Who We Are and Our Institutions

• **Natalie Bush**  
  College of ACES, University of Illinois at Urbana-Champaign

• **Administrative and fiscal responsibilities for the Capacity Grants-Research**

• **FY17 Research Expenditures**  
  $659 M  
  6,189 active grants  
  (Urbana-Champaign, Chicago, Springfield)

• **$7.16M, Capacity Grants-Research Appropriation (FY17)**

• **Callie Glascock**  
  University of Missouri Extension & Engagement

• **Administrative and fiscal responsibilities for the Capacity Grants-Extension**

• **FY17 Extension Grant Expenditures**  
  $24 M  
  175 active grants

• **$10.78M, Capacity Grants-Smith Lever b&c and 3d (FY17)**
Capacity Grants: Cupcake or Chaos

Presentation Objectives

• Participants will gain an understanding of the types of Capacity Grants for Extension and Research

• Participants will gain knowledge about the Capacity Grants lifecycle

• Participants will gain knowledge about the Systems/Applications used in association with the administrative and fiscal responsibilities for Capacity Grants

• Participants will be provided with Resource information for reference in fulfilling responsibilities associated with Capacity Grants
Capacity Grants:  (https://nifa.usda.gov/program/capacity-grants)
NIFA provides support for research and extension activities at land-grant institutions through grants to the states on the basis of statutory formulas.

**EXTENSION**
- Ag Extension Programs at 1890 Institutions
- District of Columbia Public Postsecondary Education Reorganization Act Programs
- Expanded Food and Nutrition Education Program (EFNEP)
- Renewable Resources Extension Act Capacity Grant
- Smith-Lever Capacity Grant
- Smith-Lever Act Sections 3(b) and 3(c) Special Needs Capacity Grants

**RESEARCH**
- Ag Research at 1890 Land-Grant Institutions
- Animal Health and Disease
- McIntire-Stennis Capacity Grant
- The Hatch Act of 1887
- The Hatch Act of 1887 (Multistate Research Fund)

**TRIBAL COLLEGE ENDOWMENT PROGRAM**
- 1994 Institutions Endowment Program
Capacity Grants: Strengthening the Land-Grant University

Lifecycle of Capacity Grants

• Grant Application

• Grant Management

• Reporting and Close Out

• Post-Award Compliance
Capacity Grant Lifecycle: Grant Application

- Grant Application/Request for Application (RFA)
  - Grants.gov
  - https://nifa.usda.gov/program/capacity-grants
  - RFA: Mandatory Information (Felony and Tax status, Source of Match)
  - RFA Appendix: Distribution of Funds (Allocation and Match)
  - FY18 RFA yet to be released: Animal Health and Disease Research Program
  - CFDA number changes: Effective FY19 (https://nifa.usda.gov/resource/10500-change)
  - Notice of Award: FY17 ezFedGrants, prior years communication via email to Authorization Representative
Capacity Grant Lifecycle: Grant Management

• Grant Management
  • Uniform Guidance is the government-wide framework for grants management (December 2014)
  • Recent NIFA policy: Equipment Prior Approval (FY 2017)
  • NIFA Hot Topics: Compensation Reporting Fact Sheet
  • Institution Internal Controls (policies and procedures to safeguard assets, ensure the reliability and integrity of financial information, ensure compliance, promote efficient and effective operations, accomplish goals and objectives)
  • Grant Payments: Availability of Fund, Automated Standard Applications for Payments (ASAP)
Capacity Grant Lifecycle: Reporting

Reporting and Close Out

✓ Plan of Work (POW) Annual Update
  • Annual Report of Accomplishments and Results
✓ Supplemental Forms for Multistate and Integrated Activities, NIFA-PLAN, NIFA-REPT
✓ Project Financial Reports
  • Technical Reports

System or Application

✓ NIFA Reporting Portal: POW tool (April 1)
  • POW tool
✓ POW tool
  • ezFedGrants (as of FY17)
✓ NIFA Reporting Portal: REEport (February 1)
  • NIFA Reporting Portal: REEport (March 1)
Capacity Grant Lifecycle: Post-Award Compliance

• Post-Award Compliance
  • Internal Audit

• Single Audit (aka A-133)
  • Required annually for non-federal entities expending $750,000 of federal funds

• Post Award Compliance Reviews (NIFA Site Visits/Desk Audit)
Capacity Grant Resources

  - Information—it’s there; you just have to find it
- Grants.gov
  - Capacity Grant RFAs
- NIFA Reporting Portal
  - Plan of Work (POW)
  - REEport
  - Leadership Management Dashboard (LMD)
- ezFedGrants
- Region
  - Colleagues at Region Institutions
  - Region Meetings
Northeastern Region Land-Grant Institutions

- University of Connecticut, Storrs
- Cornell University, Ithaca, New York
- Delaware State University, Dover
- University of Delaware, Newark
- University of the District of Columbia
- University of Maine, Orono
- University of Maryland, College Park
- University of Maryland Eastern Shore, Princess Anne
- University of Massachusetts, Amherst
- University of New Hampshire, Durham
- Rutgers University, New Brunswick, New Jersey
- Pennsylvania State University, University Park
- University of Rhode Island, Kingston
- University of Vermont, Burlington
- West Virginia State University, Institute
- West Virginia University, Morgantown

Northeast Management Officers Meeting (NEMO)
Fall 2018 Host: University of Massachusetts
North Central Region Land-Grant Institutions

North Central Administrative Officers Meeting
Fall 2018: South Dakota State University
Resource: Western Region

Relative locations of the Western Agricultural Experiment Stations
(not to scale and locations are approximate)