 55.6165 | 58.2024 | 61.4805 | 64.5983 | 67.6882 | 72.7399 | 80.5249 |
| BAA \& QMD | 55.8371 | 57.3479 | 60.1216 | 63.118 | 66.1798 | 71.4579 | 80.9255 |
| QMD, BAA, Vol | 55.7382 | 57.7302 | 60.6054 | 63.4269 | 66.3946 | 71.2449 | 80.0064 |
| AGB, Carb, BAA, Vol | 55.8798 | 58.3289 | 62.0579 | 64.1918 | 66.311 | 70.1729 | 74.657 |
| AGB, Carb, BAA | 55.6471 | 58.1644 | 61.3554 | 64.4516 | 67.0401 | 69.5744 | 74.6456 |
| BAA \& Carb | 55.6155 | 57.7292 | 61.4714 | 64.2356 | 66.4239 | 70.3458 | 74.8988 |
| QMD \& Vol | 55.6077 | 57.3396 | 59.539 | 62.2141 | 65.7003 | 71.7658 | 77.2979 |
| Carbon, AGB, RD, Vol | 56.1783 | 58.0101 | 62.4956 | 64.071 | 65.1209 | 69.2936 | 73.3897 |
| AGB \& Carbon | 55.6779 | 57.8837 | 60.6892 | 63.7983 | 66.1285 | 69.9196 | 73.2842 |
| Carbon | 55.7928 | 58.0177 | 61.0506 | 63.5142 | 65.7033 | 68.9972 | 73.4833 |
| AGB \& QMD | 55.3967 | 56.6581 | 57.9511 | 59.5189 | 63.3767 | 72.0973 | 74.8481 |
| BAA \& Vol | 55.5796 | 57.5341 | 60.3302 | 63.3228 | 64.3968 | 66.8116 | 69.2587 |
| AGB \& BAA | 55.91 | 57.7191 | 60.0653 | 63.1325 | 64.4977 | 66.6595 | 69.1753 |
| BAA \& St | 55.8024 | 57.4503 | 59.923 | 62.9322 | 64.3602 | 66.1794 | 68.9108 |
| AGB \& Vol | 55.5351 | 57.4143 | 59.4318 | 62.7912 | 64.586 | 66.7148 | 68.6952 |
| Vol | 55.802 | 57.5149 | 59.6139 | 62.2363 | 64.2152 | 66.388 | 69.1972 |
| BAA | 55.6383 | 57.2501 | 59.9361 | 62.6905 | 64.0688 | 66.1366 | 68.7692 |

## Maple/Beech/Birch—Group 2

Table 19. Impact of Diverse Cap Groupings on Predicted Harvest Intensity (BF/acre) for Maple/Beech/Birch—Group 2,

## Impact of Caps on Harvest Intensity (BF/ acre) <br> MBB - Group 2

| Grouping | $0 \%$ | $25 \%$ | $50 \%$ | $75 \%$ | $100 \%$ | $125 \%$ | $150 \%$ |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| QMD, BAA, Vol | 68.9659 | 71.1328 | 73.8951 | 78.044 | 82.5693 | 93.8125 | 119.445 |
| QMD, Carb, BAA, Vol | 69.3503 | 70.8578 | 74.0928 | 78.0223 | 82.2556 | 93.6966 | 118.881 |
| Carb, QMD, BAA | 68.8074 | 70.7487 | 73.4062 | 77.2655 | 81.1451 | 93.3669 | 119.896 |
| AGB, Carb, BAA, QMD | 69.0207 | 70.7324 | 73.572 | 77.2179 | 81.1177 | 93.6795 | 119.105 |
| QMD \& Vol | 69.0821 | 71.3548 | 73.6763 | 77.3363 | 81.9205 | 93.4256 | 116.235 |
| BAA \& QMD | 68.9278 | 70.6598 | 73.3385 | 77.2235 | 81.2695 | 93.5407 | 118.949 |
| ALL | 68.8005 | 71.4473 | 74.6803 | 76.6542 | 79.8648 | 84.1765 | 120.924 |
| AGB \& QMD | 69.0991 | 69.8483 | 70.9436 | 72.9438 | 76.5354 | 89.3143 | 12.68 |
| BAA \& Vol | 68.996 | 70.8831 | 74.3041 | 77.9946 | 82.0871 | 86.2697 | 91.3302 |
| AGB, Carb, BAA, Vol | 69.1074 | 71.2373 | 73.7479 | 77.9101 | 81.9394 | 86.1475 | 90.1974 |
| Vol | 68.983 | 71.4548 | 73.6791 | 77.27 | 82.1357 | 85.7405 | 89.2353 |
| AGB \& Vol | 69.1347 | 71.0116 | 73.4697 | 77.2463 | 81.9325 | 85.3555 | 88.5282 |
| BAA | 69.1758 | 70.7944 | 73.3132 | 76.7444 | 81.2319 | 84.2979 | 89.5223 |
| BAA \& Carb | 68.992 | 70.62 | 73.4239 | 77.2859 | 81.2279 | 84.2459 | 88.7756 |
| AGB, Carb, BAA | 69.1482 | 70.6477 | 73.181 | 77.3294 | 81.1468 | 84.5096 | 88.5088 |
| AGB \& BAA | 69.1774 | 70.7801 | 73.1584 | 77.1293 | 80.9992 | 84.6054 | 88.2332 |
| BAA \& St | 69.0071 | 70.7448 | 73.3624 | 76.9336 | 80.7738 | 84.3622 | 88.8623 |
| Carbon, AGB, RD, Vol | 69.028 | 71.1858 | 73.4256 | 74.7969 | 77.8748 | 81.3027 | 85.2758 |
| QMD | 69.0178 | 69.3352 | 68.9753 | 69.2281 | 71.5388 | 84.8184 | 107.543 |
| BAA \& RD | 69.1094 | 71.1857 | 73.2095 | 74.5873 | 77.3056 | 80.6305 | 85.0534 |
| Carbon | 69.2005 | 69.91 | 70.9412 | 73.4335 | 75.1091 | 77.7718 | 79.3777 |
| AGB | 69.0879 | 69.8757 | 71.0611 | 72.9791 | 75.6053 | 77.5159 | 79.5091 |
| AGB \& St | 68.9131 | 70.0887 | 70.8783 | 73.0014 | 75.4324 | 77.6194 | 79.1167 |
| AGB \& Carbon | 69.3168 | 69.6771 | 70.9913 | 73.174 | 75.0823 | 77.5695 | 79.3361 |
| Lorey | 69.0798 | 69.477 | 70.0021 | 69.7953 | 69.7461 | 71.4745 | 77.968 |
| RD | 69.0628 | 69.9689 | 70.5167 | 68.6675 | 68.4663 | 69.4891 | 70.979 |
| Slope | 69.091 | 69.2746 | 69.2439 | 69.7547 | 69.2539 | 69.2459 | 68.3581 |
| Stocking | 69.1349 | 69.1937 | 68.8768 | 69.0983 | 68.8319 | 69.124 | 68.9821 |
| Stocking \& Elevation | 69.1121 | 69.3939 | 69.5 | 70.0818 | 69.7684 | 65.1397 | 56.6631 |
| Elevation | 69.0124 | 68.9656 | 69.5805 | 69.4803 | 69.5923 | 64.7205 | 57.654 |

## Oak/Hickory

Table 20. Impact of Diverse Cap Groupings on Predicted Harvest Intensity (BF/acre) for Oak Hickory

## Impact of Caps on Harvest Intensity (BF/ acre) <br> Oak/Hickory

| Grouping | $0 \%$ | $25 \%$ | $50 \%$ | $75 \%$ | $100 \%$ | $125 \%$ | $150 \%$ |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| AGB, Carb, BAA | 39.506 | 41.2626 | 44.1486 | 47.1942 | 49.6153 | 49.725 | 56.5099 |
| BAA \& Carb | 40.059 | 41.3059 | 44.5397 | 47.0414 | 49.3236 | 49.2154 | 56.85 |
| AGB, Carb, BAA, VoI | 39.9135 | 41.7357 | 44.8087 | 46.7663 | 48.7642 | 49.8512 | 56.2488 |
| Carbon, AGB, RD, Vol | 40.0129 | 41.5562 | 45.5625 | 47.223 | 48.025 | 48.4277 | 53.7889 |
| AGB \& Carbon | 40.0981 | 41.5771 | 44.1052 | 46.622 | 48.7692 | 49.5577 | 53.1999 |
| ALL | 40.1844 | 41.9017 | 46.7964 | 47.703 | 47.9855 | 55.955 | 44.4148 |
| Carbon | 40.3734 | 41.0502 | 44.0194 | 46.2513 | 48.4689 | 49.0941 | 53.1277 |
| AGB \& QMD | 39.6741 | 40.4626 | 41.5556 | 43.1138 | 45.4313 | 51.0807 | 58.4133 |
| AGB \& BAA | 39.7642 | 41.2393 | 43.8172 | 46.6212 | 48.055 | 48.9458 | 51.1054 |
| BAA \& Vol | 39.7341 | 40.8556 | 44.2813 | 46.5553 | 47.805 | 48.1862 | 51.8772 |
| BAA | 39.8112 | 40.9158 | 43.8888 | 46.7969 | 47.9125 | 48.2484 | 51.4706 |
| BAA \& St | 39.6887 | 40.5522 | 43.7522 | 46.699 | 47.8346 | 48.2595 | 51.7489 |
| AGB, Carb, BAA, QMD | 40.3235 | 41.5129 | 44.4597 | 46.801 | 48.8298 | 54.8323 | 76.4882 |
| QMD, Carb, BAA, Vol | 39.6806 | 41.6496 | 44.4196 | 46.5742 | 49.2906 | 53.5581 | 75.7217 |
| Carb, QMD, BAA | 39.6806 | 41.6496 | 44.4196 | 46.5742 | 49.2906 | 53.5581 | 75.7217 |
| BAA \& RD | 40.0954 | 41.1145 | 46.0129 | 45.7776 | 46.0329 | 47.5106 | 51.1317 |
| BAA \& QMD | 39.8186 | 41.1272 | 43.6755 | 46.917 | 48.2612 | 52.5593 | 74.8797 |
| QMD, BAA, Vol | 40.3098 | 41.2898 | 43.8281 | 46.7 | 47.9494 | 52.3478 | 74.6644 |
| AGB \& Vol | 40.1503 | 41.2357 | 42.492 | 45.9174 | 46.3136 | 48.6138 | 49.3407 |
| Vol | 39.7745 | 41.3709 | 42.2855 | 45.3018 | 45.6237 | 47.9072 | 50.22 |
| QMD \& Vol | 39.6984 | 40.8657 | 42.9201 | 45.4957 | 45.0132 | 53.2761 | 63.9671 |
| QMD | 39.8147 | 40.0051 | 39.6754 | 40.0246 | 42.5526 | 47.8974 | 55.9278 |
| AGB | 39.6169 | 40.8534 | 41.0407 | 42.7194 | 45.0594 | 46.0695 | 46.7822 |

## Spruce/Fir

Table 21. Impact of Diverse Cap Groupings on Predicted Harvest Intensity (BF acre) for Spruce/Fir

## Impact of Caps on Harvest Intensity (BF/ acre) Spruce/Fir

| Grouping | $0 \%$ | $25 \%$ | $50 \%$ | $75 \%$ | $100 \%$ | $125 \%$ | $150 \%$ |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| QMD, Carb, BAA, Vol | 52.9126 | 57.7302 | 61.9448 | 66.415 | 73.0153 | 78.4933 | 86.8068 |
| QMD, BAA, Vol | 52.6714 | 57.5742 | 61.819 | 65.9457 | 72.7191 | 77.8407 | 87.1572 |
| QMD \& Vol | 52.7419 | 57.6661 | 61.14 | 66.0767 | 71.4347 | 79.6777 | 81.4446 |
| AGB, Carb, BAA, QMD | 52.7778 | 56.31 | 60.929 | 64.3441 | 71.1734 | 77.9838 | 88.9596 |
| BAA \& QMD | 52.7549 | 56.1687 | 60.0237 | 64.2322 | 70.0766 | 78.09 | 84.8673 |
| Carb, QMD, BAA | 53.042 | 56.1677 | 60.1541 | 63.639 | 71.1004 | 78.4919 | 89.2411 |
| ALL | 52.6886 | 58.2547 | 62.2364 | 62.9625 | 67.009 | 74.9399 | 94.6984 |
| AGB, Carb, BAA, Vol | 53.2657 | 57.4225 | 61.6189 | 65.8717 | 71.0159 | 74.5472 | 77.4157 |
| BAA \& Vol | 52.93 | 57.5593 | 61.7296 | 66.0105 | 69.9142 | 73.0251 | 79.569 |
| AGB \& QMD | 53.1956 | 53.9372 | 57.4536 | 62.1603 | 69.471 | 75.7317 | 83.7179 |
| AGB \& Vol | 52.3732 | 57.4902 | 61.8561 | 65.2109 | 70.6083 | 73.4731 | 76.6043 |
| Vol | 52.6164 | 57.9798 | 61.0788 | 65.381 | 70.0404 | 73.2932 | 77.4076 |
| AGB \& BAA | 52.8786 | 56.5312 | 60.3162 | 64.127 | 69.51 | 73.1235 | 74.9078 |
| AGB, Carb, BAA | 52.8085 | 56.5603 | 60.3265 | 63.6677 | 68.6752 | 73.2583 | 75.2123 |
| BAA \& Carb | 52.7984 | 56.0851 | 60.5591 | 64.3769 | 68.1176 | 72.5775 | 74.8747 |
| BAA \& St | 53.5529 | 56.4132 | 60.2756 | 64.5518 | 67.7789 | 69.507 | 74.7345 |
| BAA | 53.248 | 55.9981 | 59.9737 | 63.4756 | 68.1744 | 68.9613 | 74.8904 |
| Carbon, AGB, RD, Vol | 52.7161 | 58.0512 | 61.2887 | 61.9724 | 65.618 | 68.8008 | 71.9785 |
| AGB \& St | 52.8509 | 54.3548 | 57.5748 | 61.9982 | 66.7333 | 69.237 | 70.2741 |
| Carbon | 52.9121 | 54.2538 | 57.4046 | 61.7504 | 66.2956 | 69.6337 | 70.0847 |
| AGB \& Carbon | 52.9317 | 54.3172 | 57.2893 | 61.5751 | 66.1528 | 68.8401 | 71.5863 |
| AGB | 52.5664 | 54.0016 | 57.3824 | 62.0037 | 66.549 | 68.4035 | 70.7871 |
| BAA \& RD | 53.0101 | 57.0879 | 59.4434 | 60.0461 | 62.4074 | 66.3126 | 67.8598 |
| QMD | 52.5633 | 52.8539 | 52.5938 | 53.0847 | 59.6101 | 66.6085 | 64.1741 |
| Lorey | 53.0099 | 52.5957 | 53.2358 | 54.4213 | 54.5952 | 56.7714 | 67.0723 |
| RD | 52.6838 | 54.343 | 55.9703 | 53.4777 | 53.2495 | 54.6289 | 55.1471 |
| Stocking | 52.5032 | 52.9973 | 52.9954 | 52.8276 | 53.1816 | 52.7581 | 52.744 |
| Elevation | 53.1182 | 52.8417 | 54.0157 | 53.0375 | 52.9634 | 52.5403 | 50.9278 |
| Slope \& Elevation | 53.0536 | 53.3333 | 53.3166 | 53.0546 | 52.659 | 51.5294 | 50.5002 |
| Slope | 53.0409 | 52.524 | 53.1062 | 52.5015 | 52.2623 | 51.9021 | 52.3548 |

## Pine-Group 1

Table 22. Impact of Diverse Cap Groupings on Predicted Harvest Intensity (BF/acre) for Pine—Group 1

> Impact of Caps on Harvest Intensity (BF/ acre)
> Pine - Group 1

| Grouping | $0 \%$ | $25 \%$ | $50 \%$ | $75 \%$ | $100 \%$ | $125 \%$ | $150 \%$ |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| BAA \& Vol | 57.2873 | 59.4924 | 65.2014 | 70.3876 | 76.6524 | 84.3698 | 95.7093 |
| BAA \& St | 57.2512 | 59.0679 | 64.2236 | 69.5435 | 77.1434 | 82.9149 | 96.7285 |
| AGB \& BAA | 56.837 | 59.3726 | 63.6663 | 69.3303 | 76.6166 | 83.7058 | 96.0815 |
| AGB, Carb, BAA | 57.7577 | 59.0311 | 64.7451 | 70.5222 | 76.8696 | 81.7135 | 93.9032 |
| BAA | 58.4645 | 59.2601 | 64.2516 | 69.5947 | 75.7703 | 81.9042 | 94.06 |
| AGB, Carb, BAA, Vol | 58.169 | 59.5076 | 63.7716 | 69.7023 | 77.5378 | 81.5469 | 93.1879 |
| BAA \& Carb | 57.112 | 58.9488 | 64.24 | 69.8478 | 76.5338 | 81.755 | 93.4695 |
| AGB \& Carbon | 57.815 | 58.4799 | 61.5627 | 69.0551 | 75.2945 | 76.4884 | 78.7234 |
| Carbon | 57.492 | 58.5724 | 61.9759 | 68.9798 | 72.9998 | 74.3596 | 79.1706 |
| AGB \& Vol | 57.5796 | 59.4095 | 61.0434 | 66.0103 | 69.7804 | 76.4583 | 78.4251 |
| AGB \& St | 57.3531 | 59.0219 | 61.1523 | 65.192 | 69.1397 | 76.2955 | 79.4699 |
| AGB | 57.3102 | 58.7591 | 61.0472 | 64.81 | 70.1266 | 75.0446 | 78.3805 |
| Vol | 57.6872 | 58.433 | 60.1371 | 65.0457 | 67.1474 | 72.6977 | 80.1266 |
| QMD \& Vol | 57.1572 | 58.076 | 61.153 | 64.877 | 67.7703 | 75.7748 | 69.0953 |
| ALL | 57.4667 | 62.6355 | 71.1186 | 75.447 | 82.3573 | 75.4859 | 44.382 |
| BAA \& QMD | 57.5618 | 59.4096 | 64.669 | 70.0095 | 77.2195 | 84.1434 | 85.476 |
| QMD, Carb, BAA, Vol | 57.5232 | 59.4957 | 64.5432 | 70.6703 | 77.8662 | 82.7835 | 90.103 |
| Carb, QMD, BAA | 57.5232 | 59.4957 | 64.5432 | 70.6703 | 77.8662 | 82.7835 | 90.103 |
| AGB, Carb, BAA, QMD | 57.4575 | 58.7574 | 64.7146 | 70.2131 | 78.2275 | 82.3874 | 87.6125 |
| QMD, BAA, Vol | 57.4347 | 59.3728 | 64.4345 | 69.9361 | 77.5504 | 82.489 | 87.1581 |
| BAA \& RD | 57.8715 | 60.4041 | 66.9757 | 72.2231 | 77.9273 | 68.0148 | 79.8034 |
| Elevation | 58.2515 | 56.95 | 58.7394 | 60.7259 | 64.8075 | 62.8649 | 63.8799 |
| Carbon, AGB, RD, Vol | 57.1293 | 60.8958 | 66.6977 | 70.7103 | 75.6863 | 64.4011 | 73.1182 |
| RD | 56.9291 | 59.8337 | 66.6013 | 70.7566 | 74.2714 | 63.9834 | 70.567 |
| AGB \& QMD | 56.9819 | 59.0554 | 60.698 | 65.2728 | 71.0786 | 79.3299 | 70.4704 |
| SIope \& Elevation | 57.6148 | 58.317 | 60.2082 | 60.4461 | 65.6267 | 62.9539 | 54.8055 |
| Lorey | 57.8256 | 57.2671 | 57.6855 | 57.527 | 60.2171 | 62.3301 | 61.2511 |
| QMD | 57.5164 | 57.7391 | 57.1296 | 58.103 | 58.3916 | 65.1121 | 57.7842 |
| Stocking | 56.8983 | 57.9118 | 57.3926 | 58.2117 | 58.1628 | 57.6783 | 58.6417 |
| Slope | 58.5364 | 58.5121 | 58.0367 | 57.2129 | 58.9708 | 56.5725 | 49.5254 |

## Pine-Group 2

Table 23. Impact of Diverse Cap Groupings on Predicted Harvest Intensity (BF/acre) for Pine—Group 2
Impact of Caps on Harvest Intensity (BF/acre)
Pine - Group 2

| Grouping | $0 \%$ | $25 \%$ | $50 \%$ | $75 \%$ | $100 \%$ | $125 \%$ | $150 \%$ |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| ALL | 51.9283 | 57.0064 | 63.1065 | 75.8243 | 73.9348 | 66.5598 | NA |
| AGB \& BAA | 51.5099 | 56.0706 | 59.4053 | 62.1247 | 72.1175 | 78.0269 | 77.5377 |
| QMD, Carb, BAA, Vol | 52.2045 | 55.2448 | 59.633 | 62.9322 | 72.1804 | 78.7501 | 78.3251 |
| BAA \& St | 51.6374 | 55.4268 | 60.0745 | 62.0717 | 72.1966 | 77.2847 | 79.894 |
| QMD, BAA, Vol | 52.1379 | 55.7337 | 59.7299 | 62.0547 | 72.1185 | 80.494 | 77.6995 |
| BAA | 52.1822 | 55.0816 | 60.0697 | 62.2813 | 72.8708 | 78.1636 | 79.6341 |
| AGB, Carb, BAA, Vol | 52.5652 | 55.8032 | 59.4199 | 61.9502 | 71.6782 | 78.5285 | 78.5544 |
| BAA \& Carb | 52.2103 | 55.5094 | 59.7974 | 61.7798 | 72.1447 | 78.4587 | 80.1612 |
| BAA \& Vol | 51.8788 | 55.2069 | 59.9379 | 61.9984 | 71.963 | 77.2041 | 79.5812 |
| AGB, Carb, BAA, QMD | 51.6331 | 55.3349 | 59.6186 | 62.1532 | 71.4604 | 79.7518 | 76.7985 |
| Carb, QMD, BAA | 51.9953 | 55.3835 | 59.7427 | 61.8707 | 71.2954 | 79.9237 | 78.4771 |
| BAA \& RD | 52.3451 | 56.3388 | 58.8386 | 61.18 | 68.484 | 76.6147 | 71.0257 |
| BAA \& QMD | 52.2766 | 55.189 | 59.5577 | 61.7219 | 71.0557 | 79.0491 | 78.9917 |
| AGB, Carb, BAA | 52.2536 | 55.1791 | 59.521 | 61.156 | 71.4477 | 78.4533 | 79.1953 |
| Elevation | 52.0566 | 52.7852 | 55.3684 | 59.0899 | 66.9595 | 72.4506 | 69.5658 |
| Slope \& Elevation | 51.853 | 53.0589 | 54.2818 | 58.7581 | 66.2437 | 60.8588 | 64.0444 |
| Carbon, AGB, RD, Vol | 52.3786 | 55.5555 | 57.1448 | 59.4968 | 60.6782 | 64.0033 | 65.3608 |
| AGB \& Vol | 52.1776 | 54.9389 | 58.3753 | 59.8266 | 64.2436 | 69.5517 | 76.8227 |
| QMD \& Vol | 52.2499 | 54.5938 | 58.292 | 60.4934 | 62.9101 | 72.262 | 77.7557 |
| AGB | 51.3946 | 55.763 | 56.9242 | 59.8041 | 63.6487 | 68.5233 | 76.7178 |
| AGB \& St | 51.8776 | 55.5333 | 56.6826 | 58.8111 | 64.5853 | 69.0855 | 76.7236 |
| Vol | 51.9461 | 55.2461 | 57.3701 | 59.4634 | 63.1792 | 68.4755 | 71.9678 |
| AGB \& Carbon | 52.2731 | 55.7309 | 56.6982 | 59.62 | 63.0418 | 69.3962 | 77.5137 |
| AGB \& QMD | 52.2998 | 54.9861 | 56.9292 | 58.8877 | 63.7013 | 74.0305 | 80.7994 |
| Carbon | 51.8326 | 54.3153 | 56.3873 | 58.9274 | 60.2218 | 65.176 | 70.2264 |
| RD | 52.0199 | 55.0242 | 55.739 | 55.7489 | 53.8509 | 52.7109 | 48.8473 |
| QMD | 51.7502 | 52.242 | 51.5474 | 52.2466 | 52.0447 | 63.1347 | 68.1685 |
| Lorey | 52.018 | 51.7263 | 52.12 | 52.6306 | 54.1089 | 56.5983 | 59.155 |
| Stocking | 51.9874 | 52.0959 | 51.536 | 51.7876 | 51.8403 | 52.5003 | 51.6954 |
| Slope | 51.7143 | 52.2603 | 51.8322 | 51.9027 | 51.7793 | 50.486 | 50.7838 |


[^0]:    ${ }^{1}$ Note that all Oak/Pine plots with Oak making up at least $40 \%$ of the plot's relative density were merged with the Oak/Hickory FTG; likewise, Oak/Pine plots with Pine making up at least $40 \%$ of the relative density were merged with the Pine FTG. The six plots that met both criteria (and so could not be determined to follow a predominantly Oak or Pine management regime) were dropped from the analysis.

